Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2017

This Form is Open to **Public Inspection**

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I Annual Report Identification Information								
For calenda	ar plan year 2017 or fi	scal plan year beginning 01/01/	<u>2017</u>	and ending 1	2/31/2017			
A This ret	urn/report is for:	x a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
R This rotu	urn/roport is	a one-participant plan	a foreign plan					
B This return/report is		X the first return/report	the final return/rep	port				
		an amended return/report	a short plan year r	return/report (less than 12 n	12 months)			
C Check b	oox if filing under:	Form 5558 special extension (enter desc	automatic extensi	ion	DFVC progra	ım		
Dort II	Basia Dian Info	<u> </u>	. ,					
Part II		prmation—enter all requested in	nformation		1b Thurs die	:4		
1a Name of plan HERMAN PEARL COMPANY 401 K PROFIT SHARING PLAN TRUST				1b Three-dig plan numl (PN) ▶				
						date of plan 01/01/2017		
Mailing	g address (include rooi	yer, if for a single-employer plan) m, apt., suite no. and street, or P.			2b Employer Identification Number (EIN) 91-1588160			
-	town, state or provinc EARL COMPANY	e, country, and ZIP or foreign pos	stal code (if foreign, see	instructions)	2c Sponsor's telephone number 206-686-1295			
					2d Business code (see instructions)			
	VER PARK W /A 98188-4704				425120			
, , , ,								
3a Plan ad	dministrator's name ar	nd address X Same as Plan Spo	onsor.		3b Administrator's EIN			
					3c Administrator's telephone number			
					Administrator's telephone number			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.			4b EIN					
a Sponso					4d PN			
C Plan Name								
5a Total r	number of participants	at the beginning of the plan year			. 5a	76		
b Total r	number of participants	at the end of the plan year			. 5b	77		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			. 5c	66				
d(1) Total number of active participants at the beginning of the plan year				5d(1)	76			
d(2) Total number of active participants at the end of the plan year			5d(2)	67				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0				
		or incomplete filing of this retuing her penalties set forth in the instru						
SB or Sche		nd signed by an enrolled actuary,						
SIGN	Filed with authorized	/valid electronic signature.	05/14/2018	PA SOUNG				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	dual signing as pl	an administrator		
SIGN HERE								
Signature of employer/plan sponsor Date Enter name of individual signing as employer and provided as a sponsor Date Date Date of individual signing as employer and provided as a sponsor Date Date Date of individual signing as employer and provided as a sponsor Date Date Date Date Date Date Date Date			nployer or plan sponsor					

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					_	Yes No		
							lot determined e instructions.)		
Par	t III Financial Information	1	Г						
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b)	End of Ye	ear
a	Total plan assets	. 7a		0		2909		90958	
b	Total plan liabilities	. 7b		0				0	
С	Net plan assets (subtract line 7b from line 7a)	. 7c		0		290958		90958	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total			
	Contributions received or receivable from: (1) Employers	. 8a(1)		0					
	(2) Participants		272908						
	(3) Others (including rollovers)	8a(3)		6227					
b	Other income (loss)	. 8b	1	18544					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				297679			97679
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		5255					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e		0					
f	Administrative service providers (salaries, fees, commissions)	. 8f		1466					
g	Other expenses	expenses 8g 0							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)							6721	
i	Net income (loss) (subtract line 8h from line 8c)	. 8i				290958			90958
j	Transfers to (from) the plan (see instructions)	- 8j		0					
Par	Part IV Plan Characteristics								
9a									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:								
Par	V Compliance Questions								
10	During the plan year:				Yes	No		Amou	unt
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С				10c		X			
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X]		
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ			
<u> </u>	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10g 10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling	
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b Enter the minimum required contribution for this plan year						
C Enter the amount contributed by the employer to the plan for this plan year						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A	
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?			s X N	0	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to				
13c(1) Name of plan(s): 13c(2)			2) EIN(s)		13c(3) PN(s)	