Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Parti	Annual Repor	t identification information				
For calend	ar plan year 2017 or	fiscal plan year beginning 01/01/	2017	and ending 12	/31/2017	
A This re	turn/report is for:	X a single-employer plan	a multiple-employer pl list of participating en	an (not multiemployer) (F	_	
D To and		a one-participant plan	a foreign plan			
B This reti	urn/report is	the first return/report	the final return/report			
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)	
C Check	box if filing under:	Form 5558	automatic extension	[DFVC program	m
		special extension (enter desc	ription)			
Part II	Basic Plan Inf	ormation—enter all requested in	formation			
1a Name LUNDBERG	of plan 6, LLC 401(K) PROFI	T SHARING PLAN			1b Three-digit plan numb (PN) ▶	
				-	1c Effective d	ate of plan 01/01/2016
2a Plan s	ponsor's name (empl	oyer, if for a single-employer plan)				dentification Number
Mailing	g address (include ro	om, apt., suite no. and street, or P.0		ruotiono)		81-1347311
LUNDBERG		ce, country, and ZIP or foreign pos	tai code (ii foreign, see inst	ructions)		telephone number 5-283-5070
					2d Business c	ode (see instructions)
P.O. BOX 59 13201 BEL-F						541330
BELLEVUE,						
3a Plan a	dministrator's name a	and address X Same as Plan Spo	nsor.		3b Administrati	tor's EIN
					3c Administrati	tor's telephone number
						•
1 16 41- 2				atura /aa a art fila d fa a	4b FIN	
		ne plan sponsor or the plan name h onsor's name, EIN, the plan name a			4b EIN	
•	sor's name				4d PN	
C Plan N	lame					
5a Total	number of participant	s at the beginning of the plan year.			5a	55
		s at the end of the plan year			5b	61
		account balances as of the end of			5c	52
d(1) Tot	al number of active p	articipants at the beginning of the p	lan year	<u></u>	5d(1)	54
٠,		articipants at the end of the plan ye		F	5d(2)	52
than	100% vested	o terminated employment during th	•••••		5e	5
		or incomplete filing of this retur				
SB or Sche		other penalties set forth in the instru and signed by an enrolled actuary, aplete.				
SIGN	Filed with authorize	d/valid electronic signature.	05/18/2018	JAMES B. LAING		
HERE	Signature of plan	administrator	Date	Enter name of individu	ıal signing as pla	n administrator
SIGN						
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individu	ıal signing as em	ployer or plan sponsor

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	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) 						X Yes	No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
	If you answered "No" to either line 6a or line 6b, the plan cann					_	_	_	
С	If the plan is a defined benefit plan, is it covered under the PBGC in					_		Not deter	mined
	If "Yes" is checked, enter the My PAA confirmation number from the	ie PBGC p	remium filing for this pl	lan yea	r			(See instruc	tions.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) End	of Year	
а	Total plan assets	. 7a		36290				4136385	
b	Total plan liabilities	. 7b		49				671	
С	Net plan assets (subtract line 7b from line 7a)	. 7c	340	36241				4135714	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) -	Γotal	
а	Contributions received or receivable from:	90(4)	20	21160					
	(1) Employers	8a(1)		21160 21447	\dashv				
	(2) Participants	. 8a(2) . 8a(3)	42	9047					
	Other income (loss)		57	73850					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1225504	
	Benefits paid (including direct rollovers and insurance premiums								
	to provide benefits)	. 8d	52	25911					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e							
<u>f</u>	Administrative service providers (salaries, fees, commissions)	. 8f		0					
	Other expenses	. 8g		120					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h						526031	
<u> </u>	Net income (loss) (subtract line 8h from line 8c)							699473	
	Transfers to (from) the plan (see instructions)	8j 0							
	t IV Plan Characteristics			01		0	1 1 1 1		
9a	If the plan provides pension benefits, enter the applicable pension 2E $$ 2J $$ 2K $$ 2H $$ 3D	feature co	ides from the List of Pi	an Cha	racteri	stic Co	odes in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan	n Chara	acteris	tic Cod	des in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V								
	Program)			10a		Χ			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	X			50000	00
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	-		10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X			
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		Χ			
g			•	10g		X			
h 	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В	. Y	es No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of			es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t		of the letter Year	ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No)
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

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Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

	t identification information				
For calendar plan year 2017 or	fiscal plan year beginning	01/01/2017	and ending	12/31/	
A This return/report is for:	X a single-employer plan	a multiple-employer pla list of participating em	an (not multiemployer) (f ployer information in ac		
	a one-participant plan	a foreign plan			
B This return/report is	the first return/report	the final return/report			
	an amended return/report	a short plan year returr	n/report (less than 12 mo	onths)	
C Check box if filing under:	Form 5558	automatic extension	1	DFVC progra	am
	special extension (enter desc		·		
Part II Basic Plan Inf	ormation—enter all requested in	nformation			
1a Name of plan				1b Three-dig	iit
Lundbera, LLC 401(k)	Profit Sharing Plan			plan num	ber 001
				(PN) ▶ 1c Effective	data of plan
				01/01/2	•
2a Plan sponsor's name (empl	loyer, if for a single-employer plan)				Identification Number
	om, apt., suite no. and street, or P.o nce, country, and ZIP or foreign pos		uctions)	(EIN) 81	-1347311
Lundberg, LLC	ce, country, and zir or foreign pos	ital code (il loreign, see insti	uotions)	•	s telephone number
				425-283	code (see instructions)
P.O. Box 597				541330	code (see instructions)
13201 Bel-Red Road					
Bellevue	WA 98005 and address X Same as Plan Spo			3b Administr	
				, , , , , , , , , , , , , , , , , , , ,	ator's telephone number
	he plan sponsor or the plan name h			4b EIN	
this plan, enter the plan sp a Sponsor's name	onsor's name, EIN, the plan name	and the plan number from th	ne last return/report.	4d PN	
C Plan Name				Hu PN	
5a Total number of participant	ts at the beginning of the plan year			5a	55
· ·	ts at the end of the plan year		ŧ	5b	61
·	h account balances as of the end o	. , , ,	•	5c	52
d(1) Total number of active p	participants at the beginning of the p	olan year		5d(1)	54
d(2) Total number of active p	participants at the end of the plan ye	ear		5d(2)	52
• •	no terminated employment during th			5e	5
Caution: A penalty for the late	e or incomplete filing of this retu	rn/report will be assessed	unless reasonable cau		
SB or Schedule MB completed	other penalties set forth in the instru and signed by ap enrolled a	as well as the electronic ver	examined this return/report	ort, including, i i, and to the bes	t of my knowledge and
belief, it is true, correct, and cor			1		
SIGN		- 2018/05/18	James B. Laing	ſ	
HERE Signature of plan	administrator "	Date	Enter name of individu	ual signing as p	an administrator
SIGN					
HERE Signature of omn	lover/nian enoneor	Date	Enter name of individu	ial eigning ae o	mnlover or nlan sponsor

	Form 5500-SF 2017		Page 2						
b	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility of you answered "No" to either line 6a or line 6b, the plan cann of the plan is a defined benefit plan, is it covered under the PBGC in	an indepe and condi not use Fo nsurance	endent qualified public a itions.) orm 5500-SF and must program (see ERISA se	ccounta t instea ction 40	ant (IQ d use 021)?	PA) Form 5	5500. Yes	X Yes No X Yes No Not determined	
Da	If "Yes" is checked, enter the My PAA confirmation number from the rt III Financial Information	ne PBGC į	premium filing for this pl	an year	<u> </u>			. (See instructions.)	
<u>га</u>	Plan Assets and Liabilities	al Malaysia (Constitution of the Constitution	(a) Beginning (of Voar			(b) End	of Year	
	Total plan assets	7a		436,2	290		(6) 1110	4,136,385	
		7b			49			671	
	Net plan assets (subtract line 7b from line 7a)	7c	3,	436,2	-			4,135,714	
8	Income, Expenses, and Transfers for this Plan Year	21.5 G W C C C C C C C C C C C C C C C C C C	(a) Amoun				(b) T	otal	
	Contributions received or receivable from: (1) Employers	8a(1)		221,	16,0				
	(2) Participants	8a(2)		421,4	147				
	(3) Others (including rollovers)	8a(3)		9,0	047				
b	Other income (loss)	8b		573,8	850)			
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c	Contract of the Contract of th		536564			1,225,504	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		525,	911	60 (A)	70,500,050 5,500,000		
е	Certain deemed and/or corrective distributions (see instructions)	. 8e					ore permanent		
f	Administrative service providers (salaries, fees, commissions)	. 8f			0		e Sanggara ac	7.25.30(0.03)(0.07)(0.0 	
g	Other expenses	. 8g		Vandala de Lacel	120				
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h	1 TOO 10					526,031	
i_	Net income (loss) (subtract line 8h from line 8c)	. 8i					699,473		
j	Transfers to (from) the plan (see instructions)	. 8j	0						
Pa	rt IV Plan Characteristics								
9a	2E 2J 2K 2H 3D								
b	If the plan provides welfare benefits, enter the applicable welfare f	feature co	des from the List of Pla	n Chara	acteris	lic Code	es in the instr	uctions:	
Pa	rt V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
8	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Norgram)	Voluntary	Fiduciary Correction	10a		х			
<u>l</u>	Were there any nonexempt transactions with any party-in-interes reported on line 10a.)	st? (Do no	t include transactions	10b		х			
				10c	Х			500,000	
	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	s fidelity b	ond, that was caused	10d		х			

Х

X

Х

Χ

10e

10f

10g

10h

10i

e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under

g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)

h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR

exceptions to providing the notice applied under 29 CFR 2520.101-3

Form	5500.	SE	2017

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l visses						
Part '	VI Pension Funding Compliance					
11	ls this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)				Y	es No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302			Y	es 🛛 No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an granting the waiver		r the	date	of the letter Year	ruling
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12 c		····		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				es/	No	N/A
Part \	/II Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?			Yes	X N)
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)) to				
1	3c(1) Name of plan(s): 13c(2	EIN(s)		13c(3)	PN(s)