Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Report	t Identification Information	1					
For calenda	ar plan year 2017 or f	iscal plan year beginning 01/01/2	2017	and ending 12	2/31/2017			
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attack list of participating employer information in accordance with the form instruction								
		a one-participant plan	a foreign plan					
B This retu	urn/report is	the first return/report	the final return/repor	rt				
		an amended return/report	a short plan year ret	turn/report (less than 12 m	onths)			
C Check I	oox if filing under:	Form 5558	automatic extension	า	DFVC progra	ım		
		special extension (enter desc	ription)					
Part II	Basic Plan Info	ormation—enter all requested in	formation					
1a Name B JAFFE ES	of plan	DFIT SHARING PLAN TRUST			1b Three-dig plan numb (PN) ▶			
					1c Effective	date of plan 01/01/2015		
		oyer, if for a single-employer plan)			2b Employer	Identification Number		
	,	om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post	,	structions)	(EIN) 22-1896479			
•	AL ESTATE CO LP	, ,,	, ,	,	2c Sponsor's telephone number 212-233-6867			
					2d Business	code (see instructions)		
88 W BROAI NEW YORK,					812990			
3a Plan a	dministrator's name a	and address X Same as Plan Spo	nsor.		3b Administra	ator's EIN		
					3c Administra	ator's telephone number		
						·		
4 If the r	name and/or EIN of th	ne plan sponsor or the plan name h	as changed since the las	t return/report filed for	4b EIN			
this pl	an, enter the plan spo	onsor's name, EIN, the plan name a			44 50			
a Spons C Plan N	or's name lame				4d PN			
	iamo							
5a Total i	number of participants	s at the beginning of the plan year.			5a	6		
		s at the end of the plan year			5b	6		
		account balances as of the end of			5c	1		
d(1) Total number of active participants at the beginning of the plan year				5d(1)				
d(2) Total number of active participants at the end of the plan year			5d(2)					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0			
Caution: A	penalty for the late	or incomplete filing of this return	n/report will be assesse	ed unless reasonable car				
SB or Sche		ther penalties set forth in the instruand signed by an enrolled actuary, an plete.						
SIGN	Filed with authorized	d/valid electronic signature.	07/02/2018	CHARLES KARP				
HERE	Signature of plan	administrator	Date	Enter name of individ	ual signing as pla	an administrator		
SIGN								
HERE	Signature of empl	ure of employer/plan sponsor Date Enter name of individual signing as employer or pl						

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes No X Yes No		
						Not determined . (See instructions.)			
Pa	rt III Financial Information	1	T						
7	Plan Assets and Liabilities		(a) Beginning ((b) En	d of Year	
<u>a</u>	Total plan assets	2	20165			44316			
<u>b</u>	Total plan liabilities	. 7b		0			0		
<u> </u>	Net plan assets (subtract line 7b from line 7a)	. 7c	2	20165		443		44316	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total			
а	Contributions received or receivable from:	90(1)		0					
	(1) Employers	8a(1)	,						
	(2) Participants	8a(2)		18000					
<u>_</u>	(3) Others (including rollovers)	. 8a(3)		0					
	Other income (loss)	. 8b		6322				04000	
d	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) Benefits paid (including direct rollovers and insurance premiums	. 8c				24322		24322	
	to provide benefits)	. 8d	0						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e		0					
<u>f</u>	Administrative service providers (salaries, fees, commissions)	. 8f		171					
g	Other expenses	. 8g		0					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h				171			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i				24151		24151	
j	Transfers to (from) the plan (see instructions)	8j		0					
Pa	Part IV Plan Characteristics								
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2T 3D								
b									
Part V Compliance Questions									
10	During the plan year:				Yes	No		Amount	
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X				
С	C Was the plan covered by a fidelity bond?			10c	X			20000	
d				10d		X		2000	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
C Enter the amount contributed by the employer to the plan for this plan year					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)