Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		Identification Information						
For calend	lar plan year 2017 or fi	scal plan year beginning 01/01/	2017	and ending 1	2/31/2017			
A This re	turn/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
D =: .	,	a one-participant plan	a foreign plan					
B This return/report is		the first return/report	the final return/report					
		an amended return/report	a short plan year retu	urn/report (less than 12 m	12 months)			
C Check	box if filing under:	Form 5558	automatic extension		DFVC prog	ram		
		special extension (enter desc	• ,					
Part II	Basic Plan Info	rmation—enter all requested in	formation		T			
1a Name BIOVERICO	•	401 K PROFIT SHARING PLAN T	RUST		1b Three-d plan nur (PN) ▶			
						e date of plan 01/01/2017		
		yer, if for a single-employer plan)			2b Employer Identification Number			
		m, apt., suite no. and street, or P.0		structions)	(EIN) 20-0838171			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) BIOVERICOM INCORPORATED			su dellono,	2c Sponsor's telephone number 518-878-9459				
					2d Business code (see instructions)			
1801 6TH A					812990			
TROY, NY 1	12100							
3a Plan a	administrator's name ar	nd address X Same as Plan Spo	nsor.		3b Administ	trator's EIN		
					3c Administ	trator's telephone number		
					OO Adminis	trator s telephone number		
4 16 (1					Als en			
		e plan sponsor or the plan name h nsor's name, EIN, the plan name :			4b EIN			
a Spons	sor's name				4d PN			
C Plan N	Name							
5a Total number of participants at the beginning of the plan year				5a	1			
b Total	b Total number of participants at the end of the plan year				5b	1		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				ed contribution plans	5c			
d(1) Total number of active participants at the beginning of the plan year				5d(1)	1			
d(2) Total number of active participants at the end of the plan year				5d(2)	1			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0				
Caution: A	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable car				
SB or Sch		her penalties set forth in the instru nd signed by an enrolled actuary, plete.						
SIGN	Filed with authorized	/valid electronic signature.	05/31/2018	EDWARD ROJAS				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	ual signing as إ	plan administrator		
SIGN								
HERE	Signature of emplo	ver/plan sponsor	Date Enter name of individual signing as employer or plan sponsor					

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes No			
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r		· —	(See instructions.)	
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) Eı	nd of Year	
а	Total plan assets	. 7a		0			2576		
b	Total plan liabilities	. 7b		0		0			
<u>C</u>	Net plan assets (subtract line 7b from line 7a)	7c		0			2576		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total			
а	Contributions received or receivable from: (1) Employers	. 8a(1)		933					
	(2) Participants	8a(2)		1600					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b		50					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				2!		2583	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		0					
e	Certain deemed and/or corrective distributions (see instructions)	. 8e	0						
f	Administrative service providers (salaries, fees, commissions)	. 8f		7					
g	Other expenses	. 8g		0					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h				7		7	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i				2576		2576	
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0					
Pai	Part IV Plan Characteristics								
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2S 2T 3D								
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Char	acteris	tic Cod	des in the ins	structions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X				
С	Was the plan covered by a fidelity bond?				X				
d	+ +				X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)				Х				
f	Has the plan failed to provide any benefit when due under the plan?				X	<u></u>			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)				X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b Enter the minimum required contribution for this plan year					
C Enter the amount contributed by the employer to the plan for this plan year					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		. Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	13c(1) Name of plan(s): 13c(2)			13c(3) PN(s)	