Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to **Public Inspection**

Part I	Annual Repor	<u>t Identification Information</u>	1					
For calend	ar plan year 2017 or	fiscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017			
A This re	turn/report is for:	x a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
D T 12	·	a one-participant plan	a foreign plan					
D This reti	urn/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year retu	urn/report (less than 12 m	nonths)			
C Check	box if filing under:	Form 5558	automatic extension		DFVC program			
	1	special extension (enter desc	' '					
Part II	Basic Plan Inf	ormation—enter all requested in	formation					
1a Name	•				1b Three-digit			
PET PARTNERS 403(B) DC PLAN				plan numbe (PN) ▶	r 001			
					1c Effective da	L		
					01/01/1987			
Mailing	g address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.0	,			entification Number 1-1158281		
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) PET PARTNERS CATHERINE ANN PETERS				2c Sponsor's telephone number 425-679-5500				
OATHERINE ANN LETERS					2d Business code (see instructions)			
345 118TH A SUITE 200	AVENUE SE				624100			
	WA 98005-3537							
3a Plan a	dministrator's name	and address X Same as Plan Spo	nsor.		3b Administrate	or's EIN		
					3c Administrate	or's telephone number		
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for			4b EIN					
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name				4d PN				
C Plan Name								
	a Total number of participants at the beginning of the plan year				5a 5			
b Total number of participants at the end of the plan year					. 5b	52		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c 51					
d(1) Total number of active participants at the beginning of the plan year					5d(1) 1			
d(2) Total number of active participants at the end of the plan year			5d(2)					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e 0					
Caution: A	A penalty for the late	e or incomplete filing of this retur	n/report will be assesse	<u>d unless reasonable ca</u>				
SB or Sche		other penalties set forth in the instru and signed by an enrolled actuary, nplete.						
SIGN		d/valid electronic signature.	06/20/2018	CATHERINE PETERS	S			
HERE	Signature of plan	administrator	Date	Enter name of individ	dividual signing as plan administrator			
SIGN	Filed with authorize	d/valid electronic signature.	06/20/2018	CATHERINE PETERS				

Date

Signature of employer/plan sponsor

HERE

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X	Yes No				
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						×	Yes No			
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							<u>—</u>			
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes N	lo 🗌 No	t determined		
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year						(See	instructions.)			
Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning	of Year	of Year (b)				b) End of Year		
a	Total plan assets	. 7a	139	1352404			1427644				
b	Total plan liabilities	. 7b		0		0					
<u> </u>	Net plan assets (subtract line 7b from line 7a)	. 7c	139	1352404			1427644				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total				
<u>а</u>	Contributions received or receivable from: (1) Employers	. 8a(1)		88120							
	(2) Participants	. 8a(2)		0							
	(3) Others (including rollovers)	. 8a(3)		0							
b	Other income (loss)	. 8b	1	178023							
<u>C</u>	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					266143				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	1!	190903							
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0							
f	Administrative service providers (salaries, fees, commissions)	. 8f		0							
g	Other expenses	. 8g		0							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)						190	0903			
i	Net income (loss) (subtract line 8h from line 8c)							7!	5240		
j	Transfers to (from) the plan (see instructions)	8j		0							
Pa	Part IV Plan Characteristics										
9a											
b											
Par	t V Compliance Questions										
10	During the plan year:				Yes	No		Amoui	nt		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V										
	Program)	•	,	10a		X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		Х					
c	C Was the plan covered by a fidelity bond?			10c		Х					
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?			10f		Х					
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X					
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		Х					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i							
				-	•	-	•				

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b Enter the minimum required contribution for this plan year					
C Enter the amount contributed by the employer to the plan for this plan year					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)