Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Report	: Identification Information							
For calenda		iscal plan year beginning 01/01/2		and ending 1	2/31/2017				
A a cingle cimple yet plan					er) (Filers checking this box must attach a n accordance with the form instructions.)				
D. Tribing of the state of the		a one-participant plan	a foreign plan						
B This retu	rn/report is	the first return/report							
		an amended return/report	months)						
C Check b	oox if filing under:	Form 5558	automatic extension	on	DFVC progra	m			
		special extension (enter desc	. /						
Part II		ormation—enter all requested in	formation		Ган				
1a Name of CAPITAL FE	•	401 K PROFIT SHARING PLAN	FRUST		1b Three-digingler plan number (PN) ▶				
					1c Effective of	date of plan 01/01/2003			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)						2b Employer Identification Number (EIN) 16-6482906			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) CAPITAL FENCE COMPANY INC				nstructions)	2c Sponsor's telephone number				
					<u> </u>	code (see instructions)			
	TT CREEK RD NY 14228-2316				812990				
AWITIERST, N	11 14220-2310								
3a Plan ac	dministrator's name a	nd address X Same as Plan Spo	nsor.		3b Administra	ator's EIN			
					3c Administra	ator's telephone number			
						·			
		e plan sponsor or the plan name h			4b EIN				
this pla a Sponso		onsor's name, EIN, the plan name	and the plan number fro	m the last return/report.	4d PN				
C Plan Na									
5a Total n	number of participants	s at the heginning of the plan year			. 5a	17			
5a Total number of participants at the beginning of the plan yearb Total number of participants at the end of the plan year					5b	19			
C Number	er of participants with	account balances as of the end of	the plan year (only defin	ned contribution plans	5c	10			
complete this item) d(1) Total number of active participants at the beginning of the plan year				5d(1) 17					
d(2) Total number of active participants at the end of the plan year				5d(2)					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0					
Caution: A	penalty for the late	or incomplete filing of this retur	n/report will be assess	sed unless reasonable ca					
SB or Sche		ther penalties set forth in the instru and signed by an enrolled actuary,							
SIGN		d/valid electronic signature.	06/26/2018	MARK T SCHIFERLE					
HERE	Signature of plan a	administrator	Date	Enter name of individ	dual signing as pla	an administrator			
SIGN									
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	me of individual signing as employer or plan sponsor				

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6a b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)					X Yes ☐ No X Yes ☐ No			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						M 103 140		
С								Not determined	
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year					(See instructions.)			
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year	
a	\'/ '2			27340			(3) =	148322	
b	Total plan liabilities		0			0			
С	Net plan assets (subtract line 7b from line 7a)	7c	12	127340			148322		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total		
а	Contributions received or receivable from: (1) Employers	8a(1)		7323					
	(2) Participants	8a(2)	2	27787					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	,	18734					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					53844		
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	;	32666					
<u>e</u>				0	_				
f	Administrative service providers (salaries, fees, commissions)	8f		196					
g	g Other expenses			0					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					32862		
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	8i						20982	
j_	Transfers to (from) the plan (see instructions)	8j		0					
Pa	Part IV Plan Characteristics								
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2F 2G 2J 2K 2T 3D								
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	les in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	C Was the plan covered by a fidelity bond?			10c	Χ			20000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
_ f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	Χ			17581	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
C Enter the amount contributed by the employer to the plan for this plan year					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)