Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

▶ Complete all entries in accordance with the instructions to the Form 5500-SF. Annual Report Identification Information

For Calenda	ar pian year 2017 or i	iscai pian year beginning 01/01/	2017	and ending	2/31/2017					
A This return/report is for:		X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
		a one-participant plan	a foreign plan							
B This retu	urn/report is	the first return/report	the final return/report							
		an amended return/report	a short plan year retur	rn/report (less than 12 m	nonths)					
C Check box if filing under: Form 5558 automatic extension					DFVC program					
		special extension (enter desc	. ,							
Part II	Basic Plan Info	ormation—enter all requested in	nformation							
1a Name of plan BUCHANAN KIM DDS PLLC 401 K PROFIT SHARING PLAN TRUST				1b Three plan (PN)	number					
					1c Effective date of plan 01/01/2001					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					2b Employer Identification Number (EIN) 26-2119766					
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) BUCHANAN & KIM DDS PLLC			ructions)	2c Sponsor's telephone number 206-343-8929						
					2d Business code (see instructions)					
999 3RD AVE PLAZA 18 SEATTLE, WA 98104					621210					
3a Plan a	dministrator's name a	and address X Same as Plan Spo	onsor.		3b Administrator's EIN					
					20 11 11 11 11 11 11					
					3c Administrator's telephone number					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.						4b EIN				
•	or's name	onsor's name, Lin, the plan name	and the plan number nom t	ne iast return/report.	4d PN					
C Plan Name										
5a Total r	number of participants	s at the beginning of the plan year			. 5a	11				
b Total r	number of participants	s at the end of the plan year			5b					
	C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				. 5c	9				
d(1) Tota	al number of active pa	articipants at the beginning of the p	olan year		5d(1)	8				
d(2) Total number of active participants at the end of the plan year				5d(2)	9					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				. 5e	0					
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.										
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
SIGN	Filed with authorized	d/valid electronic signature.	06/05/2018	GLENN BUCHANAN						
HERE	Signature of plan	administrator	Date	Enter name of individ	vidual signing as plan administrator					
SIGN										
HERE	Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor									
For Paperwe	ork Reduction Act Noti	ice, see the Instructions for Form 550	0-SF.			Form 5500-SF (2017)				

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b	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 						Yes No		
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) En	d of Year	
а	Total plan assets	. 7a	16	03816		2016143			
b	Total plan liabilities	. 7b		0		0			
С	Net plan assets (subtract line 7b from line 7a)	. 7c	16	03816		2016143			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		(b) Total			
а	Contributions received or receivable from:	- 411							
	(1) Employers	. 8a(1)		23911					
	(2) Participants	. 8a(2)	10	00319					
	(3) Others (including rollovers)	. 8a(3)	0	0					
	Other income (loss)	8b	3	336059				400000	
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						460289	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		47453					
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0					
f	Administrative service providers (salaries, fees, commissions)	. 8f		509					
g	Other expenses	. 8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)					47962		47962	
i	Net income (loss) (subtract line 8h from line 8c)	. 8i				412327		412327	
j	Transfers to (from) the plan (see instructions)	- 8j	0						
Part IV Plan Characteristics									
9a									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:								
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X				
С	C Was the plan covered by a fidelity bond?				X				
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)				X				
f	f Has the plan failed to provide any benefit when due under the plan?				X				
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			Х			15290		
h	,			10h		Χ			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling	
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b Enter the minimum required contribution for this plan year						
C Enter the amount contributed by the employer to the plan for this plan year						
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A	
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			. Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to				
1	13c(1) Name of plan(s): 13c(2)			13c(3) PN(s)		