Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with

OMB Nos. 1210-0110 1210-0089

2017

E	mployee Benefits Security Administration	the instructions to the Form 5500.							
Pensio	on Benefit Guaranty Corporation				This Form is Open to Pul Inspection	blic			
Part I	Annual Report Ide	entification Information							
For cale	ndar plan year 2017 or fiscal	plan year beginning 01/01/2017		and ending 12/31/20	017				
A This return/report is for: a multiemployer plan a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)									
		a single-employer plan the first return/report	a DFE (specify the final return	· 					
B This	return/report is:								
		an amended return/report	a short plan ye	ear return/report (less than 1	2 months)				
C If the	plan is a collectively-bargain	ned plan, check here							
D Chec	k box if filing under:	Form 5558	automatic exter	nsion	the DFVC program				
	Ĭ	special extension (enter description)	<u> </u>						
Part II	Basic Plan Informa	ation—enter all requested informatio	n						
1a Nam	ne of plan				1b Three-digit plan	001			
P.J EXT	TERIORS, INC. 401(K) PRO	FIT SHARING PLAN AND TRUST			number (PN) ▶	001			
					1c Effective date of pla 05/01/1999	n			
2a Plan sponsor's name (employer, if for a single-employer plan)2b Employer IdentificationMailing address (include room, apt., suite no. and street, or P.O. Box)Number (EIN)City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)14-1596727									
					Plan Sponsor's telephone number 845-463-2220				
1589 RO WAPPIN	UTE 376 GERS FALLS, NY 12590-61	1589 ROUT 39 WAPPINGE	TE 376 ERS FALLS, NY 126	590-6139	2d Business code (see instructions) 238300	instructions)			
Caution	: A penalty for the late or i	ncomplete filing of this return/repor	t will be assessed	unless reasonable cause i	s established.				
	. , ,	penalties set forth in the instructions, I as the electronic version of this return			. , ,				
SIGN HERE	Filed with authorized/valid e	electronic signature.	06/28/2018	LUDWIG BACH					
IILKE	Signature of plan administrator		Date	Enter name of individual signing as plan administrator					
SIGN HERE									
	Signature of employer/pl	an sponsor	Date	Enter name of individual s	igning as employer or plan spo	nsor			
SIGN HERE									
	Signature of DFE		Date	Enter name of individual s	igning as DFE				

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3a	Plan administrator's name and address X Same as Plan Sponsor					3b A	dministrator's EIN	
	-					20. 1		
							dministrator's tele number	phone
4	If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan					4b ∃	EIN	
а	enter the plan sponsor's name, EIN, the plan name and the plan number from Sponsor's name	the	ast return	/rep	oort:	4d F	PN	
С	Plan Name							
5	Total number of participants at the beginning of the plan year					5		13
6	Number of participants as of the end of the plan year unless otherwise stated	(welf	are plans	con	nplete only lines 6a(1),	3		10
	6a(2), 6b, 6c, and 6d).							
а(1) Total number of active participants at the beginning of the plan year					. 6a(1)	10
a(2) Total number of active participants at the end of the plan year					6a(2)	9
۰.,	-y Total number of active participants at the one of the plan year							
b	Retired or separated participants receiving benefits					6b		0
С	Other retired or separated participants entitled to future benefits					6с		4
d	Subtotal. Add lines 6a(2) , 6b , and 6c					6d		13
						60		0
е	Deceased participants whose beneficiaries are receiving or are entitled to receiving	eive	penerits	•••••		6e		0
f	Total. Add lines 6d and 6e.					6f		13
g	Number of participants with account balances as of the end of the plan year (o	only o	defined co	ontrik	bution plans			0
	complete this item)					. <u>6g</u>		9
h	Number of participants who terminated employment during the plan year with					6h		0
7	less than 100% vested							
8a	If the plan provides pension benefits, enter the applicable pension feature cod	les fr	om the Lis	st of	Plan Characteristics Cod	es in th	e instructions:	
	2E 2J							
b	If the plan provides welfare benefits, enter the applicable welfare feature code	s fro	m the List	of F	Plan Characteristics Code	s in the	instructions:	
9a	Plan funding arrangement (check all that apply)	9b	Plan ben	efit	arrangement (check all th	at apply	γ)	
	(1) Insurance		(1)		Insurance	,	,	
	(2) Code section 412(e)(3) insurance contracts		(2)	Ц	Code section 412(e)(3)	insurar	nce contracts	
	(3) X Trust		(3)	X	Trust			
10	(4) General assets of the sponsor	loch -	(4)	hore	General assets of the s	<u> </u>	uphod (Coo.inst	uotiona)
	Check all applicable boxes in 10a and 10b to indicate which schedules are att					nei alla	ionea. (See instru	iciions)
а	Pension Schedules	b	General	Scł				
	(1) R (Retirement Plan Information)		(1)	Ш	H (Financial Information)	mation)		

(2)

(3)

(4)

(5)

(6)

MB (Multiemployer Defined Benefit Plan and Certain Money

Purchase Plan Actuarial Information) - signed by the plan

SB (Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary

(2)

(3)

actuary

I (Financial Information – Small Plan)

D (DFE/Participating Plan Information)

G (Financial Transaction Schedules)

A (Insurance Information)

C (Service Provider Information)

Part III	Form M-1 Compliance Information (to be completed by welfare benefit plans)					
2520.	11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.)					
11b Is the	plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.)					
Recei	the Receipt Confirmation Code for the 2017 Form M-1 annual report. If the plan was not required to file the 2017 Form M-1 annual report, enter the pt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid pt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)					
Rece	ipt Confirmation Code					

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SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration

Department of Labor

Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2017

This Form is Open to Public Inspection

Pension Benefit Guaranty Corporation									
For calendar plan year 2017 or fiscal pla	in year beginning 01/01/2017		and ending 12/31/2017						
A Name of plan P.J EXTERIORS, INC. 401(K) PROFIT S	HARING PLAN AND TRUST	В	Three-digit plan number (PN)) 001					
C Plan sponsor's name as shown on lir PJ EXTERIORS INC	ne 2a of Form 5500	D	Employer Identification I 14-1596727	Number (EIN)					

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	316444	372731
b	Total plan liabilities	1b	100	100
С	Net plan assets (subtract line 1b from line 1a)	1c	316344	372631
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	2a(1)	0	
	(2) Participants	2a(2)	8225	
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	2b		
С	Other income	2c	48262	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	2d		56487
е	Benefits paid (including direct rollovers)	2e		
f	Corrective distributions (see instructions)	2 f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions)	. 2h	200	
i	Other expenses	2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		200
k	Net income (loss) (subtract line 2j from line 2d)	2k		56287
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at any time during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		Χ	
b	Employer real property	3b		Χ	
С	Real estate (other than employer real property)	3с		X	
d	Employer securities	3d		X	
е	Participant loans	3e	X		13484
f	Loans (other than to participants)	3f		X	
g	Tangible personal property	3g		X	

Λ	1	7	

Schedule I (Form 5500) 2017

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Pa	art II	Compliance Questions						
4	During	the plan year:		Yes	No		Amount	
а	describ	ere a failure to transmit to the plan any participant contributions within the time period ed in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until rrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X			
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.				X			
С		ny leases to which the plan was a party in default or classified during the year as ctible?	4c		X			
d		nere any nonexempt transactions with any party-in-interest? (Do not include tions reported on line 4a.)	. 4d		X			
е	Was the	e plan covered by a fidelity bond?	4e	X				35000
f		plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was by fraud or dishonesty?	. 4f		X			
g		plan hold any assets whose current value was neither readily determinable on an shed market nor set by an independent third party appraiser?	. 4g		X			
h		plan receive any noncash contributions whose value was neither readily nable on an established market nor set by an independent third party appraiser?	4h		X			
i		plan at any time hold 20% or more of its assets in any single security, debt, ge, parcel of real estate, or partnership/joint venture interest?	4i		X			
j		Il the plan assets either distributed to participants or beneficiaries, transferred to plan, or brought under the control of the PBGC?	. 4j		X			
k	public a	claiming a waiver of the annual examination and report of an independent qualified ccountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 04-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X				
I	Has the	plan failed to provide any benefit when due under the plan?	41		X			
m		an individual account plan, was there a blackout period? (See instructions and 29 i20.101-3.)	4m		X			
n		as answered "Yes," check the "Yes" box if you either provided the required notice or the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n					
5a		esolution to terminate the plan been adopted during the plan year or any prior plan yea enter the amount of any plan assets that reverted to the employer this year	ır?	\[Ye	s X No	·		
	transferr	this plan year, any assets or liabilities were transferred from this plan to another planed. (See instructions.)	(s), ide	entify the	e plan(s) to	which as	ssets or liabilitie	
	5b(1)	Name of plan(s)				5	5b(2) EIN(s)	5b(3) PN(s)
		n is a defined benefit plan, is it covered under the PBGC insurance program (See ERIS checked, enter the My PAA confirmation number from the PBGC premium filing for the			21.)?	. Yes		determined.

Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210 - 0110 1210 - 0089

2017

This Form is Open to Public Inspection

	- Donaid Good and y Composition				Inspection			
Part	Annual Report Id	entification Information						
For ca	lendar plan year 2017 or fisc	al plan year beginning		and ending				
A Th	is return/report is for:	a multiemployer plan	a multiple-employ participating emp	er plan (Filers checking loyer information in acco	this box must attach a list or rdance with the form instru	of ictions.)		
B This return/report is: a single-employer plan the first return/report an amended return/report as short plan year return/report (less than 12 months)								
	the plan is a collectively-barg neck box if filing under:	bargained plan, check here bargained plan, check here the DFVC program the DFVC program special extension (enter description)						
Part I	Basic Plan Inforn	nation-enter all requested inform						
1a Name of plan P.J. EXTERIORS, INC. 401(K) PROFIT SHARING PLAN AND TRUST 1b Three-digit plan number (PN) ▶ 00 1c Effective date of plan 05/01/1999								
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) PJ EXTERIORS INC 2c Plan Sponsor's tel number 845-463-222 2d Business code (see instructions) 1589 RT 376 WAPPINGERS FALLS NY 12590-6139								
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.								
SIGN HERE	· ,		6/18/18	JIM LAMPI				
SIGN	Signature of plan adminis	strator	Date 6	Enter name of individu	al signing as plan administ	trator		
HERE	Signature of employer/pla	an sponsor	Date		gning as employer or plan spo	nsor		
SIGN HERE	Signature of DFE		Date	Enter name of individu	al signing as DFE			

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

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14-1596727 PJ. EXTERIORS INC Form 5500 (2017) Page 2 3b Administrator's EIN 3a Plan administrator's name and address X Same as Plan Sponsor 3c Administrator's telephone number If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report: 4d PN a Sponsor's name C Plan Name 13 5 Total number of participants at the beginning of the plan year Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1). 6a(2), 6b, 6c, and 6d). 6a(1) a(1) Total number of active participants at the beginning of the plan year 6a(2) a(2) Total number of active participants at the end of the plan year 6b 0 **b** Retired or separated participants receiving benefits 6c C Other retired or separated participants entitled to future benefits 13 6d d Subtotal. Add lines 6a(2), 6b, and 6c 0 6e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits 6f 13 f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans 6g complete this item) h Number of participants who terminated employment during the plan year with accrued benefits that were 6h less than 100% vested Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) 8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2Ј If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 9b Plan benefit arrangement (check all that apply) 9a Plan funding arrangement (check all that apply) (1) Insurance Insurance (1)Code section 412(e)(3) insurance contracts Code section 412(e)(3) insurance contracts (2)(2) X (3)Trust Trust (3) (4)General assets of the sponsor General assets of the sponsor Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions) **b** General Schedules a Pension Schedules (Financial Information) R (Retirement Plan Information) (1) (1) X MB (Multiemployer Defined Benefit Plan and Certain Money (Financial Information - Small Plan) (2) (2)A (Insurance Information) Purchase Plan Actuarial Information) - signed by the plan (3) C (Service Provider Information) (4)

(5)

(6)

D

(DFE/Participating Plan Information)

(Financial Transaction Schedules)

actuary

(3)

(Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary