Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

| Part I Annual Report Identification Information | | | | | | | | |
|--|--|---|--|---|---|-----------------------|--|--|
| For calenda | ar plan year 2017 or | fiscal plan year beginning 01/01/2 | 2017 | and ending 12 | 2/31/2017 | | | |
| A This ret | urn/report is for: | x a single-employer plan | a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) | | | | | |
| | | a one-participant plan | a foreign plan | | | | | |
| B This retu | urn/report is | the first return/report | the final return/report | | | | | |
| an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: | | | | | | | | |
| C Check t | oox if filing under: | Form 5558 special extension (enter descr | automatic extension | 1 | DFVC program | | | |
| Dort II | Pasia Blan Inf | <u> </u> | · · · | | | | | |
| Part II | | ormation—enter all requested inf | ormation | | 1h Three dinit | | | |
| 1a Name | | ION 401 K PROFIT SHARING PLAN | N TRUST | | 1b Three-digit plan number | er | | |
| | | | | | (PN) • | 001 | | |
| | | | | | 1c Effective date of plan 01/01/2013 | | | |
| Mailing | address (include ro | loyer, if for a single-employer plan) om, apt., suite no. and street, or P.O | | -tti | 2b Employer Identification Number (EIN) 42-1772091 | | | |
| City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) PLAIN CONCEPTS CORPORATION | | | structions) | 2c Sponsor's telephone number 206-708-1285 | | | | |
| | | | | | 2d Business code (see instructions) | | | |
| 8201 164TH | | | | | 541512 | | | |
| REDMOND, | WA 96052 | | | | | | | |
| 3a Plan a | dministrator's name | and address X Same as Plan Spor | nsor. | | 3b Administrat | or's EIN | | |
| | | | | | 3c Administrat | or's telephone number | | |
| 4 If the r | | h | | | 4b EIN | | | |
| this pl | an, enter the plan sp | he plan sponsor or the plan name ha onsor's name, EIN, the plan name a | | | | | | |
| a Spons | or's name | | | | 4d PN | | | |
| C FIAITIN | iame | | | | | | | |
| 5a Total r | number of participant | ts at the beginning of the plan year | | | 5a | 8 | | |
| b Total r | b Total number of participants at the end of the plan year | | | | 5b | 9 | | |
| | C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | | | 5c | 6 | | | |
| d(1) Total number of active participants at the beginning of the plan year | | | 5d(1) | 6 | | | | |
| d(2) Total number of active participants at the end of the plan year | | | 5d(2) | 7 | | | | |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | 5e | 0 | | | | |
| | | or incomplete filing of this return | | | | | | |
| SB or Sche | | other penalties set forth in the instruction and signed by an enrolled actuary, a supplete. | | | | | | |
| SIGN | | d/valid electronic signature. | 07/06/2018 | INGRID BABEL | | | | |
| HERE | Signature of plan | administrator | Date | Enter name of individ | idual signing as plan administrator | | | |
| SIGN | | | | | | | | |
| HERE | Signature of emp | loyer/plan sponsor | Date | Enter name of individ | ridual signing as employer or plan sponso | | | |

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| C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? | | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | □ | | | |
|---|---|---|---------|---------------|---------|-----|--------|--------|-----------|-----|--|
| 7 Plan Assets and Liabilities | If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year | | | | | | _ | | | | |
| a Total plan assets | Pa | rt III Financial Information | | Γ | | | | | | | |
| D Total plan liabilities. 7b 0 0 0 C Net plan assets (subtract line 7b from line 7a) 7c 7c 74577 106925 B Income, Expenses, and Transfers for this Plan Year (a) Amount (b) Total Contributions received or receivable from: (1) Employers (2) Part June 10 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | _7_ | Plan Assets and Liabilities | | (a) Beginning | of Year | · | | (b) En | d of Year | | |
| C Net plan assets (subtract line 7b from line 7a) | <u>a</u> | Total plan assets | . 7a | | 74577 | | | | 106925 | | |
| 8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers 8a(1) 0 2(2) Participants. 8a(2) 29184 (3) Others (including rollovers). 8a(3) 0 b Other income (loss). 8b 14563 c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8c c Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8c d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 0 e Certain deemed and/or corrective distributions (see instructions) 8d 100 e Certain deemed and/or corrective distributions (see instructions) 8f 374 g Other expenses. 8g 0 h Total expenses (add lines 8d, 8e, 8f, and 8g) 8h 11399 i Net income (loss) (subtract line 8h from line 8c) 8i 32348 j Transfers to (from) the plan (see instructions) 8j 0 Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2e 2F 2G 2J 2T 3D If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-1027 (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions to the plan any participant contributions within the time period described in 29 CFR 2510.3-1027 (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions to the plan any participant on the plan in surance carrier, or other organization that provides some or all of the benefits under the plan? (See instructions). 10b X f Has the plan failed to provide any benefit when due under the plan? 4 During the plan ave any participant losars? | b | Total plan liabilities | . 7b | | 0 | | | | | | |
| a Contributions received or receivable from: (i) Employers (ii) Employers (iii) Employers (iiii) Employers (iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii | С | Net plan assets (subtract line 7b from line 7a) | . 7c | | 74577 | | 106925 | | | | |
| (2) Participants | 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amoun | t | | | (b) | Total | | |
| (3) Others (including rollovers) | a | | . 8a(1) | | 0 | | | | | | |
| b Other income (loss) | | (2) Participants | 8a(2) | 2 | 29184 | | | | | | |
| b Other income (loss) | | (3) Others (including rollovers) | 8a(3) | | 0 | | | | | | |
| d Benefits paid (including direct rollovers and insurance premiums to provide benefits) | b | | | , | 14563 | | | | | | |
| d Benefits paid (including direct rollovers and insurance premiums to provide benefits) | С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 43747 | | |
| f Administrative service providers (salaries, fees, commissions) | | Benefits paid (including direct rollovers and insurance premiums | . 8d | | 0 | | | | | | |
| g Other expenses. | е | Certain deemed and/or corrective distributions (see instructions) | . 8e | | 11025 | | | | | | |
| h Total expenses (add lines 8d, 8e, 8f, and 8g) | f | Administrative service providers (salaries, fees, commissions) | . 8f | | 374 | | | | | | |
| h Total expenses (add lines 8d, 8e, 8f, and 8g) | g | Other expenses | . 8g | | 0 | | | | | | |
| Transfers to (from) the plan (see instructions) 8j 0 | h | Total expenses (add lines 8d, 8e, 8f, and 8g) | . 8h | | | | 11399 | | | | |
| Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions). 10a | i | Net income (loss) (subtract line 8h from line 8c) | . 8i | | | | 3234 | | 32348 | | |
| Part IV Plan Characteristics | j | Transfers to (from) the plan (see instructions) | - 8i | 0 | | | | | | | |
| If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: Example 2 | Pai | 5 | | | | | | | | | |
| Part V Compliance Questions 10 During the plan year: Yes No Amount a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: | | | | | | | | | |
| 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | b | b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: | | | | | | | | | |
| a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | Par | t V Compliance Questions | | | | | | | | | |
| described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | 10 | During the plan year: | | | | Yes | No | | Amount | | |
| reported on line 10a.) | а | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction | | | 10a | | X | | | | |
| d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? • Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) • Has the plan failed to provide any benefit when due under the plan? • Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) • If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) • If 10h was answered "Yes," check the box if you either provided the required notice or one of the | b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions | | | | X | | | | | |
| d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | С | C Was the plan covered by a fidelity bond? | | | 10c | X | | | 2000 |)O | |
| Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) Has the plan failed to provide any benefit when due under the plan? Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) Hif this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the | d | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused | | | 10d | | X | | | ,,, | |
| g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | е | e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under | | | 10e | | X | | | | |
| h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h i If 10h was answered "Yes," check the box if you either provided the required notice or one of the | f | f Has the plan failed to provide any benefit when due under the plan? | | | 10f | | X | | | | |
| 2520.101-3.) | g | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | | | 10g | | X | | | | |
| | h | · · · · · · · · · · · · · · · · · · · | | | 10h | | X | | | | |
| | i | | | | 10i | | | | | | |

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|-------------------|------------------|--|--|
|-------------------|------------------|--|--|

| Part | VI Pension Funding Compliance | | | | | |
|---|---|----------|----------|-------|----------------|--|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below) | nedule S | B | [] Y | ′es X No | |
| 11a | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | . 11a | | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? | n 302 o | f | Y | ′es X No | |
| a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver | | | | | | |
| lf y | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | |
| b Enter the minimum required contribution for this plan year | | | | | | |
| C Enter the amount contributed by the employer to the plan for this plan year | | | | | | |
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | | |
| e Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | | No | N/A | |
| Part ' | VII Plan Terminations and Transfers of Assets | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Ye | s X N | 0 | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | Yes X No | | | |
| С | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.) |) to | | | | |
| 13c(1) Name of plan(s): 13c(2) | | | | 13c(3 |) PN(s) | |
| | | | | | | |