Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		t Identification Informatior										
For calend	ar plan year 2017 or	fiscal plan year beginning 01/01/	2017		and ending 1	2/31/2017						
A This ref	turn/report is for:	X a single-employer plan			an (not multiemployer) (ployer information in ac							
_		a one-participant plan	a f	oreign plan								
B This retu	urn/report is	the first return/report	the final return/report									
		n/report (less than 12 m	months)									
C Check	box if filing under:	Form 5558	au	tomatic extension		DFVC program						
		special extension (enter desc	cription)									
Part II	Basic Plan Inf	ormation—enter all requested ir	nformatio	n								
1a Name LAS REDEV	•	C PROFIT SHARING PLAN				1b Three-digit plan numb (PN) ▶						
						1c Effective d	ate of plan 10/01/1983					
		oyer, if for a single-employer plan)				2b Employer I	dentification Number					
,	`	om, apt., suite no. and street, or P.0 ce, country, and ZIP or foreign pos	,	(if foreign, see instr	uctions)		14-1583773					
-	ELOPMENT CO., LL					2c Sponsor's telephone number 518-489-4726						
C EVECUTIV	/E PARK DRIVE					2d Business of	code (see instructions)					
ALBANY, NY							236200					
3a Plan a	dministrator's name a	and address X Same as Plan Spo	onsor.			3b Administra	tor's EIN					
							tor's telephone number					
		ne plan sponsor or the plan name honsor's name, EIN, the plan name				4b EIN						
a Sponsc Plan N	or's name					4d PN						
C Flairiv	varrie											
5a Total	number of participant	s at the beginning of the plan year.				5a	24					
		s at the end of the plan year				5b	20					
		account balances as of the end of				5c	19					
d(1) Tota	al number of active p	articipants at the beginning of the p	olan year			5d(1)	19					
` '	•	articipants at the end of the plan ye				5d(2) 5						
than	100% vested	o terminated employment during th				5e	0					
Caution: A	A penalty for the late	or incomplete filing of this retur	rn/report	t will be assessed	unless reasonable ca							
SB or Sche		other penalties set forth in the instru and signed by an enrolled actuary, nolete.										
SIGN		d/valid electronic signature.		06/19/2018	JOHN FOLEY							
HERE	Signature of plan	administrator		Date	Enter name of individ	lual signing as pla	n administrator					
SIGN	Filed with authorize	d/valid electronic signature.		06/19/2018	JOHN FOLEY							
HERE	la:			- ·	l =							

Date

Enter name of individual signing as employer or plan sponsor

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes No
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes No
_	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							Not determined
С	c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No							
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC pi	emium filing for this p	lan yea	r			(See instructions.)
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) En	d of Year
	Total plan assets	7a		05954			(2) =	618829
	Total plan liabilities	7b		0				0
			180	05954				618829
8	Net plan assets (subtract line 7b from line 7a) Income, Expenses, and Transfers for this Plan Year	7c	(a) Amoun				(b)	Total
а	Contributions received or receivable from:		(.,					
	(1) Employers	8a(1)		10870				
	(2) Participants	8a(2)	•	15605				
	(3) Others (including rollovers)	8a(3)		0				
b	Other income (loss)	8b	16	88886				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						195363
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		19105				
е	Certain deemed and/or corrective distributions (see instructions)	8e		0				
f	Administrative service providers (salaries, fees, commissions)	8f		150				
q	er expenses							
	Total expenses (add lines 8d, 8e, 8f, and 8g)					19255		
÷	Net income (loss) (subtract line 8h from line 8c)					176108		
÷	Transfers to (from) the plan (see instructions)							170100
	5)							
	rt IV Plan Characteristics			01		0	1 1 1 1	
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H	reature co	des from the List of Pi	an Cna	racteri	Stic Co	aes in the ins	structions:
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acteris	tic Coc	les in the inst	ructions:
_								
Par	t V Compliance Questions				ı	ı		
10	During the plan year:				Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X		
b	Were there any nonexempt transactions with any party-in-interest	? (Do not i	nclude transactions					
	reported on line 10a.)			10b	X	X		
	• • • • • • • • • • • • • • • • • • • •			10c	^			1000000
d	by fraud or dishonesty?			10d		X		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X		
f	Has the plan failed to provide any benefit when due under the plan			10f		X		
g				10g	X			1143
	If this is an individual account plan, was there a blackout period? (2520.101-3.)	(See instru	ctions and 29 CFR	10g 10h	-	X		1170
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required	I notice or one of the	10ii 10i				
	Chesphone to providing the hotioc applied dilder 25 of It 2020. To			101	<u> </u>	<u> </u>		

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	edule S	В	Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 		′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver		the date		
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	x N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)) to			
1	13c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) PN(s)
CAPITA	AL DISTRICT MANAGEMENT, LLC 401(K) PLAN 81-3423078	3		001	

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Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110

1210-0089

2017

This Form is Open to Public Inspection

Pa	art I Annual Repor	t Identification Information	1					
For	calendar plan year 2017 or	fiscal plan year beginning		01/01/2017	and ending	1:	2/31/2017	
A 1	This return/report is for:	x a single-employer plan		a list of participating	olan (not multiemployer) employer information in			
n -		a one-participant plan	=	a foreign plan				
B	This return/report is:	the first return/report	님	the final return/report				
		an amended return/report	Ш	a short plan year retu	rn/report (less than 12 i	nonths))	
C	Check box if filing under:	Form 5558		automatic extension			DFVC progra	am
Da	rt II Basic Plan Inf	formation enter all requested		•				
	Name of plan	Officiation enter all requested	intori	mation		1b	Three-digit	
	•	Co., LLC Profit Sharing	, Pla	an			plan number (PN) ▶	002
						1c	Effective date of 10/01/1983	of plan
2a	Mailing Address (include re	oloyer, if for a single-employer plan) oom, apt., suite no. and street, or P nce, country, and ZIP or foreign pos	.O. Bo		tructions)	2b	Employer Ident (EIN) 14-15	ification Number 83773
	LAS Redevelopment	•		(,	2c	Sponsor's telep (518) 489-	
	6 Executive Park 1	Orive				2d	Business code 236200	(see instructions)
	US Albany NY 12203					1		
3a	Plan administrator's name	and address X Same as Plan Sp	onso			3b	Administrator's	EIN
						3c	Administrator's	telephone number
4		the plan sponsor or the plan name honsor's name, EIN, the plan name				4b	EIN	
а	Sponsor's name	· · ·		•	•	4d	PN	
С	Plan Name							
	T. (1) (1) (1)	Land the best state of the selection of				5a		24
_	• •	ts at the beginning of the plan year ts at the end of the plan year				5k		20
	·	h account balances as of the end of						20
Ū	complete this item)			• • •	•	50		19
d(1	Total number of active p	articipants at the beginning of the pl	lan ye	ar		5d(1)	19
d(2	2) Total number of active p	articipants at the end of the plan yea	ar .			5d(2)	5
е		o terminated employment during the			nefits that were	50	е	0
		e or incomplete filing of this retu						
SB	der penalties of perjury and or Schedule MB completed ef, it is true; correct, and co	other penalties set forth in the instri and signed by an enrolled actuary,	uction as we	ell as the electronic ve	e examined this return/reportsion of this return/reportsion	eport, i	ncluding, if appl to the best of m	icable, a Schedule ny knowledge and
2555	1 () - 8 10	Arlen		16/19/18	John Foley			
F-1 0 9000	GN FOR THE STATE OF THE STATE O			Bata		ol oice	na se nien ed	inistrator
H	RE Signature of plan ad	ministrator		Ďate	Enter name of individu	ai signi	ng as pian adm	เการแสเปเ
	GN_			1	John Foley			
HE	RE Signature of employ	er/plan sponsor		Date	Enter name of individu	aı sıgnı	ing as employer	or plan sponsor

Page	2	

6a	Were all of the plan's assets during the plan year invested in eligible	e assets?	(See instructions.)	•••••	•••••		•••••		XYes No	
b	Are you claiming a waiver of the annual examination and report of a	an indepen	dent qualified public acco	untan	t (IQF	PA)				
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								XYes No	
	If you answered "No" to either line 6a or line 6b, the plan cannot									
С	If the plan is a defined benefit plan, is it covered under the PBGC in	surance p	rogram (see ERISA section	on 40	21)?	[Yes	i ∏No [Not determined	
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this year					(S	ee instructions.)	
P:	art III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning o	f Yea	r			(b) End of	Year	
a	Total plan assets	. 7a	1,86)5,9	54				618,829	
b	Total plan liabilities				0				0	
C	Net plan assets (subtract line 7b from line 7a)		1,80	05.9	54	618,829				
8	Income, Expenses, and Transfers for this Plan Year	14.75.6	(a) Amount				(b) Total			
а	Contributions received or receivable from:		,					(E)		
	(1) Employers	1		10,8						
	(2) Participants	8a(2)		15,6						
	(3) Others (including rollovers)	. 8a(3)			0					
b	Other income (loss)	. 8b	10	58,8	88			Part State		
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				4	21012017777	CO. 12 /2 L 12/0-20-0-10	195,363	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		19,1	05				A Company of the Comp	
е	Certain deemed and/or corrective distributions (see instructions)	 			0					
f	Administrative service providers (salaries, fees, commissions)	. 8f		1	50					
		————			0					
<u>g</u>	Other expenses (add lines od on of and on)	. 8g		de la			C1858/87/3	Maria (Mila	19,255	
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	T				(B)			176,108	
<u>+</u>	Net income (loss) (subtract line 8h from line 8c)		(1,363,233)						1,0,100	
	Prince individuals			/5/255/						
	art IV Plan Characteristics									
9а	If the plan provides pension benefits, enter the applicable pension for	eature cod	les from the List of Plan C	narad	cteristi	ic Cod	ies in ti	ne instructio	ons:	
	2E 2F 2G 2J 2K 2T 3D 3H									
b	If the plan provides welfare benefits, enter the applicable welfare fe	ature code	s from the List of Plan Ch	aract	eristic	Code	s in the	e instruction	s:	
D.	art V Compliance Questions									
10	During the plan year:				Yes	No	N/A	A	mount	
	Was there a failure to transmit to the plan any participant contribu	utions withi	n the time period							
_	described in 29 CFR 2510.3-102? (See instructions and DOL's Vo									
	Program)			10a		х				
t	Were there any nonexempt transactions with any party-in-interest	? (Do not	include transactions							
	reported on line 10a.)			10b		х	(256E)			
				10c	х				1,000,000	
C	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		x				
e		her person	s by an insurance							
	carrier, insurance service, or other organization that provides somethe plan? (See instructions.)			10e		х				
f				10f		x				
				10g	х		44	Care part of the state of the	1,143	
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		х				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he required	d notice or one of the	10i					i de la companya de	
	annahmana ta biantania ma manah abbinga amaan an at ti aganti.						* * * * * * * * * * * * * * * * * * *	the second secon		

Form 5500-SF 2017

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Part	Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete some form 5500 and line 11a below)			Yes 🛚	No No
<u>11a</u>	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sec ERISA?	tion 302	2 of	Yes 🗵	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, granting the waiver Month		er the date ay		
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for the plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?] Yes [No 🔲 N	/A
Parl	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under t control of the PBGC?		Y	es 🗶 No)
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.)	(s) to			

13c(1) Name of plan(s):

Capital District Management, LLC 401(k) Plan

13c(3) PN(s)

001

13c(2) EIN(s)

81-3423078