## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2017

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

| Part I   | Annual Report lo          | dentification Information                |                          |  |  |  |  |  |  |
|--|---------------------------|--|--------------------------|--|--|--|--|--|--|
| For calend   | ar plan year 2017 or fisc | cal plan year beginning 01/01/2          | 017                      | and ending 12  | 2/31/2017  |  |  |  |  |
| <b>A</b> This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Filers checking this box multiple-employer information in accordance with the form instance)  |                           |  |                          |  |  | _                                      |  |  |  |
| R This rote  | urn/report is             | a one-participant plan                   | a foreign plan           |  |  |  |  |  |  |
| D IIIIS IEU  | um/report is              | the first return/report                  | the final return/report  |  |  |  |  |  |  |
|  |                           | an amended return/report                 | a short plan year return | n/report (less than 12 mo                              | onths)   |  |  |  |  |
| C Check  | box if filing under:      | Form 5558 special extension (enter descr | automatic extension      |  | DFVC prog  | gram                                   |  |  |  |
| Dort II  | Pasia Dian Infar          |  | · · ·                    |  |  |  |  |  |  |
| Part II  |                           | mation—enter all requested inf           | ormation                 |  | 4b ======  | 12 24                                  |  |  |  |
| 1a Name<br>MINDSHAR  | of plan<br>E MEDICAL 401K |  |                          |  | 1b Three-coplan nu (PN) ▶                                    | mber                                   |  |  |  |
|  |                           |  |                          |  | 1c Effectiv  | e date of plan<br>10/01/2016           |  |  |  |
| 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)   |                           |  |                          |  |  | er Identification Number<br>46-5468494 |  |  |  |
| City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)  MINDSHARE MEDICAL INC.  |                           |  |                          | ructions)  | 2c Sponsor's telephone number 415-722-5908                   |  |  |  |  |
|  |                           |  |                          |  | 2d Business code (see instructions)                          |  |  |  |  |
|  | VE. N., SUITE 100         |  |                          |  | 541511   |  |  |  |  |
| SEATTLE, V   | VA 98109                  |  |                          |  | 31.311   |  |  |  |  |
|  |                           | <del>.</del>                             |                          |  | 01   |  |  |  |  |
| <b>3a</b> Plan a   | dministrator's name and   | l address X Same as Plan Spon            | nsor.                    |  | <b>3b</b> Administrator's EIN                                |  |  |  |  |
|  |                           |  |                          | -  | 3c Administrator's telephone number                          |  |  |  |  |
|  |                           |  |                          |  | Administrator 3 telephone number                             |  |  |  |  |
|  |                           |  |                          |  |  |  |  |  |  |
|  |                           |  |                          |  |  |  |  |  |  |
| 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.   |                           |  |                          | 4b EIN   |  |  |  |  |  |
| •  | or's name                 | ,,,,,,                                   |                          |  | 4d PN  |  |  |  |  |
| C Plan Name  |                           |  |                          |  |  |  |  |  |  |
| <b>5a</b> Total  | number of participants a  | t the beginning of the plan year         |                          |  | 5a   | 4                                      |  |  |  |
| <b>b</b> Total   | number of participants a  | t the end of the plan year               |                          |  | 5b   | 4                                      |  |  |  |
| C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)   |                           |  | -                        | 5c   | 1  |  |  |  |  |
| <b>d(1)</b> Tot  | al number of active parti | icipants at the beginning of the pla     | an year                  | <u></u>  | 5d(1)  | 4                                      |  |  |  |
| d(2) Total number of active participants at the end of the plan year   |                           |  |                          |  | 5d(2)  | 4                                      |  |  |  |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested  |                           |  | 5e                       | 0  |  |  |  |  |  |
| Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.  |                           |  |                          |  |  |  |  |  |  |
| Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. |                           |  |                          |  |  |  |  |  |  |
| SIGN   | Filed with authorized/va  | alid electronic signature.               | 06/24/2018               | SAMIR CHOWDHURY  | ′  |  |  |  |  |
| HERE   | Signature of plan ad      | ministrator                              | Date                     | Enter name of individual signing as plan administrator |  |  |  |  |  |
| SIGN   |                           |  |                          |  |  |  |  |  |  |
| HERE   | Signature of employe      | er/plan sponsor                          | Date                     | Enter name of individu                                 | Enter name of individual signing as employer or plan sponsor |  |  |  |  |
| E. B.  |                           |  |                          | •  | 5 5  | F FF00 0F (0047)                       |  |  |  |

Form 5500-SF 2017 Page **2** 

|              | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)   |             |                            |           |          | X Yes No   |                 |                       |   |
|--------------|---|-------------|----------------------------|-----------|----------|------------|-----------------|-----------------------|---|
|              | under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)  |             |                            |           |          | X Yes   No | 0               |                       |   |
| C            | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.  C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined. |             |                            |           |          |            | Not determined  | 4                     |   |
|              | If "Yes" is checked, enter the My PAA confirmation number from the  |             | = '                        |           |          |            |                 | . (See instructions.) |   |
| Do           | <u> </u>  |             |                            | , , , , , |          |            |                 |                       | _ |
|              | rt III Financial Information  |             |                            |           |          |            |                 |                       | _ |
| 7            | Plan Assets and Liabilities   | _           | (a) Beginning (            |           |          |            | (b) End         | of Year               |   |
| <u>a</u>     | Total plan assets   |             | 7a 114896                  |           |          | 133643     |                 |                       |   |
| <u>b</u>     | Total plan liabilities  | 7b          | 1.                         | 14896     |          |            |                 | 133643                |   |
| <u>c</u><br> | Net plan assets (subtract line 7b from line 7a)   | 7c          |                            |           |          |            |                 |                       | _ |
|              | Income, Expenses, and Transfers for this Plan Year  Contributions received or receivable from:  |             | (a) Amoun                  | IT .      |          |            | (D)             | Total                 |   |
|              | (1) Employers   | 8a(1)       |                            |           |          |            |                 |                       |   |
|              | (2) Participants  | 8a(2)       |                            | 279       |          |            |                 |                       |   |
|              | (3) Others (including rollovers)  |             |                            |           |          |            |                 |                       |   |
| b            | Other income (loss)   | 8b          |                            | 18518     |          |            |                 |                       |   |
| С            | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  | 8c          |                            |           |          |            |                 | 18797                 |   |
| d            | Benefits paid (including direct rollovers and insurance premiums to provide benefits)   | 8d          |                            |           |          |            |                 |                       |   |
| е            | Certain deemed and/or corrective distributions (see instructions)   | 8e          |                            |           |          |            |                 |                       |   |
| f            | Administrative service providers (salaries, fees, commissions)  | 8f          |                            | 50        |          |            |                 |                       |   |
| g            | Other expenses  | 8g          |                            |           |          |            |                 |                       |   |
|              | Total expenses (add lines 8d, 8e, 8f, and 8g)   | 8h          |                            |           |          |            |                 | 50                    |   |
| i            | Net income (loss) (subtract line 8h from line 8c)   | 8i          |                            |           |          |            |                 | 18747                 | _ |
| j            | Transfers to (from) the plan (see instructions)   | 8j          |                            |           |          |            |                 |                       |   |
| Par          | Part IV Plan Characteristics  |             |                            |           |          |            |                 |                       |   |
| 9a           | If the plan provides pension benefits, enter the applicable pension 2E 2J 2F 2G 3D 2T   | feature co  | des from the List of Plant | an Cha    | racteris | stic Co    | des in the ins  | tructions:            |   |
| b            | If the plan provides welfare benefits, enter the applicable welfare for   | eature cod  | es from the List of Pla    | n Chara   | acterist | ic Cod     | es in the instr | uctions:              |   |
| Par          | t V Compliance Questions  |             |                            |           |          |            |                 |                       | _ |
| 10           | During the plan year:   |             |                            |           | Yes      | No         |                 | Amount                |   |
| а            | Was there a failure to transmit to the plan any participant contribute  | tions withi | n the time period          |           |          |            |                 |                       | _ |
|              | described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)  | •           | •                          | 10a       |          | Χ          |                 |                       |   |
| b            | Were there any nonexempt transactions with any party-in-interest  |             |                            | IUa       |          |            |                 |                       | _ |
|              | reported on line 10a.)  |             |                            | 10b       |          | X          |                 |                       |   |
| С            | C Was the plan covered by a fidelity bond?  |             |                            | 10c       | Χ        |            |                 | 500000                |   |
| d            | <b>d</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?   |             |                            | 10d       |          | X          |                 |                       |   |
| е            | <b>e</b> Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)                           |             |                            | 10e       |          | X          |                 |                       |   |
| f            | f Has the plan failed to provide any benefit when due under the plan?   |             |                            | 10f       |          | X          |                 |                       | _ |
| g            | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)   |             |                            | 10g       | Χ        |            |                 | 24760                 |   |
| h            | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)   |             |                            | 10h       |          | X          |                 |                       |   |
| i            | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10   | ne required | d notice or one of the     | 10i       |          |            |                 |                       |   |
|              |   |             |                            |           |          |            |                 |                       | _ |

| Form 5500-SF 2017 | Page <b>3-</b> 1 |
|-------------------|------------------|
|-------------------|------------------|

| Part  | VI Pension Funding Compliance  |        |     |                     |  |  |
|---|--|--------|-----|---------------------|--|--|
| 11  | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) |        |     |                     |  |  |
| 11a   | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40   | 11a    |     |                     |  |  |
| 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?  (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) |  |        |     |                     |  |  |
| <b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year                     |  |        |     |                     |  |  |
| lf y  | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.   |        |     |                     |  |  |
| b   | Enter the minimum required contribution for this plan year   | 12b    |     |                     |  |  |
| С   | Enter the amount contributed by the employer to the plan for this plan year  | 12c    |     |                     |  |  |
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)   |  |        |     |                     |  |  |
| е   | Will the minimum funding amount reported on line 12d be met by the funding deadline?   |        | Yes | No N/A              |  |  |
| Part '  | VII Plan Terminations and Transfers of Assets  |        |     |                     |  |  |
| 13a   | Has a resolution to terminate the plan been adopted in any plan year?  |        | Yes | X No                |  |  |
|   | If "Yes," enter the amount of any plan assets that reverted to the employer this year  | 13a    |     |                     |  |  |
| b   | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?                |        |     | Yes X No            |  |  |
| <b>c</b> If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)                 |  |        |     |                     |  |  |
| 1   | <b>3c(1)</b> Name of plan(s): 13c(2)   | EIN(s) |     | <b>13c(3)</b> PN(s) |  |  |
|   |  |        |     |                     |  |  |