Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Internal Revenue Service

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		: Identification Information							
For calend	ar plan year 2017 or fi	iscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017				
A This ret	turn/report is for:	a single-employer plan		plan (not multiemployer) (employer information in ac					
		a one-participant plan	a foreign plan						
B This retu	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)				
C Check	box if filing under:	Form 5558	automatic extension		DFVC progr	am			
		special extension (enter desc	• /						
Part II	Basic Plan Info	ormation—enter all requested in	formation		T				
1a Name RAYTRON E	•	401(K) SALARY REDUCTION P			1b Three-dig plan num (PN) ▶				
					1c Effective	date of plan 04/01/1997			
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C) Payl			r Identification Number			
		ce, country, and ZIP or foreign post		structions)	(EIN)	59-3169770			
-	RAYTRON ENTERPRISES INC. OF FLORIDA					s telephone number 27-451-0700			
					2d Business	code (see instructions)			
PO BOX 177					812112				
CLEARWATER, FL 33762									
3a Plan administrator's name and address X Same as Plan Sponsor.					3b Administrator's EIN				
					3c Administr	rator's telephone number			
4 If the r	name and/or EIN of th	e plan sponsor or the plan name h	as changed since the last	return/report filed for	4b EIN				
		onsor's name, EIN, the plan name a	and the plan number from	the last return/report.					
•	or's name				4d PN				
C Plan N	lame								
5a Total	number of participants	s at the beginning of the plan year.			5a	17			
b Total	number of participants	s at the end of the plan year			5b	17			
C Numb	er of participants with	account balances as of the end of	the plan year (only define	ed contribution plans	5c	15			
d(1) Tota	al number of active pa	articipants at the beginning of the p	lan year		5d(1)				
		articipants at the end of the plan ye			. 5d(2)				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e 0					
Caution: A	penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca					
SB or Sche		ther penalties set forth in the instru and signed by an enrolled actuary, a aplete.							
SIGN	Filed with authorized	d/valid electronic signature.	06/22/2018	TERI GOODWIN					
HERE	Signature of plan a	administrator	Date	Enter name of individ	lual signing as p	lan administrator			
SIGN	Filed with authorized	d/valid electronic signature.	06/22/2018	TERI GOODWIN					
HERE	Signature of emplo	oyer/plan sponsor	Date	Enter name of individ	lual signing as e	mployer or plan sponsor			

Form 5500-SF 2017 Page **2**

 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) 							No No		
	If you answered "No" to either line 6a or line 6b, the plan cannel		,					M 100 H	••
С	If the plan is a defined benefit plan, is it covered under the PBGC in	surance p	rogram (see ERISA se	ection 4	021)?		Yes No	Not determine	d
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r			. (See instructions	.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year	
а	Total plan assets	7a		61188			` '	911775	
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7с	70	61188				911775	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) 1	Total	
a	Contributions received or receivable from: (1) Employers	8a(1)	;	30077					
	(2) Participants	8a(2)	-	73393					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	12	23823					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						227293	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	-	76616					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f		90					
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						76706	
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	8i						150587	
	Transfers to (from) the plan (see instructions)	8j							
_	t IV Plan Characteristics								
9a 	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2T 3D	feature co	des from the List of Plant	an Cha	racteri	stic Co	des in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	es in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	102		X			
b	Program)			10a		- / /			
	reported on line 10a.)			10b		Χ			
C				10c	Χ			100000	
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused	10d		Χ			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	e or all of	the benefits under	10e	X			4157	
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		Χ			
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year-e	end.)	10g	Χ			10288	
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.101			10i					

Form 5500-SF 2017	Page 3- 1
-------------------	------------------

Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В	. Y	es No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of			es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t		of the letter Year	ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No)
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)

Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to **Public Inspection**

Part I	Part I Annual Report Identification Information								
For calend	ar plan year 2017 or fisca	al plan year beginning			and ending				
A This ref	turn/report is for:	a single-employer plan					cking this box must attach a with the form instructions.)		
		a one-participant plan	a foreign plan						
B This retu	urn/report is	the first return/report	the fi	nal return/report					
		an amended return/report	a sho	ort plan year return	/report (less than 12 m	onths)			
C Check	box if filing under:	Form 5558	auto	matic extension		DFVC	program		
		special extension (enter descr	cription)						
Part II	Basic Plan Inforr	nation—enter all requested inf	nformation						
1a Name						•	ee-digit n number		
						,	ective date of plan		
2a Plan s Mailing	2b Emp (EIN	nployer Identification Number N)							
City or	town, state or province,	country, and ZIP or foreign post	ital code (it	f foreign, see instru	uctions)	2c Sponsor's telephone number			
	2d Business code (see instruction						iness code (see instructions)		
3a Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone									
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name 4b EIN 4d PN									
C Plan N	lame								
5a Total	number of participants at	t the beginning of the plan year				5a			
		t the end of the plan year				5b			
		count balances as of the end of				5c			
d(1) Tot	al number of active partic	cipants at the beginning of the pl	olan year			5d(1)			
		cipants at the end of the plan yea				5d(2)			
Pumber of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested									
Under pena SB or Sche	alties of perjury and othe	incomplete filing of this return or penalties set forth in the instruc- signed by an enrolled actuary, a sete.	ictions, I d	eclare that I have	examined this return/re	port, includ	ling, if applicable, a Schedule		
SIGN HERE									
HENE	Signature of plan adr	ninistrator		Date	Enter name of individ	ual signing	as plan administrator		
SIGN									
HERE	Signature of employe	er/plan sponsor	[Date	Enter name of individ	ual signing	as employer or plan sponsor		

Form 5500-SF 2017 Page 2

6a	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							
b	Are you claiming a waiver of the annual examination and report of							. Tyes No
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cann		,					. Lites Litto
С	If the plan is a defined benefit plan, is it covered under the PBGC in					_	_	Not determined
	If "Yes" is checked, enter the My PAA confirmation number from th	ne PBGC p	remium filing for this pl	lan yea	r		<u></u>	(See instructions.)
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) En	d of Year
а	Total plan assets	7a	, ,				1	
b	Total plan liabilities	7b						
С	Net plan assets (subtract line 7b from line 7a)	7c						
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b)	Total
а	Contributions received or receivable from: (1) Employers	8a(1)						
	(2) Participants	8a(2)						
	(3) Others (including rollovers)	8a(3)						
b	Other income (loss)	8b						
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d						
е	Certain deemed and/or corrective distributions (see instructions)	8e						
f	Administrative service providers (salaries, fees, commissions)	8f						
g	Other expenses	8g						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						
<u>j</u>	Transfers to (from) the plan (see instructions)	8j						
Pai	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension	feature co	des from the List of Pla	an Chai	racteri	stic Cod	des in the in	structions:
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan	n Chara	cterist	ic Code	es in the ins	tructions:
Par	t V Compliance Questions							
10	During the plan year:				Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contribu		•					
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	-	•	10a				
b	Were there any nonexempt transactions with any party-in-interest	t? (Do not	include transactions					
	reported on line 10a.)			10b 10c				
d	· · · ·			100				
	by fraud or dishonesty?			10d				
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som							
	the plan? (See instructions.)			10e				
f	Has the plan failed to provide any benefit when due under the pla			10f				
g		-		10g				
h	2520.101-3.)			10h				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i				
			•					

Form 5500-SF 2017	Page 3-

Part \	/I Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sche (Form 5500) and line 11a below)	edule S	В		Yes	No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of			Yes	☐ No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver		he date	of the le		ing
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
C I	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	1	V/A
Part \	/II Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	; [No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes	N	0
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to				
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13	c(3) PN	l(s)

Attachment to 2017 Form 5500 Form 5500-SF Multiple Employer Plan Participating Employer Information

Plan NameRaytronEnterprisesInc. 401(k)SalaryReductionP59-3169770Plan Sponsor's NameRaytronEnterprisesInc. of FloridaPN:001

		Percent
Name of participating employer	EIN	of Total Contributions
RAYTRON ENTERPRISES, INC. OF FLORIDA	59-3169770	100.00
KAIZEN, INC.	59-3465211	0.00