Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2016

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

A This return/report is for:
- ☐ a multiemployer plan
- ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- ☒ a single-employer plan
- ☐ a DFE (specify) ____

B This return/report is:
- ☐ the first return/report
- ☐ the final return/report
- ☐ an amended return/report
- ☐ a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under:
- ☒ Form 5558
- ☐ automatic extension
- ☐ the DFVC program
- ☐ special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES

1b Three-digit plan number (PN) ▶ 003

1c Effective date of plan 10/01/2007

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
ALLISON TRANSMISSION, INC.

ONE ALLISON WAY
INDIANAPOLIS, IN 46222-3271

2b Employer Identification Number (EIN) 26-0413897

2c Plan Sponsor's telephone number 317-242-3561

2d Business code (see instructions) 336300

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	07/13/2018	DOUG R. BOLTON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a Plan administrator's name and address [X] Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	
5 Total number of participants at the beginning of the plan year	**5**	872
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	475
a(2) Total number of active participants at the end of the plan year	**6a(2)**	422
b Retired or separated participants receiving benefits	**6b**	279
c Other retired or separated participants entitled to future benefits	**6c**	130
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	831
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	6
f Total. Add lines **6d** and **6e**.	**6f**	837
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1A 1C 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [X] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] 0 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan
ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
ALLISON TRANSMISSION, INC.

D Employer Identification Number (EIN)
26-0413897

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1 Enter the valuation date: Month 10 Day 01 Year 2016

2 Assets:

a Market value	**2a**	81487932
b Actuarial value	**2b**	81487932

3 Funding target/participant count breakdown

	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	266	14119484	14119484
b For terminated vested participants	131	3109468	3109468
c For active participants	475	37411249	37544410
d Total	872	54640201	54773362

4 If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]

a Funding target disregarding prescribed at-risk assumptions	**4a**	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	**4b**	
5 Effective interest rate	**5**	6.19 %
6 Target normal cost	**6**	3929762

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE

Signature of actuary — Date: 07/10/2018

DAVID L. JAKES, FSA, EA, MAAA — Type or print name of actuary

17-05110 — Most recent enrollment number

MERCER — Firm name

614-227-5555 — Telephone number (including area code)

325 JOHN H MCCONNELL BLVD
SUITE 350
COLUMBUS, OH 43215

Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9	Amount remaining (line 7 minus line 8)	0	0
10	Interest on line 9 using prior year's actual return of 11.63%	0	0
11	Prior year's excess contributions to be added to prefunding balance:		
a	Present value of excess contributions (line 38a from prior year)		9554729
b(1)	Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of 6.38 %		609592
b(2)	Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c	Total available at beginning of current plan year to add to prefunding balance		10164321
d	Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections		
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages

14	Funding target attainment percentage	14	148.77%
15	Adjusted funding target attainment percentage	15	148.77%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	137.60%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/13/2017	1500000	0			
09/22/2017	4750000	0			
12/22/2017	16000000	0			
05/15/2018	9600000	0			
			Totals ► 18(b)	31850000	18(c) 0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
a	Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
b	Contributions made to avoid restrictions adjusted to valuation date	19b	0
c	Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	29530070

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:

1st segment:	2nd segment:	3rd segment:	
4.43%	5.91%	6.65%	☐ N/A, full yield curve used

b Applicable month (enter code) **21b** 0

22 Weighted average retirement age **22** 62

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ..... ☒ Yes ☐ No

25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ..... ☐ Yes ☒ No

26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ..... ☒ Yes ☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment **27**

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years **28** 0

29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) **29** 0

30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29) **30** 0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6) **31a** 3929762

b Excess assets, if applicable, but not greater than line 31a **31b** 3929762

32 Amortization installments:	Outstanding Balance	Installment
a Net shortfall amortization installment	0	0
b Waiver amortization installment	0	0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount **33**

34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) **34** 0

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement			0

36 Additional cash requirement (line 34 minus line 35) **36** 0

37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) **37** 29530070

38 Present value of excess contributions for current year (see instructions)

a Total (excess, if any, of line 37 over line 36) **38a** 29530070

b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances **38b** 0

39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) **39** 0

40 Unpaid minimum required contributions for all years **40** 0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ..... ☐ 2 plus 7 years ☐ 15 years

b Eligible plan year(s) for which the election in line 41a was made ..... ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

42 Amount of acceleration adjustment **42**

43 Excess installment acceleration amount to be carried over to future plan years **43**

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2016** **This Form is Open to Public Inspection.**

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

A Name of plan	**B** Three-digit plan number (PN) ▶
ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	003

C Plan sponsor's name as shown on line 2a of Form 5500	**D** Employer Identification Number (EIN)
ALLISON TRANSMISSION, INC.	26-0413897

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions). . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

DIMENSIONAL FUND ADVISORS LP

30-0447847

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

EATON VANCE MANAGEMENT

TWO INTERNATIONAL PLACE
BOSTON, MA 02110

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PIMCO

33-0629048

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

RYAN LABS, INC.

47-3503928

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51 49 18	NONE	152790	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK NA

94-1347393

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 15	NONE	52982	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

CAPFINANCIAL PARTNERS, LLC

26-0058143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	NONE	24055	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WWLLS FARGO FUNDS MANAGEMENT, LLC

71-0828842

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes [X] No [ ]	Yes [ ] No [X]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

HARTFORD FUNDS MANAGEMENT GROUP

PO BOX 55022
BOSTON, MA 02205-5022

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes [X] No [ ]	Yes [ ] No [X]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

PRINCIPAL GLOBAL INVESTORS

711 HIGH STREET
DES MOINES, IA 50392

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes [X] No [ ]	Yes [ ] No [X]	0	Yes [X] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BLACKSTONE ALTERNATIVE INV ADVISORS

345 PARK AVENUE, 28TH FLOOR
NEW YORK, NY 10154

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52	NONE	0	Yes [X] No [ ]	Yes [ ] No [X]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
WELLS FARGO FUNDS MANAGEMENT, INC.	52	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO TREASURY PLUS MONEY MKT 71-0828842	0.20% INVESTMENT MANAGEMENT FEE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
HARTFORD FUNDS MANAGEMENT GROUP	52	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARTFORD INTERNATIONAL OPPS FUND PO BOX 55022 BOSTON, MA 02205-5022	0.79% INVESTMENT MANAGEMENT FEE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
PRINCIPAL GLOBAL INVESTORS	52	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL REAL ESTATE SECURITIES 711 HIGH STREET DEA MOINES, IA 50392	0.88% INVESTMENT MANAGEMENT FEE

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
BLACKSTONE ALTERNATIVE INV ADVISORS	52	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKSTONE ALTERNATIVE MULTI-STRAT 345 PARK AVENUE, 28TH FLOOR NEW YORK, NY 10154	2.40% INVESTMENT MANAGEMENT FEE

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name: GARY D. DICKSON
b EIN: 20-1932099
c Position: ENROLLED ACTUARY
d Address: 6514 HUMMINGBIRD DRIVE
MASON, OH 45040
e Telephone: 513-313-1462

Explanation: INTERNAL REASSIGNMENT AT MERCER, AS APPROVED BY PLAN ADMININSTRATOR

a Name:
b EIN:
c Position:
d Address:
e Telephone:

Explanation:

a Name:
b EIN:
c Position:
d Address:
e Telephone:

Explanation:

a Name:
b EIN:
c Position:
d Address:
e Telephone:

Explanation:

a Name:
b EIN:
c Position:
d Address:
e Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

A Name of plan
ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES

B Three-digit plan number (PN) ▶ 003

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
ALLISON TRANSMISSION, INC.

D Employer Identification Number (EIN)
26-0413897

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: IRT MIRRORED HOLDING

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-0413897-001	P	3249846

a Name of MTIA, CCT, PSA, or 103-12 IE: CASH

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, INC.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
26-0413897-002	P	2

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

A Name of plan
ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
ALLISON TRANSMISSION, INC.

D Employer Identification Number (EIN)
26-0413897

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	50094	-3503188
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	3000000	25600000
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	358022	381185
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	1524910	5517296
(2) U.S. Government securities	1c(2)	26078823	23920117
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	29827008	32934203
(B) All other	1c(3)(B)	8235280	9756630
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	0	3249849
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	11861393	9022015
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	559003	476718

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	**1d(1)**		
	(2) Employer real property	**1d(2)**		
e	Buildings and other property used in plan operation	**1e**		
f	Total assets (add all amounts in lines 1a through 1e)	**1f**	81494533	107354825
	Liabilities			
g	Benefit claims payable	**1g**		
h	Operating payables	**1h**		
i	Acquisition indebtedness	**1i**		
j	Other liabilities	**1j**		
k	Total liabilities (add all amounts in lines 1g through1j)	**1k**	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	**1l**	81494533	107354825

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	**2a(1)(A)**	31850000	
	(B) Participants	**2a(1)(B)**		
	(C) Others (including rollovers)	**2a(1)(C)**		
	(2) Noncash contributions	**2a(2)**		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	**2a(3)**		31850000
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	**2b(1)(A)**	9373	
	(B) U.S. Government securities	**2b(1)(B)**	136395	
	(C) Corporate debt instruments	**2b(1)(C)**	1466168	
	(D) Loans (other than to participants)	**2b(1)(D)**		
	(E) Participant loans	**2b(1)(E)**		
	(F) Other	**2b(1)(F)**	104397	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	**2b(1)(G)**		1716333
	(2) Dividends: **(A)** Preferred stock	**2b(2)(A)**		
	(B) Common stock	**2b(2)(B)**		
	(C) Registered investment company shares (e.g. mutual funds)	**2b(2)(C)**	110291	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	**2b(2)(D)**		110291
	(3) Rents	**2b(3)**		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	**2b(4)(A)**	74661786	
	(B) Aggregate carrying amount (see instructions)	**2b(4)(B)**	74950149	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	**2b(4)(C)**		-288363
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	**2b(5)(A)**		
	(B) Other	**2b(5)(B)**	-2820588	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	**2b(5)(C)**		-2820588

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		376639
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		1088262
c Other income	2c		6388
d Total income. Add all **income** amounts in column (b) and enter total	2d		32038962
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	5892945	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		5892945
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense	2h		0
i Administrative expenses: **(1)** Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	52982	
(3) Investment advisory and management fees	2i(3)	176935	
(4) Other	2i(4)	55808	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		285725
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		6178670
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		25860292
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BKD, LLP **(2)** EIN: 44-0160260

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n			
o	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4054919. (See instructions.)

Part V Trust Information

6a Name of trust	**6b** Trust's EIN

6c Name of trustee or custodian	**6d** Trustee's or custodian's telephone number

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

A Name of plan
ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
ALLISON TRANSMISSION, INC.

D Employer Identification Number (EIN)
26-0413897

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1	Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 26-6103167

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3	Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	32

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ☐ Yes ☐ No ☒ N/A

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a	Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a	
b	Enter the amount contributed by the employer to the plan for this plan year	6b	
c	Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c	

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ☐ Yes ☐ No ☐ N/A

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ☐ Yes ☐ No ☒ N/A

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ☐ Increase ☐ Decrease ☐ Both ☒ No

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ☐ Yes ☐ No

11 a Does the ESOP hold any preferred stock? ☐ Yes ☐ No

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ☐ Yes ☐ No

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ☐ Yes ☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No

22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter _____/_____/_____ and the serial number _____.

22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter _____/_____/_____.

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Independent Auditor's Report and Financial Statements

September 30, 2017 and 2016

Allison Transmission Retirement Program for Salaried Employees

September 30, 2017 and 2016

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BKD
CPAs & Advisors

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Independent Auditor's Report

Plan Administrator
Allison Transmission Retirement Program for
Salaried Employees
Indianapolis, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Allison Transmission Retirement Program for Salaried Employees, which comprise the statements of net assets available for benefits as of September 30, 2017 and 2016, and the related statements of changes in net assets available for benefits for the years then ended, and the statements of accumulated plan benefits as of October 1, 2016 and 2015, and the related statements of changes in accumulated plan benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan Administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the trustee as of and for the years ended September 30, 2017 and 2016, that the information provided to the Plan Administrator by the trustee is complete and accurate.

Praxity
MEMBER
GLOBAL ALLIANCE OF INDEPENDENT FIRMS

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules listed in the table of contents are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974* and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the *Employee Retirement Income Security Act of 1974*.

BKD, LLP

Indianapolis, Indiana
July 11, 2018

Federal Employer Identification Number: 44-0160260

Allison Transmission Retirement Program for Salaried Employees

Statements of Net Assets Available for Benefits
September 30, 2017 and 2016

Assets

	2017	2016
Investments, at fair value	$ 84,876,828	$ 78,086,417
Receivables		
Employer contributions	25,600,000	3,000,000
Accrued income	381,185	358,022
Due from broker for securities sold	892,914	123,540
	26,874,099	3,481,562
Total assets	111,750,927	81,567,979
Liabilities		
Due to broker for securities purchased	4,396,102	73,446
Net Assets Available for Benefits	$ 107,354,825	$ 81,494,533

Allison Transmission Retirement Program for Salaried Employees

Statements of Changes in Net Assets Available for Benefits
Years Ended September 30, 2017 and 2016

	2017	2016
Additions		
Investment Income		
Net appreciation (depreciation) in fair value of investments	$ (1,644,050)	$ 6,229,535
Interest and dividends	1,826,624	1,782,245
Other income	6,388	3,946
Net investment income	188,962	8,015,726
Employer Contributions	31,850,000	10,000,000
Total additions	32,038,962	18,015,726
Deductions		
Benefits paid to participants	5,892,945	4,529,314
Administrative expenses	229,917	230,010
Pension Benefit Guaranty Corporation premiums	55,808	50,616
Total deductions	6,178,670	4,809,940
Net Increase	25,860,292	13,205,786
Net Assets Available for Benefits, Beginning of Year	81,494,533	68,288,747
Net Assets Available for Benefits, End of Year	$ 107,354,825	$ 81,494,533

Allison Transmission Retirement Program for Salaried Employees

Statements of Accumulated Plan Benefits and Changes in Accumulated Plan Benefits October 1, 2016 and 2015

Statements of Accumulated Plan Benefits

	October 1, 2016	October 1, 2015
Vested benefits		
Participants and beneficiaries currently receiving payments	$ 16,377,666	$ 15,119,486
Other participants	57,997,938	52,500,635
	74,375,604	67,620,121
Nonvested benefits	39,258	38,580
Total actuarial present value of accumulated plan benefits	$ 74,414,862	$ 67,658,701

Statements of Changes in Accumulated Plan Benefits

	Years Ended October 1, 2016	Years Ended October 1, 2015
Actuarial present value of accumulated plan benefits, beginning of year	$ 67,658,701	$ 44,239,500
Increase (decrease) during the year and period attributable to		
Benefits accumulated	5,913,436	5,105,720
Increase for interest due to the decrease in the discount period	3,064,650	2,841,591
Benefits paid	(4,529,314)	(965,002)
Change in actuarial assumptions (interest and form of payment assumptions)	2,307,389	15,526,854
Change in plan provisions (lump-sum benefit option)	-	910,038
Net increase	6,756,161	23,419,201
Actuarial present value of accumulated plan benefits, end of year	$ 74,414,862	$ 67,658,701

Allison Transmission Retirement Program for Salaried Employees

Notes to Financial Statements
September 30, 2017 and 2016

Note 1: Description of the Plan

The following description of the Plan provides only general information. Additional information about the vesting and benefit provisions and the Pension Benefit Guarantee Corporation's (PBGC) benefit guarantee is contained in the Plan Document and *Summary Plan Description*, which are available from the Plan Administrator. Any inconsistencies between the Plan Document and the *Summary Plan Description* would be governed by the Plan Document.

General

The Plan was established October 1, 2007 (Effective Date) as a result of the spin-off of Allison Transmission, Inc. (Company) from General Motors Corporation (GM). The Plan is frozen as to participation as of the Effective Date, and no employee other than a participant on the Effective Date shall be eligible to be covered under the Plan.

The Plan defines two types of participants, Part A and Part C. Part A participants are those participants who had a hire date with GM prior to January 1, 2001, who became a salaried employee of the Company on August 7, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and are a salaried employee immediately prior to retirement or termination. Part C participants are those participants who had a hire date with GM on or after January 1, 2001 and before January 1, 2007, were an active participant under the General Motors Retirement Program for Salaried Employees before August 7, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the General Motors Retirement Program for Salaried Employees (GM Plan) and were not vested in such benefits as of August 7, 2007 and became a salaried employee of the Company on August 7, 2007, and are a salaried employee. It was the intent of the Company that the Plan generally contain the same provisions as the GM Plan, at the time of spin-off from GM, such that employees who transferred from GM to the Company would maintain the same benefit, in aggregate, that would have been provided had the spin-off not occurred and the employees had continued working for GM.

The Plan is a noncontributory defined-benefit retirement plan for eligible salaried employees. The Plan is subject to provisions of the *Employee Retirement Income Security Act of 1974* (ERISA). Wells Fargo Bank, N.A. (Wells Fargo) is the trustee of the Plan.

Contributions

The Company has agreed to contribute such additional amounts as are necessary to provide assets sufficient to meet the benefits to be paid to Plan participants. The Company's present intention is to make contributions in amounts sufficient to annually fund the Plan's current service cost and the initial past-service costs plus interest on them over a period of seven years. The Plan has met the minimum funding requirements established by the federal government.

Pension Benefits

The monthly benefit under the Plan for Part A participants is equal to (1) 1.25% multiplied by the employee's average monthly base salary for each year of active participation during which the Part A participant's "home country" under the Company's Global Expatriate Program is the United States, plus (2)(a) for Part A participants and Part A participants, Addendum B: 1.25% multiplied by the employee's base salary from August 7, 2007 through October 1, 2007, divided by twelve, or (b) for Part A Addendum A participants - 1.25% multiplied by the employee's base salary from August 7, 2007 through September 30, 2007 divided by the number of months worked in that period. Effective January 1, 2008, enhanced variable pay (iComp) is included in the calculation of base salary. Participants are entitled to annual pension benefits beginning at the later of (1) normal retirement age (65) or (2) the 5th anniversary of the date the participant began participation in the GM Plan.

The Plan permits the following early retirement options: (1) an employee who has attained age 55 but not age 65, and who has 10 or more years of credited service, or (2) an employee who has a hire date with GM prior to January 1, 1988, who has not attained age 55, and has 30 or more years of credited service (including service with GM). In addition, participants who are totally and permanently disabled prior to attaining age 65 and who have at least 10 years of credited service (including service with GM) are eligible for a disability pension, payable without an age reduction for early commencement. Late retirement is also allowed.

Several optional forms of benefit payments are available to participants including a life annuity, a joint and 50% survivor annuity, a joint and 65% survivor annuity, or a contingent annuity in 5% increments up to 100% of the participant's monthly basic benefit. Payments made in any form other than a life annuity are actuarially adjusted for the option selected.

Effective January 1, 2016, a Part A participant or surviving spouse who has commenced benefits under the Plan on or after January 1, 2016 may elect, in lieu of the monthly basic benefit payment, to receive a lump-sum benefit payment equal to the present actuarial value as defined by the Plan.

Cash Balance Benefits

As of October 2, 2007, an account balance was established for Part C participants which equaled the sum of pay and interest credits from Part C of the GM Plan as of July 31, 2007, plus interest credits as of August 31, 2007 and September 30, 2007 and monthly interest credits as of the end of each month thereafter (starting on October 31, 2007). Interest credits equal the account balance at the end of the previous month times the monthly interest credit percentage (or 0.2669% monthly). Interest credits for a month are determined by applying the applicable interest credit percentage for the month to the participant's account balance as of the beginning of the month. The applicable interest credit percentage for a month during a Plan year is the monthly equivalent of the annual interest credit percentage for the Plan year.

Participants are entitled to monthly pension benefits beginning on the earlier of (1) the first day of the month on or after the participant's attainment of normal retirement age (the later of age 65 or the 5th anniversary of beginning participation in the GM Plan) or (2) the first day of the month following participant's termination of employment and the Plan Administrator's receipt of a request from the Part C participant. Late retirement is also allowed. The Plan allows for a life annuity, a joint and 50% survivor annuity, a joint and 75% survivor annuity, or a lump-sum distribution. If the value of a participant's account is at or below $5,000, the benefit will be paid as a lump-sum. If the account value is between $1,000 - $5,000 and the participant does not request a direct payment or rollover, it will be automatically rolled over to an IRA.

Death Benefits

If a participant is vested and dies before benefits begin and has been married for at least one year, a participant's spouse may elect to receive (1) a monthly benefit for life with the same actuarial value as the participant's account or (2) a single lump-sum equal to the participant's vested account balance.

Vesting

A participant is vested upon the earlier of (1) age 65 (or for Part C benefits - the later of age 65 or a participant's 5th anniversary in the GM Plan) or (2) completion of three years of vesting service.

Plan Termination

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

a. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under plan provisions in effect at any time during the five years preceding plan termination.

b. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) up to the applicable limitations discussed below.

c. Vested benefits not insured by the PBGC.

d. All nonvested benefits.

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan Sponsor and the level of benefits guaranteed by the PBGC. Any residual assets of the Plan will be distributed to the Company if all liabilities of the Plan to its participants and beneficiaries have been satisfied and the distribution does not contravene any provision of law.

Subsequent Events

Subsequent events have been evaluated through July 11, 2018, which is the date the financial statements were available to be issued.

Note 2: Summary of Significant Accounting Policies

Method of Accounting

The accompanying financial statements are prepared on the accrual method of accounting.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of net assets and changes in net assets available for benefits, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Valuation of Investments and Income Recognition

The money market fund is reported at fair value, which approximates its cost basis. Bonds and notes are stated at fair value. Securities, such as common stocks and U.S. government securities, traded on a national securities exchange are valued at the last reported sales price on the last business day of the Plan year. Corporate bonds and notes are valued on the basis of yields currently available on comparable securities of issuers with similar credit ratings. Mutual funds are valued at the net asset value (NAV) of shares held by the Plan at year end. The fair value of the Plan's interest in the collective trusts are valued based on information reported by the investment trust advisor at year-end. Purchases and sale of securities are recorded on a trade-date basis. Interest income is recorded on an accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

Administrative Expenses

Administrative expenses may be paid by the employer or the Plan, at the employer's discretion.

Accumulated Plan Benefits

Accumulated plan benefits (see Statements of Accumulated Plan Benefits, Statements of Changes in Accumulated Plan Benefits and Note 4) are those estimated future periodic payments, including lump-sum distributions, which are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to:

a. Retired or terminated employees or their beneficiaries

b. Present employees or their beneficiaries

Benefits under the Plan are based on employees' credited service. Benefits payable under all circumstances — retirement, death, disability and termination of employment — are included, to the extent they are deemed attributable to employee service rendered to the valuation date. Benefits to be provided via annuity contracts excluded from Plan assets are excluded from accumulated plan benefits.

Plan Tax Status

The Plan obtained its latest determination letter on April 16, 2014, in which the Internal Revenue Service (IRS) stated that the Plan and related trust, as then designed, were in compliance with the applicable requirements of the Internal Revenue Code and therefore not subject to tax. The Plan has been amended since receiving the determination letter. However, the Plan Administrator believes that the Plan and related trust are currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. The current determination letter expires January 31, 2018.

Note 3: Certification of Plan Trustee

The Plan Administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, Wells Fargo, the trustee of the Plan, has certified the following information included in the accompanying financial statements and supplemental schedules is complete and accurate:

- Investments and accrued interest income as shown in the statements of net assets available for benefits as of September 30, 2017 and 2016
- Investment income as shown in the statements of changes in net assets available for benefits for the years ended September 30, 2017 and 2016
- Due from broker for securities sold and due to broker for securities purchased as of September 30, 2017 and 2016
- Investment information included in the accompanying schedule of assets (held at year end) as of September 30, 2017 and schedule of reportable transactions for the year ended September 30, 2017

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

Note 4: Accumulated Plan Benefits

An actuary from Mercer determines the actuarial present value of accumulated plan benefits as of the beginning of the Plan year, which is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

Significant assumptions underlying the actuarial computations are:

Assumed rate of return of investments	4.7 percent, compounded annually
Mortality basis	Mercer MRP-2007 Mortality Table for employees and annuitants with no adjustment for collar and projected generational mortality improvements according to MSS-2007 for healthy and disabled lives
Retirement age	Varies by age and service Age 65 for deferred vested participants Average age of retirees is age 62
Asset valuation	Market

Plan contributions and the actuarial present value of accumulated plan benefits are prepared based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the Plan's financial statements. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Note 5: Disclosures About Fair Value of Assets

Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value measurements must maximize the use of observable inputs and minimize the use of unobservable inputs. There is a hierarchy of three levels of inputs that may be used to measure fair value:

Level 1 Quoted prices in active markets for identical assets or liabilities

Level 2 Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities

Level 3 Unobservable inputs supported by little or no market activity and are significant to the fair value of the assets or liabilities

Recurring Measurements

The following tables present the fair value measurements of assets recognized in the accompanying statements of net assets available for benefits measured at fair value on a recurring basis and the level within the fair value hierarchy in which the fair value measurements fall at September 30, 2017 and 2016:

		2017 Fair Value Measurements Using		
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money market fund	$ 5,517,296	$ 5,517,296	$ -	$ -
Pooled, common and collective fund	3,249,848	-	3,249,848	-
Bonds and notes	43,167,551	-	43,167,551	-
U.S. Governmental securities	23,920,117	7,168,598	16,751,519	-
Mutual funds	9,022,016	9,022,016	-	-
	$ 84,876,828	$ 21,707,910	$ 63,168,918	$ -

		2016 Fair Value Measurements Using		
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)
Money market fund	$ 1,524,910	$ 1,524,910	$ -	$ -
Bonds and notes	38,621,291	-	38,621,291	-
U.S. Governmental securities	26,078,823	6,297,374	19,781,449	-
Mutual funds	11,861,393	11,861,393	-	-
	$ 78,086,417	$ 19,683,677	$ 58,402,740	$ -

Following is a description of the valuation methodologies and inputs used for assets measured at fair value on a recurring basis and recognized in the accompanying statements of net assets available for benefits, as well as the general classification of such assets pursuant to the valuation hierarchy. There have been no significant changes in the valuation techniques during the year ended September 30, 2017. The Plan had no liabilities measured at fair value on a recurring basis. In addition, the Plan had no assets or liabilities measured at fair value on a nonrecurring basis.

Investments

Where quoted market prices are available in an active market, securities are classified within Level 1 of the valuation hierarchy. Level 1 securities include a money market fund, certain U.S. Governmental securities and mutual funds. If quoted market prices are not available, then fair values are estimated by using quoted prices of securities with similar characteristics or independent asset pricing services and pricing models, the inputs of which are market-based or independently sourced market parameters, including, but not limited to, yield curves, interest rates, volatilities, prepayments, defaults, cumulative loss projections and cash flows. Such securities are classified in Level 2 of the valuation hierarchy. Level 2 securities included certain U.S. Governmental securities, corporate bonds and notes and a pooled, common and collective fund. In certain cases where Level 1 or Level 2 inputs are not available, securities are classified within Level 3 of the hierarchy. There are no Level 3 investments in the Plan.

Note 6: Party-in-Interest Transactions

Party-in-interest transactions include those with fiduciaries or employees of the Plan, any person who provides services to the Plan, an employer whose employees are covered by the Plan, an employee organization whose members are covered by the Plan, a person who owns 50 percent or more of such an employer or employee association, or relatives of such persons.

The Plan invests in various bonds and notes of the Plan trustee.

The Plan paid PBGC premiums totaling $55,808 and $50,616 during 2017 and 2016, respectively. The Plan paid $52,982 and $48,790 of administrative fees to the trustee during 2017 and 2016, respectively. The Plan paid $176,935 and $181,220 of investment management fees during 2017 and 2016, respectively. The Company provides certain administrative services at no cost to the Plan.

Note 7: Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Supplemental Schedules

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

September 30, 2017

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Money Market Fund			
*Wells Fargo Advantage Treasury Plus Money Market Fund	5,517,296 shares	$ 5,517,296	$ 5,517,296
U.S. Governmental Securities			
U.S. Treasury Bond 05/15/2046	3,925,000 par value	1,682,279	1,691,754
U.S. Treasury Bond 05/15/2046	515,000 par value	520,327	478,548
U.S. Treasury Bond 02/15/2047	1,320,000 par value	1,345,799	1,357,792
U.S. Treasury Bond 05/15/2047	790,000 par value	812,614	812,894
U.S. Treasury Bond 11/15/2045	140,000 par value	148,509	144,008
U.S. Treasury Note 08/31/2022	155,000 par value	153,317	152,869
U.S. Treasury Note 08/31/2024	175,000 par value	172,696	171,883
U.S. Treasury Note 08/15/2027	2,375,000 par value	2,362,383	2,358,850
U.S. Treasury SEC Stripped 11/15/2034	2,500,000 par value	1,558,875	1,565,650
U.S. Treasury Bond/Note Stripped Zero	8,315,000 par value	3,793,811	4,441,980
U.S. Treasury Bond Stripped Zero CPN	3,500,000 par value	1,442,245	1,588,965
U.S. Treasury SEC Stripped Zero CPN	1,410,000 par value	662,517	620,048
U.S. Treasury SEC Stripped	2,900,000 par value	1,390,405	1,644,996
U.S. Treasury Bond Stripped Zero CPN 11/15/2043	2,100,000 par value	811,314	967,890
U.S. Treasury Bond Stripped Zero CPN 11/15/2044	1,050,000 par value	407,635	470,232
U.S. Treasury Bond Stripped CPN 11/15/2038	3,175,000 par value	1,463,643	1,740,948
U.S. Treasury Bond Stripped CPN 11/15/2040	3,175,000 par value	1,385,023	1,622,235
U.S. Treasury Bond Stripped CPN 11/15/2042	2,250,000 par value	897,638	1,073,272
U.S. Treasury Bond Stripped Zero 11/15/2033	800,000 par value	516,696	517,640
U.S. Treasury SEC Stripped 02/15/2035	800,000 par value	450,480	497,663
		21,978,206	23,920,117
Bonds and Notes			
Ace INA Holdings	280,000 par value	311,564	307,698
Alibaba Group Holding 11/28/2019	320,000 par value	323,726	322,618
Alibaba Group Holding 11/28/2024	315,000 par value	327,531	326,444
Allstate Corp.	325,000 par value	322,416	345,579
America Movil SAB DE CV	465,000 par value	485,700	476,574
Ameriprise Financial, Inc.	235,000 par value	236,567	229,315
Anheuser-Busch INBEV FIN 02/01/2026	435,000 par value	452,996	450,012
Anheuser-Busch INBEV FIN 02/01/2036	415,000 par value	410,800	457,550
Apple, Inc.	320,000 par value	321,928	329,469
Apple, Inc.	405,000 par value	407,722	440,089
AT&T, Inc. 03/01/2022	185,000 par value	184,945	188,670
AT&T, Inc. 02/17/2023	340,000 par value	348,819	349,823
AT&T, Inc. 06/15/2045	410,000 par value	349,496	376,306
Bank of America Corp.	695,000 par value	792,793	769,685
Berkshire Hathaway FIN	315,000 par value	326,970	343,164
BP Capital Markets PLC 05/10/2023	30,000 par value	28,737	30,101
BP Capital Markets PLC 05/04/2026	355,000 par value	364,017	354,876
Burlington North Santa Fe	325,000 par value	320,736	343,327

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued)

September 30, 2017

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
Burlington Resour Finan	235,000 par value	$ 304,555	$ 312,033
Capital One NA	235,000 par value	235,096	233,350
Cisco Systems, Inc.	495,000 par value	488,153	480,541
Citigroup, Inc.	465,000 par value	472,812	470,185
CNOOC Finance 2013 LTD	650,000 par value	634,995	651,053
Comcast, Corp. 01/15/2027	345,000 par value	325,411	322,013
Comcast, Corp. 01/15/2033	565,000 par value	570,008	607,556
Commonwealth Edison, Co.	465,000 par value	420,704	459,183
Cons Edison Co. of NY	235,000 par value	235,362	260,577
Consolidated Edison Co.	205,000 par value	218,957	227,068
CR Bard, Inc.	245,000 par value	262,620	257,409
Credit Suisse New York Med Term Note	315,000 par value	325,241	327,105
DTE Electric Co.	450,000 par value	454,365	442,170
Duke Energy Corp.	400,000 par value	404,612	401,180
Ecolab, Inc.	250,000 par value	248,035	249,948
Florida Power & Light Co. 06/01/2024	500,000 par value	507,400	515,585
Florida Power & Light Co. 02/01/2041	345,000 par value	428,331	422,425
Ford Motor Credit Co., LLC	460,000 par value	468,441	462,972
General Elec Capital Intl Funding	299,000 par value	282,711	325,133
General Elec Cap Corp. 01/10/2039	85,000 par value	114,807	124,449
General Electric Co. 03/11/2044	900,000 par value	980,026	1,007,469
Georgia Power Company	425,000 par value	448,623	444,061
Gilead Sciences, Inc.	310,000 par value	313,906	319,322
Goldman Sachs Group, Inc. 01/24/2022	350,000 par value	399,175	392,280
Goldman Sachs Group, Inc. 02/15/2019	260,000 par value	287,669	279,243
Home Depot, Inc.	300,000 par value	322,290	322,980
HSBC BK USA N A Global	270,000 par value	318,114	336,631
Huntington Bancshares	220,000 par value	223,718	221,472
Intel Corp. 07/29/2025	170,000 par value	169,747	179,777
Intel Corp. 07/29/2045	285,000 par value	324,498	340,558
John Deere Capital Corp.	325,000 par value	324,923	318,055
Johnson & Johnson	210,000 par value	229,471	238,371
JPMorgan Chase & Co.	405,000 par value	403,817	418,697
KeyCorp	285,000 par value	316,381	310,610
Kimberly-Clark Corp	455,000 par value	462,599	464,737
Kinder Morgan Ener Part	160,000 par value	160,685	182,346
Loews Corp.	305,000 par value	316,060	316,891
Lowe's Companies, Inc.	325,000 par value	323,174	323,164
Lyondellbasell Ind NV	615,000 par value	652,378	638,118
Manuf & Traders Trust Co.	325,000 par value	328,299	325,738
Medtronic, Inc.	380,000 par value	417,865	418,300
Microsoft Corp. 11/03/2025	400,000 par value	416,709	410,976
Microsoft Corp. 02/06/2047	230,000 par value	231,973	254,283
MidAmerican Energy, Co. 09/15/2043	280,000 par value	302,778	325,645
Morgan Stanley	180,000 par value	202,390	195,535

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued)

September 30, 2017

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
National Rural Util Coop	650,000 par value	$ 650,000	$ 650,137
Nike, Inc.	240,000 par value	223,985	227,698
Novartis Capital Corp.	300,000 par value	304,609	317,184
Omnicom Group, Inc.	360,000 par value	365,695	375,408
Oracle Group 04/15/2038	320,000 par value	402,547	439,350
Pacific Gas & Electric	245,000 par value	317,123	319,071
PepsiCo, Inc.	400,000 par value	446,972	443,640
Pfizer, Inc.	215,000 par value	215,050	230,596
Qualcomm, Inc.	485,000 par value	486,460	489,064
Raytheon Company	175,000 par value	205,237	206,124
Regency Energy Part/Fin	435,000 par value	486,534	481,488
Shell International Fin	240,000 par value	236,088	231,266
Simon Property Group LP	325,000 par value	328,367	326,583
Southern Cal Edison	315,000 par value	316,575	331,575
Southwestern Public Serv	565,000 par value	598,827	625,003
Statoil ASA	205,000 par value	192,306	205,576
TJX COS, Inc.	210,000 par value	203,375	210,498
Trans-Canada Pipelines	70,000 par value	71,959	71,052
Travelers Cos., Inc.	225,000 par value	330,064	311,801
Union Pacific Corp.	325,000 par value	330,580	340,308
United Technologies Corp. 06/01/2046	360,000 par value	375,369	347,161
United Health Group, Inc.	160,000 par value	159,981	183,373
US Bancorp	330,000 par value	337,217	331,927
Ventas Realty LP	320,000 par value	323,251	329,059
Verizon Communications	255,000 par value	261,138	269,979
Visa, Inc.	205,000 par value	205,070	210,025
*Wells Fargo & Company 02/13/2023	405,000 par value	401,809	414,971
*Wells Fargo & Company 03/08/2022	475,000 par value	491,720	493,349
*Wells Fargo & Company 07/22/2027	190,000 par value	201,852	200,948
Wyeth	280,000 par value	345,999	341,496
American Credit Acceptance	490,000 par value	489,419	490,985
AmeriCredit Automobile 12/08/2022	420,000 par value	419,917	417,677
AmeriCredit Automobile 04/18/2023	360,000 par value	359,915	363,586
Chrysler Capital Auto	3,900,000 par value	389,931	388,807
Drive Auto	400,000 par value	407,688	406,816
Five Guys Funding, LLC	320,000 par value	320,000	327,843
Santander Drive Auto	390,000 par value	389,877	389,921
Sierra	234,373 par value	234,346	231,333
Comm Mortgage Trust 09/10/2050	310,000 par value	248,915	248,074
Credit Suisse Mortgage Trust	230,000 par value	225,968	220,188
Govt Ntl Mtg Assn 04/17/2050	200,000 par value	175,430	185,150
Govt Ntl Mtg Assn 12/16/2056	330,000 par value	295,711	296,456
JPMorgan Chase Commercial Mortgage	350,000 par value	340,142	340,081

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4i - Schedule of Assets (Held at End of Year) (Continued)

September 30, 2017

(a)(b) Identity of Issuer	(c) Description of Investment	(d) Cost	(e) Current Value
UBS Commercial Mortgage Trust	290,000 par value	$ 297,281	$ 294,040
FREMF Mortgage Trust	360,000 par value	349,425	345,038
FREMF 2017-K65 Mtg Trust	350,000 par value	361,457	360,189
Govt Ntl Mtg Assn 11/16/2055	300,000 par value	283,313	282,165
JPMorgan Chase Commercial Mortgage 10/06/2038	450,000 par value	459,229	442,040
JPMBB Commercial Mortgage	590,000 par value	572,761	571,203
Sfave Commercial Mortgage	390,000 par value	379,397	369,139
Shops at Crystals Trust 2016-C	390,000 par value	358,069	365,980
UBS-Citigroup Commercial Mortgage	450,000 par value	488,813	443,007
*Wells Fargo Commercial Mortgage	205,000 par value	208,921	213,180
California St Build America Bonds	310,000 par value	445,513	476,718
Electricite De France SA	155,000 par value	166,191	171,357
MetLife Global Funding	340,000 par value	334,413	345,522
Roche Holding, Inc.	425,000 par value	452,353	440,551
Siemens Financiering mat	320,000 par value	329,187	329,251
Sprint Spectrum	470,000 par value	474,994	477,050
		42,671,682	43,167,551
Mutual Funds			
Blackstone Alternative Multi-Strategy Fund Class I	155,999 shares	1,552,170	1,640,884
DFA Emerging Markets Core Equity Fund	43,747 shares	699,943	829,064
Eaton Vance Atlanta Capital Smid-Cap Fund	83,167 shares	2,092,417	2,470,188
Hartford International Opportunities Fund	157,888 shares	2,169,838	2,468,257
PIMCO Commodity Real Return Strategy Fund	105,476 shares	977,529	822,125
Principal Investors Real Estate Securities INSTL Fund	31,876 shares	737,954	791,498
		8,229,851	9,022,016
Pooled, Common and Collective Fund			
Northern Trust Investments, Inc.	379 shares	2,991,923	3,249,848
		$ 81,388,958	$ 84,876,828

* Parties-in-interest

Allison Transmission Retirement Program for Salaried Employees

EIN 26-0413897 PN 003

Schedule H, Line 4j - Schedule of Reportable Transactions

Year Ended September 30, 2017

Identity	Description	Purchase Price	Selling Price	Lease Rental	Expenses Incurred With Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain
Issuer	Wells Fargo Advantage Treasury Plus Money Market Fund							
	Purchases	$ 44,998,320				$ 44,998,320	$ 44,998,320	
	Sales		$ 41,005,934			41,005,934	41,005,934	$ -
Issuer	North Trust Collective S&P 500 Index							
	Purchases	2,960,000				2,960,000	2,960,000	
	Sales		3,078,713			2,960,000	3,078,713	118,713

Schedule SB, line 26 — Schedule of Active Participant Data

ATTAINED AGE	YEARS OF CREDITED SERVICE										
	UNDER 1	1–4	5–9	10–14	15–19	20–24	25–29	30–34	35–39	40 & UP	TOTAL
Under 25											
25–29											
30–34			1	1							2
35–39				5	13						18
40–44				3	20	2	1				26
45–49				4	32	17	18	1			72
50–54			1	3	31	7	29	12	5		88
55–59					25	6	22	26	61	1	141
60–64					8	4	15	11	37	27	102
65–69					3	1	5	1	7	7	24
70 & up					1				1		2
Total			2	16	133	37	90	51	111	35	475

In each cell, the top number is the count of active participants for each age/service combination.

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

ACTUARIAL ASSUMPTIONS FOR OCTOBER 1, 2016

DISCOUNT RATE SPONSOR ELECTIONS	10/1/2016	10/1/2016	
• Segment rates or full yield curve	Segment	Segment	
• Look-back months	0	0	
• First 5 years	4.43%	1.52%	
• Next 15 years	5.91%	3.78%	
• Over 20 years	6.65%	4.76%	
	Rationale: Interest prescribed by IRS.		
MORTALITY SPONSOR ELECTIONS			
• Healthy participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement.		
• Disabled participants	Section 430(h)(3) prescribed separate generational annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement. Rationale: Mortality prescribed by IRS.		

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

OTHER ECONOMIC ASSUMPTIONS	
• Salary increases (base pay)	3.00% per year Rationale: Based on future expectations and discussions with the plan sponsor.
• iComp amount	In any given year, iComp may or may not be paid based on the company's and each individual employee's performance. For service cost calculation purposes, iComp has been estimated based on employee level as follows: • Level 5 and below – 3.00% of base pay • Level 6 – 4.00% of base pay • Level 7 – 5.00% of base pay • Level 8 and above – 8.00% of base pay It is further assumed that 25% of participants will receive an additional 10% iComp payment above the amounts listed above (or 2.50% of total base pay). Rationale: Based on future expectations and discussions with the plan sponsor.
• Inflation	2.50% per year Rationale: Based on the inflation information periodically published by Mercer Investment Consulting's *Capital Markets Outlook*.
• Expenses	$290,000 added to current year normal cost Rationale: Expenses are assumed to be equal to the prior Plan Year administration expenses plus expected PBGC Premiums for the current Plan Year rounded to the nearest $10,000.
• Part C account balance interest credit rate	3.25% per year Rationale: Based on prior July 30-Year Treasury Rate (minimum of 3.25% per plan provisions).

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

DEMOGRAPHIC ASSUMPTIONS		
• Withdrawal	ATI ultimate rates for salaried employees. See table of sample rates. Rationale: Based on an ATI experience study undertaken in 2014 using data from 2009 through 2013 and the expectation that this period will be representative of anticipated future experience.	
• Disability incidence	None assumed	
• Retirement age	ATI retirement rates for salaried employees. See table of sample rates. Rationale: Based on an ATI experience study undertaken in 2014 using data from 2009 through 2013 and the expectation that this period will be representative of anticipated future experience	
• Benefit commencement age for		
– Future vested deferred	65	
– Current vested deferred	65	
• Spouse assumptions	**MALE PARTICIPANTS**	**FEMALE PARTICIPANTS**
– Percentage married	85%	70%
– Spouse age difference	3 years younger	3 years older

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

FORM OF PAYMENT (PART A PARTICIPANTS)	**SINGLE LIFE**	**65% J&S**	**50% J&S**	**LUMP SUM**
• Active retirements	25% of unmarried	25% of married	N/A	75%
• Future vested deferred	25% of unmarried	25% of married	N/A	75%
• Future disabilities	N/A	N/A	N/A	N/A
• Future deaths	N/A	100% of married retirement eligible	100% of married not eligible	N/A
• Current vested deferred	25% of unmarried	25% of married	N/A	75%
	Rationale: Based on ATI experience; reviewed annually			
FORM OF PAYMENT (PART C PARTICIPANTS)	Life annuity (account balance converted based on applicable 417(e) mortality and interest rates)			
UNPREDICTABLE CONTINGENT EVENT ASSUMPTIONS	Not Applicable			

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Table of Sample Rates

ATTAINED AGE	PERCENTAGE WITHDRAWAL RATES
30	5.00%
35	5.00%
40	4.00%
45	3.00%
50	2.00%
55	0.00%

ATTAINED AGE	PERCENTAGE RETIREMENT RATES
48	2.00%
49	2.00%
50	2.00%
51	2.00%
52	2.00%
53	2.00%
54	2.00%
55	2.00%
56	2.00%
57	5.00%
58	5.00%
59	5.00%
60	10.00%
61	10.00%
62	15.00%
63	15.00%
64	15.00%
65	30.00%
66	30.00%
67	30.00%
68	30.00%
69	30.00%
70+	100.00%

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

ACTUARIAL METHODS

Asset Methods

The asset valuation method is the fair market value, plus any discounted receivable contributions.

Participant Methods

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all participants as of the valuation date.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts**: The plan does not have any insurance contracts.

Minimum Funding Methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE **ALLISON SALARIED CONSOLIDATED**
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017 **ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	BEGINNING MARKET VALUE		78,494,533.05				
	COMPARATIVE VALUE (5%)		3,924,726.65				
	ISSUE: VP7000061 - WF TREAS PLUS MM FD-INST #793						
22831200	09/22/17 B	4,750,000	1.000	0	4,750,000-*	4,750,000	
	ISSUE: VP7000061 - WF TREAS PLUS MM FD-INST #793						
22831200	09/28/17 S	4,045,000	1.000	0	4,045,000 *	4,045,000	0
	ISSUE: VP7000061 - WF TREAS PLUS MM FD-INST #793						
22831204	12/23/16 B	4,007,930	1.000	0	4,007,930-*	4,007,930	
	GRAND TOTAL			0	12,802,930	12,802,930	0

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY BROKER **ALLISON SALARIED CONSOLIDATED**
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017 **ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	BEGINNING MARKET VALUE		78,494,533.05				
	COMPARATIVE VALUE (5%)		3,924,726.65				
	BROKER: CREDIT SUISSE SECURITIES (USA)						
	ISSUE: 82652YAB0 - SIERRA RECEIVABLES 2.63% 10/20/33						
22831205	10/12/16 B	420,000	1.000	0	419,953-	419,953	
	ISSUE: 912828R36 - US TREASURY NOTE 1.625% 5/15/26						
22831205	11/14/16 S	125,000	0.945	0	118,174	125,796	-7,622
	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26						
22831205	11/22/16 S	300,000	0.943	0	282,750	293,437	-10,687
	ISSUE: 912828U24 - US TREASURY NOTE 2% 11/15/26						
22831205	01/12/17 B	825,000	0.972	0	801,797-	801,797	
	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26						
22831205	03/07/17 S	335,000	0.929	0	311,341	327,672	-16,331
	ISSUE: 912810RU4 - US TREASURY BOND 2.875% 11/15/46						
22831205	04/10/17 S	265,000	0.977	0	258,996	256,873	2,123
	ISSUE: 369604BH5 - GENERAL ELECTRIC CO 4.5% 3/11/44						
22831205	04/13/17 B	175,000	1.101	0	192,607-	192,607	
	ISSUE: 9128282A7 - US TREASURY NOTE 1.5% 8/15/26						
22831205	05/11/17 S	195,000	0.926	0	180,581	190,394	-9,813
	ISSUE: 912828U24 - US TREASURY NOTE 2% 11/15/26						
22831205	05/11/17 S	20,000	0.966	0	19,320	19,366	-45
	ISSUE: 12634NBA5 - CSAIL COMMERCIAL MO 4.3536% 6/15/57						
22831205	07/01/17 S	735,000	0.762	0	559,720	652,140	-92,421
	ISSUE: 235851AR3 - DANAHER CORP 4.375% 9/15/45						
22831205	07/14/17 S	500,000	1.092	0	546,210	498,920	47,290
	ISSUE: 912810RV2 - US TREASURY BOND 3% 2/15/47						
22831205	07/14/17 B	450,000	1.018	0	458,016-	458,016	
	ISSUE: 912810RX8 - US TREASURY BOND 3% 5/15/47						
22831205	08/24/17 S	435,000	1.047	0	455,595	451,933	3,661
	ISSUE: 437076BH4 - HOME DEPOT INC 4.25% 4/01/46						
22831205	09/18/17 B	300,000	1.074	0	322,290-	322,290	
	SUB-TOTAL			0	4,927,350	5,011,194	-83,845
	BROKER: BANC OF AMERICA SECURITIES LLC						
	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26						
22831205	10/04/16 S	140,000	0.995	0	139,267	136,937	2,330

REPORTABLE TRANSACTIONS - SERIES / BY BROKER **ALLISON SALARIED CONSOLIDATED**
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017 **ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	ISSUE: 912810RM2 - US TREASURY BOND 3% 5/15/45						
22831205	10/07/16 S	275,000	1.113	0	305,938	273,722	32,215
	ISSUE: 912828T34 - US TREASURY NOTE 1.125% 9/30/21						
22831205	10/19/16 B	170,000	0.995	0	169,097-	169,097	
	ISSUE: 912828T34 - US TREASURY NOTE 1.125% 9/30/21						
22831205	10/27/16 S	170,000	0.989	0	168,147	169,097	-950
	ISSUE: 717081EC3 - PFIZER INC 4% 12/15/36						
22831205	11/14/16 B	115,000	0.995	0	114,447-	114,447	
	ISSUE: 912828T91 - US TREASURY NOTE 1.625% 10/31/23						
22831205	11/15/16 S	50,000	0.974	0	48,693	49,955	-1,262
	ISSUE: 912828U24 - US TREASURY NOTE 2% 11/15/26						
22831205	01/19/17 B	335,000	0.960	0	321,417-	321,417	
	ISSUE: 912810RU4 - US TREASURY BOND 2.875% 11/15/46						
22831205	01/19/17 B	550,000	0.967	0	532,082-	532,082	
	ISSUE: 82510WAL4 - VR SHOPS AT CRYSTA 3.85547% 7/05/36						
22831205	01/25/17 B	390,000	0.918	0	358,069-	358,069	
	ISSUE: 912828U24 - US TREASURY NOTE 2% 11/15/26						
22831205	01/25/17 S	390,000	0.955	0	372,526	377,632	-5,106
	ISSUE: 912828R36 - US TREASURY NOTE 1.625% 5/15/26						
22831205	02/10/17 S	300,000	0.935	0	280,430	301,910	-21,480
	ISSUE: 912828V56 - US TREASURY NOTE 1.125% 1/31/19						
22831205	02/22/17 S	50,000	0.998	0	49,922	49,863	59
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	02/23/17 S	205,000	0.845	0	173,201	171,787	1,414
	ISSUE: 912828V56 - US TREASURY NOTE 1.125% 1/31/19						
22831205	03/10/17 S	45,000	0.996	0	44,808	44,877	-69
	ISSUE: 912833Y38 - US TREAS SEC STRIPPED						
22831205	03/10/17 S	400,000	0.534	0	198,956	198,956	0
	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26						
22831205	03/13/17 S	30,000	0.924	0	27,717	29,344	-1,627
	ISSUE: 912828R36 - US TREASURY NOTE 1.625% 5/15/26						
22831205	03/13/17 S	200,000	0.921	0	184,258	201,273	-17,016
	ISSUE: 912828R36 - US TREASURY NOTE 1.625% 5/15/26						
22831205	04/25/17 S	100,000	0.943	0	94,320	100,637	-6,316
	ISSUE: 912810RU4 - US TREASURY BOND 2.875% 11/15/46						
22831205	05/18/17 S	325,000	0.994	0	322,994	315,033	7,962
	ISSUE: 912810RU4 - US TREASURY BOND 2.875% 11/15/46						
22831205	05/22/17 S	335,000	0.991	0	331,846	324,726	7,120
	ISSUE: 912828X70 - US TREASURY NOTE 2% 4/30/24						
22831205	05/31/17 S	235,000	0.999	0	234,798	234,110	688
	ISSUE: 912828XR6 - US TREASURY NOTE 1.75% 5/31/22						
22831205	06/13/17 S	140,000	0.999	0	139,814	139,907	-93
	ISSUE: 912828R36 - US TREASURY NOTE 1.625% 5/15/26						
22831205	06/27/17 S	300,000	0.956	0	286,676	301,910	-15,234
	ISSUE: 20030NBW0 - COMCAST CORP 2.35% 1/15/27						
22831205	06/27/17 B	345,000	0.943	0	325,411-	325,411	

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45						
22831205	06/29/17 S	75,000	1.034	0	77,566	79,558	-1,992
	ISSUE: 912828X70 - US TREASURY NOTE 2% 4/30/24						
22831205	06/29/17 S	325,000	0.995	0	323,235	323,769	-533
	ISSUE: 9128337U8 - US TREASURY BOND STRIPPED						
22831205	07/31/17 S	1,025,000	0.640	0	656,451	662,017	-5,566
	ISSUE: 912828XW5 - US TREASURY NOTE 1.75% 6/30/22						
22831205	08/01/17 S	180,000	0.997	0	179,494	179,480	14
	ISSUE: 912810RV2 - US TREASURY BOND 3% 2/15/47						
22831205	08/15/17 S	175,000	1.030	0	180,223	178,420	1,802
	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45						
22831205	09/18/17 S	315,000	1.041	0	327,908	334,145	-6,237
	SUB-TOTAL			0	6,969,711	6,999,588	-29,877
	BROKER: CITIGROUP GBL MKTS/SALOMON BRO						
	ISSUE: 9128282A7 - US TREASURY NOTE 1.5% 8/15/26						
22831205	10/24/16 B	1,350,000	0.976	0	1,316,883-	1,316,883	
	ISSUE: 17119BAG2 - CHRYSLER CAPITAL AUT 3.51% 9/15/23						
22831205	10/26/16 B	390,000	1.000	0	389,931-	389,931	
	ISSUE: 912828T91 - US TREASURY NOTE 1.625% 10/31/23						
22831205	10/28/16 B	80,000	0.999	0	79,928-	79,928	
	ISSUE: 912828X70 - US TREASURY NOTE 2% 4/30/24						
22831205	05/25/17 B	560,000	0.996	0	557,878-	557,878	
	ISSUE: 00206RCS9 - AT&T INC 3.6% 2/17/23						
22831205	06/20/17 B	140,000	1.026	0	143,682-	143,682	
	ISSUE: 00206RCS9 - AT&T INC 3.6% 2/17/23						
22831205	06/20/17 B	60,000	1.026	0	61,588-	61,588	
	ISSUE: 00206RBN1 - AT&T INC 2.625% 12/01/22						
22831205	06/20/17 S	425,000	0.986	0	418,838	398,782	20,056
	ISSUE: 00206RCS9 - AT&T INC 3.6% 2/17/23						
22831205	06/21/17 B	140,000	1.025	0	143,549-	143,549	
	ISSUE: 931142DQ3 - WAL-MART STORES INC 4.3% 4/22/44						
22831205	07/11/17 S	290,000	1.100	0	318,959	304,755	14,204
	ISSUE: 912810RV2 - US TREASURY BOND 3% 2/15/47						
22831205	07/11/17 B	260,000	1.013	0	263,494-	263,494	
	ISSUE: 9128337U8 - US TREASURY BOND STRIPPED						
22831205	07/21/17 S	250,000	0.649	0	161,468	161,468	0
	ISSUE: 24422ETH2 - JOHN DEERE CAPITAL 2.65% 6/10/26						
22831205	08/03/17 B	95,000	0.986	0	93,677-	93,677	
	ISSUE: 00440EAW7 - ACE INA HOLDINGS 4.35% 11/03/45						
22831205	08/17/17 B	280,000	1.113	0	311,564-	311,564	
	ISSUE: 9128337U8 - US TREASURY BOND STRIPPED						
22831205	09/15/17 S	325,000	0.658	0	209,908	209,908	0

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	ISSUE: 912834KV9 - US TREAS SEC STRIPPED						
22831205	09/28/17 B	690,000	0.494	0	340,888-	340,888	
	SUB-TOTAL			0	4,812,235	4,777,975	34,260
	BROKER: CHASE SECURITIES INC						
	ISSUE: 80284RAG4 - SANTANDER DRIVE AUTO 3.26% 8/15/22						
22831205	10/04/16 B	390,000	1.000	0	389,877-	389,877	
	ISSUE: 03065TAG7 - AMERICREDIT AUTOMOBI 2.74% 12/08/22						
22831205	10/05/16 B	420,000	1.000	0	419,917-	419,917	
	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46						
22831205	10/25/16 B	250,000	1.000	0	249,873-	249,873	
	ISSUE: 9128282A7 - US TREASURY NOTE 1.5% 8/15/26						
22831205	11/04/16 S	100,000	0.974	0	97,426	97,638	-212
	ISSUE: 912828T67 - US TREASURY NOTE 1.25% 10/31/21						
22831205	11/16/16 B	20,000	0.979	0	19,570-	19,570	
	ISSUE: 912828U81 - US TREASURY NOTE 2% 12/31/21						
22831205	01/12/17 B	140,000	1.007	0	140,963-	140,963	
	ISSUE: 912828V56 - US TREASURY NOTE 1.125% 1/31/19						
22831205	02/23/17 S	100,000	0.999	0	99,883	99,727	156
	ISSUE: 66989HAK4 - NOVARTIS CAPITAL COR 4% 11/20/45						
22831205	04/10/17 B	260,000	1.016	0	264,048-	264,048	
	ISSUE: 907818EN4 - UNION PACIFIC CORP 4% 4/15/47						
22831205	05/18/17 B	325,000	1.017	0	330,580-	330,580	
	ISSUE: 912810RV2 - US TREASURY BOND 3% 2/15/47						
22831205	06/13/17 B	300,000	1.027	0	308,156-	308,156	
	ISSUE: 927804FE9 - VIRGINIA ELECTRIC PO 6.35% 11/30/37						
22831205	06/13/17 S	360,000	1.336	0	480,877	457,866	23,011
	ISSUE: 465968AL9 - JP MORGAN CHASE CO 3.80685% 9/15/50						
22831205	07/21/17 B	350,000	0.972	0	340,142-	340,142	
	ISSUE: 912834QH4 - US TREASURY BOND 5/15/46						
22831205	07/31/17 B	1,550,000	0.424	0	656,611-	656,611	
	ISSUE: 912828XW5 - US TREASURY NOTE 1.75% 6/30/22						
22831205	07/31/17 S	100,000	0.996	0	99,621	99,711	-90
	ISSUE: 863667AB7 - STRYKER CORP 4.375% 1/15/20						
22831205	08/01/17 S	430,000	1.058	0	454,863	466,172	-11,309
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	08/10/17 S	480,000	0.892	0	428,231	402,233	25,998
	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46						
22831205	08/17/17 S	100,000	0.942	0	94,184	101,034	-6,851
	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46						
22831205	08/17/17 S	80,000	0.942	0	75,331	80,828	-5,496
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	08/17/17 S	15,000	0.891	0	13,367	12,570	797

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46						
22831205	08/23/17 S	15,000	0.949	0	14,229	15,155	-926
	ISSUE: 912834PB8 - US TREAS SEC STRIPPED 11/15/44						
22831205	09/28/17 S	2,300,000	0.444	0	892,914	892,914	0
	ISSUE: 912834QH4 - US TREASURY BOND 5/15/46						
22831205	09/28/17 B	2,375,000	0.432	0	1,025,668-	1,025,668	
	ISSUE: 912810RX8 - US TREASURY BOND 3% 5/15/47						
22831205	09/28/17 B	650,000	1.026	0	667,164-	667,164	
	SUB-TOTAL			0	7,563,495	7,538,417	25,079
	BROKER: DEUTSCHE BANK SECURITIES						
	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26						
22831205	10/11/16 S	135,000	0.988	0	133,323	132,047	1,276
	ISSUE: 912828R36 - US TREASURY NOTE 1.625% 5/15/26						
22831205	10/12/16 S	420,000	0.987	0	414,586	422,674	-8,088
	ISSUE: 12591UAP8 - VR COMM MORTGAGE 5.01492% 3/10/47						
22831205	10/28/16 S	100,000	0.803	0	80,250	94,844	-14,594
	ISSUE: 9128282A7 - US TREASURY NOTE 1.5% 8/15/26						
22831205	11/08/16 S	245,000	0.968	0	237,057	239,212	-2,156
	ISSUE: 9128282A7 - US TREASURY NOTE 1.5% 8/15/26						
22831205	12/01/16 S	235,000	0.915	0	215,043	229,449	-14,405
	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45						
22831205	12/09/16 S	200,000	0.968	0	193,500	212,156	-18,656
	ISSUE: 12591UAP8 - VR COMM MORTGAGE 5.01484% 3/10/47						
22831205	01/12/17 S	170,000	0.803	0	136,452	161,234	-24,783
	ISSUE: 912810RQ3 - US TREASURY BOND 2.5% 2/15/46						
22831205	01/31/17 S	200,000	0.889	0	177,750	206,283	-28,533
	ISSUE: 912828P46 - US TREASURY NOTE 1.625% 2/15/26						
22831205	02/09/17 S	75,000	0.940	0	70,494	73,359	-2,865
	ISSUE: 912828T91 - US TREASURY NOTE 1.625% 10/31/23						
22831205	02/09/17 S	30,000	0.968	0	29,029	29,973	-945
	ISSUE: 912828U24 - US TREASURY NOTE 2% 11/15/26						
22831205	02/17/17 S	300,000	0.962	0	288,563	290,486	-1,924
	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46						
22831205	02/22/17 S	335,000	0.893	0	299,171	338,465	-39,295
	ISSUE: 912810RQ3 - US TREASURY BOND 2.5% 2/15/46						
22831205	03/22/17 S	395,000	0.897	0	354,188	407,410	-53,221
	ISSUE: 02529GAG7 - AMERICAN CREDIT ACCE 3.54% 3/13/23						
22831205	04/04/17 B	50,000	0.998	0	49,896-	49,896	
	ISSUE: 9128282A7 - US TREASURY NOTE 1.5% 8/15/26						
22831205	04/18/17 S	650,000	0.944	0	613,438	634,645	-21,208
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	05/03/17 S	350,000	0.857	0	300,043	293,295	6,748

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	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	05/09/17 S	330,000	0.844	0	278,657	276,535	2,121
	ISSUE: 912828V98 - US TREASURY NOTE 2.25% 2/15/27						
22831205	05/11/17 S	115,000	0.986	0	113,428	113,688	-261
	ISSUE: 912828X47 - US TREASURY NOTE 1.875% 4/30/22						
22831205	05/24/17 S	295,000	1.004	0	296,152	295,644	508
	ISSUE: 912810RP5 - US TREASURY BOND 3% 11/15/45						
22831205	09/06/17 S	140,000	1.065	0	149,127	148,509	618
	ISSUE: 12595EAN5 - COMM MORTGAGE TRUST 3% 9/10/50						
22831205	09/21/17 B	310,000	0.803	0	248,915-	248,915	
	SUB-TOTAL			0	4,679,062	4,898,719	-219,660
	BROKER: CANTOR FITZGERALD & CO.						
	ISSUE: 85208NAA8 - P/P SPRINT SPECTRUM 3.36% 9/20/21						
22831205	10/21/16 B	235,000	1.012	0	237,938-	237,938	
	ISSUE: 912834PM4 - US TREASURY BOND 8/15/45						
22831205	10/24/16 B	1,410,000	0.470	0	662,517-	662,517	
	ISSUE: 913017CJ6 - UNITED TECHNOLOGIES 3.75% 11/01/46						
22831205	10/28/16 B	360,000	0.993	0	357,368-	357,368	
	ISSUE: 58933YAR6 - MERCK & CO INC 2.75% 2/10/25						
22831205	11/08/16 B	230,000	1.018	0	234,057-	234,057	
	ISSUE: 85208NAA8 - P/P SPRINT SPECTRUM 3.36% 9/20/21						
22831205	11/08/16 B	235,000	1.009	0	237,056-	237,056	
	ISSUE: 717081EC3 - PFIZER INC 4% 12/15/36						
22831205	11/15/16 B	100,000	1.006	0	100,603-	100,603	
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	01/11/17 B	40,000	0.858	0	34,313-	34,313	
	ISSUE: 29273RAP4 - ENERGY TRANSFER PART 6.05% 6/01/41						
22831205	01/11/17 S	50,000	1.073	0	53,655	48,709	4,946
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	01/20/17 B	120,000	0.840	0	100,800-	100,800	
	ISSUE: 29273RAP4 - ENERGY TRANSFER PART 6.05% 6/01/41						
22831205	01/20/17 S	150,000	1.056	0	158,445	146,127	12,318
	ISSUE: 594918CA0 - MICROSOFT CORP 4.25% 2/06/47						
22831205	01/31/17 B	230,000	1.009	0	231,973-	231,973	
	ISSUE: 037833CJ7 - APPLE INC 3.35% 2/09/27						
22831205	02/03/17 B	105,000	1.006	0	105,621-	105,621	
	ISSUE: 92277GAD9 - VENTAS REALTY LP 3.75% 5/01/24						
22831205	02/22/17 B	320,000	1.010	0	323,251-	323,251	
	ISSUE: 12189LBB6 - BURLINGTN NORTH SANT 4.125% 6/15/47						
22831205	03/07/17 B	325,000	0.987	0	320,736-	320,736	
	ISSUE: 26208CAN8 - DRIVE AUTO RECEIVABL 4.16% 5/15/24						
22831205	03/10/17 B	400,000	1.019	0	407,688-	407,688	

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	ISSUE: 02529GAG7 - AMERICAN CREDIT ACCE 3.54% 3/13/23						
22831205	03/10/17 B	110,000	0.997	0	109,613-	109,613	
	ISSUE: 842400GG2 - SOUTHERN CAL EDISON 4% 4/01/47						
22831205	03/22/17 B	315,000	1.005	0	316,575-	316,575	
	ISSUE: 637432NN1 - NATIONAL RURAL UTIL 3.05% 4/25/27						
22831205	04/18/17 B	650,000	1.000	0	650,000-	650,000	
	ISSUE: 548661DP9 - LOWE'S COS INC 3.1% 5/03/27						
22831205	04/25/17 B	325,000	0.994	0	323,174-	323,174	
	ISSUE: 494368BW2 - KIMBERLY-CLARK CORP 3.9% 5/04/47						
22831205	05/31/17 B	455,000	1.017	0	462,599-	462,599	
	ISSUE: 755111BU4 - RAYTHEON COMPANY 4.875% 10/15/40						
22831205	06/02/17 B	175,000	1.173	0	205,237-	205,237	
	ISSUE: 22546QAP2 - CREDIT SUISSE NEW YO 3.625% 9/09/24						
22831205	07/11/17 B	315,000	1.032	0	325,241-	325,241	
	ISSUE: 55279HAQ3 - MANUF & TRADERS TRUS 3.4% 8/17/27						
22831205	08/17/17 B	255,000	1.010	0	257,565-	257,565	
	ISSUE: 55279HAQ3 - MANUF & TRADERS TRUS 3.4% 8/17/27						
22831205	08/18/17 B	70,000	1.010	0	70,734-	70,734	
	ISSUE: 91159HHR4 - US BANCORP 3.15% 4/27/27						
22831205	09/08/17 B	330,000	1.022	0	337,217-	337,217	
	ISSUE: 828807DC8 - SIMON PROPERTY GROUP 3.375% 6/15/27						
22831205	09/15/17 B	325,000	1.010	0	328,367-	328,367	
	SUB-TOTAL			0	6,952,343	6,935,079	17,264
	BROKER: JEFFERIES & COMPANY, INC.						
	ISSUE: 9128282F6 - US TREASURY NOTE 1.125% 8/31/21						
22831205	10/04/16 S	235,000	0.995	0	233,889	234,486	-597
	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46						
22831205	10/06/16 B	20,000	1.008	0	20,163-	20,163	
	ISSUE: 913017BT5 - UNITED TECHNOLOGIES 4.5% 6/01/42						
22831205	10/06/16 S	25,000	1.137	0	28,423	25,559	2,864
	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46						
22831205	10/07/16 B	150,000	1.006	0	150,844-	150,844	
	ISSUE: 913017BT5 - UNITED TECHNOLOGIES 4.5% 6/01/42						
22831205	10/07/16 S	175,000	1.137	0	198,895	178,915	19,980
	ISSUE: 12649AAN9 - CREDIT SUISSE MORTG 4.3733% 9/15/37						
22831205	10/25/16 S	250,000	0.968	0	241,992	247,012	-5,020
	ISSUE: 268317AQ7 - P/P ELECTRICITE DE 4.95% 10/13/45						
22831205	10/27/16 B	35,000	1.071	0	37,477-	37,477	
	ISSUE: 268317AQ7 - P/P ELECTRICITE DE 4.95% 10/13/45						
22831205	10/27/16 B	120,000	1.073	0	128,714-	128,714	
	ISSUE: 912810RS9 - US TREASURY BOND 2.5% 5/15/46						
22831205	11/04/16 B	180,000	0.988	0	177,806-	177,806	

REPORTABLE TRANSACTIONS - SERIES / BY BROKER **ALLISON SALARIED CONSOLIDATED**
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017 **ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	ISSUE: 149123CB5 - CATERPILLAR INC 3.803% 8/15/42						
22831205	11/04/16 S	225,000	1.009	0	226,987	222,892	4,095
	ISSUE: 020002BC4 - ALLSTATE CORP 4.2% 12/15/46						
22831205	12/09/16 B	325,000	0.992	0	322,416-	322,416	
	ISSUE: 878091BD8 - P/P TEACHERS INSUR 4.9% 9/15/44						
22831205	01/10/17 S	225,000	1.094	0	246,092	249,152	-3,060
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	01/10/17 B	220,000	0.857	0	188,513-	188,513	
	ISSUE: 90268TAS3 - VR UBS-CITIGROUP 6.08395% 1/10/45						
22831205	01/17/17 S	50,000	1.050	0	52,484	54,313	-1,828
	ISSUE: 878091BD8 - P/P TEACHERS INSUR 4.9% 9/15/44						
22831205	01/18/17 S	175,000	1.093	0	191,307	193,785	-2,478
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	01/18/17 B	165,000	0.856	0	141,178-	141,178	
	ISSUE: 12649AAN9 - CREDIT SUISSE MORTG 4.3733% 9/15/37						
22831205	01/31/17 B	30,000	0.945	0	28,358-	28,358	
	ISSUE: 912810RT7 - US TREASURY BOND 2.25% 8/15/46						
22831205	02/01/17 B	185,000	0.836	0	154,648-	154,648	
	ISSUE: 59156RBG2 - METLIFE INC 4.875% 11/13/43						
22831205	02/01/17 S	195,000	1.075	0	209,568	203,005	6,564
	ISSUE: 30251BAC2 - P/P FMR LLC 6.5% 12/14/40						
22831205	02/17/17 S	390,000	1.278	0	498,436	481,417	17,018
	ISSUE: 912810RU4 - US TREASURY BOND 2.875% 11/15/46						
22831205	02/17/17 B	350,000	0.973	0	340,375-	340,375	
	ISSUE: 478111AC1 - JOHNS HOPKINS HEALTH 3.837% 5/15/46						
22831205	04/20/17 B	330,000	0.989	0	326,453-	326,453	
	ISSUE: 63946BAE0 - NBCUNIVERSAL MEDIA 4.375% 4/01/21						
22831205	05/09/17 S	185,000	1.078	0	199,467	201,570	-2,103
	ISSUE: 478111AC1 - JOHNS HOPKINS HEALTH 3.837% 5/15/46						
22831205	05/22/17 B	335,000	0.986	0	330,434-	330,434	
	ISSUE: 931142DQ3 - WAL-MART STORES INC 4.3% 4/22/44						
22831205	07/07/17 S	45,000	1.097	0	49,351	47,290	2,061
	ISSUE: 912810RV2 - US TREASURY BOND 3% 2/15/47						
22831205	07/07/17 B	40,000	1.014	0	40,550-	40,550	
	ISSUE: 151020AE4 - CELGENE CORP 3.95% 10/15/20						
22831205	08/01/17 S	50,000	1.059	0	52,969	52,991	-22
	ISSUE: 151020AE4 - CELGENE CORP 3.95% 10/15/20						
22831205	08/02/17 S	360,000	1.058	0	380,855	381,532	-677
	ISSUE: 373334JW2 - GEORGIA POWER COMPAN 4.3% 3/15/42						
22831205	08/24/17 B	425,000	1.056	0	448,643-	448,643	
	ISSUE: 35177PAW7 - FRANCE TELECOM 4.125% 9/14/21						
22831205	09/25/17 S	185,000	1.068	0	197,525	198,322	-797
	ISSUE: 9128282S8 - US TREASURY NOTE 1.625% 8/31/22						
22831205	09/25/17 B	155,000	0.989	0	153,317-	153,317	

REPORTABLE TRANSACTIONS - SERIES / BY BROKER **ALLISON SALARIED CONSOLIDATED**
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017 **ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	SUB-TOTAL			0	5,998,129	5,962,130	36,000
	GRAND TOTAL			0	41,902,325	42,123,102	-220,779

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE **ALLISON SALARIED CONSOLIDATED**
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017 **ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
	BEGINNING MARKET VALUE		78,494,533.05				
	COMPARATIVE VALUE (5%)		3,924,726.65				
	ISSUE: VP7000061 - WF TREAS PLUS MM FD-INST #793						
22831200	10/14/16 B	3,000,000	1.000	0	3,000,000-	3,000,000	
22831200	12/23/16 B	322,000	1.000	0	322,000-	322,000	
22831200	01/17/17 B	1,500,000	1.000	0	1,500,000-	1,500,000	
22831200	02/08/17 B	9,153	1.000	0	9,153-	9,153	
22831200	03/16/17 B	1,013,099	1.000	0	1,013,099-	1,013,099	
22831200	06/02/17 B	1,200,000	1.000	0	1,200,000-	1,200,000	
22831200	07/28/17 B	385,000	1.000	0	385,000-	385,000	
22831200	08/01/17 B	1,337	1.000	0	1,337-	1,337	
22831200	08/02/17 B	1,150,000	1.000	0	1,150,000-	1,150,000	
22831200	09/15/17 B	575,000	1.000	0	575,000-	575,000	
22831200	09/22/17 B	4,750,000	1.000	0	4,750,000-*	4,750,000	
22831204	10/03/16 B	0	1.000	0	0-	0	
22831204	10/21/16 B	210,000	1.000	0	210,000-	210,000	
22831204	11/01/16 B	3	1.000	0	3-	3	
22831204	12/01/16 B	0	1.000	0	0-	0	
22831204	12/23/16 B	4,007,930	1.000	0	4,007,930-*	4,007,930	
22831204	01/03/17 B	116	1.000	0	116-	116	
22831204	01/19/17 B	190,000	1.000	0	190,000-	190,000	
22831204	02/01/17 B	7	1.000	0	7-	7	
22831204	02/17/17 B	3,078,713	1.000	0	3,078,713-	3,078,713	
22831204	03/01/17 B	26	1.000	0	26-	26	
22831204	03/13/17 B	340,000	1.000	0	340,000-	340,000	
22831204	04/03/17 B	40	1.000	0	40-	40	
22831204	05/01/17 B	0	1.000	0	0-	0	
22831204	05/30/17 B	200,000	1.000	0	200,000-	200,000	
22831204	06/01/17 B	7	1.000	0	7-	7	
22831204	07/03/17 B	4	1.000	0	4-	4	
22831204	07/28/17 B	385,000	1.000	0	385,000-	385,000	
22831204	08/01/17 B	0	1.000	0	0-	0	
22831204	09/01/17 B	0	1.000	0	0-	0	
22831204	09/28/17 B	440,051	1.000	0	440,051-	440,051	
22831205	10/03/16 B	86,526	1.000	0	86,526-	86,526	
22831205	10/05/16 B	5,867	1.000	0	5,867-	5,867	
22831205	10/07/16 B	373,754	1.000	0	373,754-	373,754	
22831205	10/11/16 B	2,701	1.000	0	2,701-	2,701	
22831205	10/13/16 B	23,223	1.000	0	23,223-	23,223	
22831205	10/14/16 B	23,613	1.000	0	23,613-	23,613	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
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ALLISON SALARIED CONSOLIDATED
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	10/17/16 B	452,497	1.000	0	452,497-	452,497	
22831205	10/17/16 B	2,580	1.000	0	2,580-	2,580	
22831205	10/17/16 B	2,084	1.000	0	2,084-	2,084	
22831205	10/18/16 B	9,625	1.000	0	9,625-	9,625	
22831205	10/18/16 B	1,070	1.000	0	1,070-	1,070	
22831205	10/20/16 B	1,719	1.000	0	1,719-	1,719	
22831205	10/21/16 B	2,150,000	1.000	0	2,150,000-	2,150,000	
22831205	10/24/16 B	2,987	1.000	0	2,987-	2,987	
22831205	10/31/16 B	168,310	1.000	0	168,310-	168,310	
22831205	11/01/16 B	257,407	1.000	0	257,407-	257,407	
22831205	11/03/16 B	8,832	1.000	0	8,832-	8,832	
22831205	11/07/16 B	7,010	1.000	0	7,010-	7,010	
22831205	11/08/16 B	1,275	1.000	0	1,275-	1,275	
22831205	11/08/16 B	799	1.000	0	799-	799	
22831205	11/09/16 B	52,052	1.000	0	52,052-	52,052	
22831205	11/10/16 B	7,875	1.000	0	7,875-	7,875	
22831205	11/14/16 B	230,920	1.000	0	230,920-	230,920	
22831205	11/15/16 B	54,549	1.000	0	54,549-	54,549	
22831205	11/16/16 B	11,655	1.000	0	11,655-	11,655	
22831205	11/17/16 B	729	1.000	0	729-	729	
22831205	11/18/16 B	48,734	1.000	0	48,734-	48,734	
22831205	11/21/16 B	19,715	1.000	0	19,715-	19,715	
22831205	11/28/16 B	287,644	1.000	0	287,644-	287,644	
22831205	11/29/16 B	1,688	1.000	0	1,688-	1,688	
22831205	11/30/16 B	11,430	1.000	0	11,430-	11,430	
22831205	12/01/16 B	28,757	1.000	0	28,757-	28,757	
22831205	12/02/16 B	216,087	1.000	0	216,087-	216,087	
22831205	12/07/16 B	1,426	1.000	0	1,426-	1,426	
22831205	12/08/16 B	1,070	1.000	0	1,070-	1,070	
22831205	12/09/16 B	959	1.000	0	959-	959	
22831205	12/12/16 B	6,323	1.000	0	6,323-	6,323	
22831205	12/12/16 B	1,848	1.000	0	1,848-	1,848	
22831205	12/13/16 B	7,663	1.000	0	7,663-	7,663	
22831205	12/15/16 B	19,459	1.000	0	19,459-	19,459	
22831205	12/16/16 B	56,178	1.000	0	56,178-	56,178	
22831205	12/19/16 B	4,663	1.000	0	4,663-	4,663	
22831205	12/20/16 B	29,420	1.000	0	29,420-	29,420	
22831205	12/23/16 B	710,000	1.000	0	710,000-	710,000	
22831205	12/27/16 B	3,191	1.000	0	3,191-	3,191	
22831205	01/03/17 B	118	1.000	0	118-	118	
22831205	01/05/17 B	20,416	1.000	0	20,416-	20,416	
22831205	01/09/17 B	4,730	1.000	0	4,730-	4,730	
22831205	01/10/17 B	8,022	1.000	0	8,022-	8,022	
22831205	01/12/17 B	3,135	1.000	0	3,135-	3,135	
22831205	01/12/17 B	2,526	1.000	0	2,526-	2,526	
22831205	01/13/17 B	64,285	1.000	0	64,285-	64,285	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	01/17/17 B	599,377	1.000	0	599,377-	599,377	
22831205	01/18/17 B	729	1.000	0	729-	729	
22831205	01/19/17 B	5,421	1.000	0	5,421-	5,421	
22831205	01/19/17 B	725,000	1.000	0	725,000-	725,000	
22831205	01/20/17 B	22,837	1.000	0	22,837-	22,837	
22831205	01/20/17 B	52,644	1.000	0	52,644-	52,644	
22831205	01/23/17 B	55,638	1.000	0	55,638-	55,638	
22831205	01/25/17 B	57,810	1.000	0	57,810-	57,810	
22831205	01/30/17 B	378,631	1.000	0	378,631-	378,631	
22831205	02/01/17 B	334,653	1.000	0	334,653-	334,653	
22831205	02/02/17 B	2,860	1.000	0	2,860-	2,860	
22831205	02/06/17 B	484,901	1.000	0	484,901-	484,901	
22831205	02/07/17 B	1,213	1.000	0	1,213-	1,213	
22831205	02/08/17 B	959	1.000	0	959-	959	
22831205	02/08/17 B	488	1.000	0	488-	488	
22831205	02/10/17 B	4,542	1.000	0	4,542-	4,542	
22831205	02/10/17 B	4,122	1.000	0	4,122-	4,122	
22831205	02/13/17 B	14,097	1.000	0	14,097-	14,097	
22831205	02/14/17 B	100,271	1.000	0	100,271-	100,271	
22831205	02/15/17 B	84,014	1.000	0	84,014-	84,014	
22831205	02/16/17 B	9,370	1.000	0	9,370-	9,370	
22831205	02/17/17 B	729	1.000	0	729-	729	
22831205	02/17/17 B	3,337	1.000	0	3,337-	3,337	
22831205	02/21/17 B	5,359	1.000	0	5,359-	5,359	
22831205	02/21/17 B	18,726	1.000	0	18,726-	18,726	
22831205	02/23/17 B	166,497	1.000	0	166,497-	166,497	
22831205	02/28/17 B	52,151	1.000	0	52,151-	52,151	
22831205	03/01/17 B	19,445	1.000	0	19,445-	19,445	
22831205	03/07/17 B	1,426	1.000	0	1,426-	1,426	
22831205	03/08/17 B	10,484	1.000	0	10,484-	10,484	
22831205	03/08/17 B	1,070	1.000	0	1,070-	1,070	
22831205	03/10/17 B	3,460	1.000	0	3,460-	3,460	
22831205	03/13/17 B	18,486	1.000	0	18,486-	18,486	
22831205	03/14/17 B	3,000	1.000	0	3,000-	3,000	
22831205	03/15/17 B	479,857	1.000	0	479,857-	479,857	
22831205	03/16/17 B	285,744	1.000	0	285,744-	285,744	
22831205	03/17/17 B	838	1.000	0	838-	838	
22831205	03/17/17 B	5,421	1.000	0	5,421-	5,421	
22831205	03/20/17 B	351,902	1.000	0	351,902-	351,902	
22831205	03/23/17 B	6,581	1.000	0	6,581-	6,581	
22831205	03/24/17 B	207,268	1.000	0	207,268-	207,268	
22831205	03/24/17 B	17,337	1.000	0	17,337-	17,337	
22831205	03/27/17 B	38,600	1.000	0	38,600-	38,600	
22831205	03/27/17 B	217,337	1.000	0	217,337-	217,337	
22831205	03/28/17 B	48,643	1.000	0	48,643-	48,643	
22831205	03/29/17 B	2,369	1.000	0	2,369-	2,369	

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ALLISON SALARIED CONSOLIDATED
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	03/30/17 B	13,396	1.000	0	13,396-	13,396	
22831205	04/03/17 B	37,607	1.000	0	37,607-	37,607	
22831205	04/03/17 B	313,721	1.000	0	313,721-	313,721	
22831205	04/05/17 B	5,867	1.000	0	5,867-	5,867	
22831205	04/07/17 B	1,106	1.000	0	1,106-	1,106	
22831205	04/10/17 B	959	1.000	0	959-	959	
22831205	04/12/17 B	1,909	1.000	0	1,909-	1,909	
22831205	04/12/17 B	1,425	1.000	0	1,425-	1,425	
22831205	04/12/17 B	2,350	1.000	0	2,350-	2,350	
22831205	04/17/17 B	54,058	1.000	0	54,058-	54,058	
22831205	04/17/17 B	3,225	1.000	0	3,225-	3,225	
22831205	04/17/17 B	783	1.000	0	783-	783	
22831205	04/19/17 B	7,929	1.000	0	7,929-	7,929	
22831205	04/19/17 B	3	1.000	0	3-	3	
22831205	04/20/17 B	18,435	1.000	0	18,435-	18,435	
22831205	04/21/17 B	490,449	1.000	0	490,449-	490,449	
22831205	04/24/17 B	7,203	1.000	0	7,203-	7,203	
22831205	04/28/17 B	95,057	1.000	0	95,057-	95,057	
22831205	05/01/17 B	30,544	1.000	0	30,544-	30,544	
22831205	05/04/17 B	215,753	1.000	0	215,753-	215,753	
22831205	05/05/17 B	6,962	1.000	0	6,962-	6,962	
22831205	05/05/17 B	1,213	1.000	0	1,213-	1,213	
22831205	05/09/17 B	1,070	1.000	0	1,070-	1,070	
22831205	05/10/17 B	9,750	1.000	0	9,750-	9,750	
22831205	05/11/17 B	413	1.000	0	413-	413	
22831205	05/12/17 B	150,827	1.000	0	150,827-	150,827	
22831205	05/15/17 B	71,370	1.000	0	71,370-	71,370	
22831205	05/16/17 B	326,537	1.000	0	326,537-	326,537	
22831205	05/17/17 B	3,417	1.000	0	3,417-	3,417	
22831205	05/18/17 B	2,842	1.000	0	2,842-	2,842	
22831205	05/22/17 B	22,135	1.000	0	22,135-	22,135	
22831205	05/25/17 B	1,317	1.000	0	1,317-	1,317	
22831205	05/26/17 B	28,808	1.000	0	28,808-	28,808	
22831205	05/30/17 B	309,134	1.000	0	309,134-	309,134	
22831205	05/31/17 B	7,477	1.000	0	7,477-	7,477	
22831205	06/01/17 B	26,873	1.000	0	26,873-	26,873	
22831205	06/02/17 B	1,315,066	1.000	0	1,315,066-	1,315,066	
22831205	06/08/17 B	3,066	1.000	0	3,066-	3,066	
22831205	06/09/17 B	2,065	1.000	0	2,065-	2,065	
22831205	06/12/17 B	6,816	1.000	0	6,816-	6,816	
22831205	06/13/17 B	189,129	1.000	0	189,129-	189,129	
22831205	06/14/17 B	3,229	1.000	0	3,229-	3,229	
22831205	06/15/17 B	26,222	1.000	0	26,222-	26,222	
22831205	06/15/17 B	910	1.000	0	910-	910	
22831205	06/19/17 B	2,936	1.000	0	2,936-	2,936	
22831205	06/19/17 B	1,094	1.000	0	1,094-	1,094	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	06/20/17 B	27,477	1.000	0	27,477-	27,477	
22831205	06/23/17 B	211,730	1.000	0	211,730-	211,730	
22831205	07/03/17 B	382	1.000	0	382-	382	
22831205	07/05/17 B	73,098	1.000	0	73,098-	73,098	
22831205	07/07/17 B	1,070	1.000	0	1,070-	1,070	
22831205	07/10/17 B	11,620	1.000	0	11,620-	11,620	
22831205	07/11/17 B	20,840	1.000	0	20,840-	20,840	
22831205	07/12/17 B	12,391	1.000	0	12,391-	12,391	
22831205	07/12/17 B	1,847	1.000	0	1,847-	1,847	
22831205	07/13/17 B	1,446	1.000	0	1,446-	1,446	
22831205	07/14/17 B	25,161	1.000	0	25,161-	25,161	
22831205	07/17/17 B	38,505	1.000	0	38,505-	38,505	
22831205	07/17/17 B	2,841	1.000	0	2,841-	2,841	
22831205	07/18/17 B	83,198	1.000	0	83,198-	83,198	
22831205	07/18/17 B	2,001	1.000	0	2,001-	2,001	
22831205	07/19/17 B	89,986	1.000	0	89,986-	89,986	
22831205	07/20/17 B	17,459	1.000	0	17,459-	17,459	
22831205	07/24/17 B	19,098	1.000	0	19,098-	19,098	
22831205	07/26/17 B	162,308	1.000	0	162,308-	162,308	
22831205	07/31/17 B	62,188	1.000	0	62,188-	62,188	
22831205	08/01/17 B	36,083	1.000	0	36,083-	36,083	
22831205	08/02/17 B	1,169,569	1.000	0	1,169,569-	1,169,569	
22831205	08/02/17 B	86	1.000	0	86-	86	
22831205	08/03/17 B	99,623	1.000	0	99,623-	99,623	
22831205	08/04/17 B	53,567	1.000	0	53,567-	53,567	
22831205	08/07/17 B	391,640	1.000	0	391,640-	391,640	
22831205	08/07/17 B	1,253	1.000	0	1,253-	1,253	
22831205	08/08/17 B	1,106	1.000	0	1,106-	1,106	
22831205	08/09/17 B	5,360	1.000	0	5,360-	5,360	
22831205	08/10/17 B	3,163	1.000	0	3,163-	3,163	
22831205	08/11/17 B	2,344	1.000	0	2,344-	2,344	
22831205	08/11/17 B	1,909	1.000	0	1,909-	1,909	
22831205	08/14/17 B	8,432	1.000	0	8,432-	8,432	
22831205	08/16/17 B	10,358	1.000	0	10,358-	10,358	
22831205	08/17/17 B	6,120	1.000	0	6,120-	6,120	
22831205	08/17/17 B	2,991	1.000	0	2,991-	2,991	
22831205	08/17/17 B	783	1.000	0	783-	783	
22831205	08/18/17 B	1,026	1.000	0	1,026-	1,026	
22831205	08/18/17 B	5,400	1.000	0	5,400-	5,400	
22831205	08/23/17 B	71,761	1.000	0	71,761-	71,761	
22831205	08/25/17 B	1,288	1.000	0	1,288-	1,288	
22831205	08/25/17 B	37	1.000	0	37-	37	
22831205	08/25/17 B	1,229	1.000	0	1,229-	1,229	
22831205	08/28/17 B	14,336	1.000	0	14,336-	14,336	
22831205	08/29/17 B	2,385	1.000	0	2,385-	2,385	
22831205	09/01/17 B	43,760	1.000	0	43,760-	43,760	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE **ALLISON SALARIED CONSOLIDATED**
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017 **ACCOUNT NUMBER 22831299**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS	
22831205	09/05/17 B	189,696	1.000	0	189,696-	189,696		
22831205	09/11/17 B	22,609	1.000	0	22,609-	22,609		
22831205	09/12/17 B	306,646	1.000	0	306,646-	306,646		
22831205	09/13/17 B	8,528	1.000	0	8,528-	8,528		
22831205	09/14/17 B	454,951	1.000	0	454,951-	454,951		
22831205	09/15/17 B	47,071	1.000	0	47,071-	47,071		
22831205	09/15/17 B	128,877	1.000	0	128,877-	128,877		
22831205	09/15/17 B	1,893	1.000	0	1,893-	1,893		
22831205	09/18/17 B	6,859	1.000	0	6,859-	6,859		
22831205	09/20/17 B	28,284	1.000	0	28,284-	28,284		
22831205	09/25/17 B	249,781	1.000	0	249,781-	249,781		
22831205	09/27/17 B	217,999	1.000	0	217,999-	217,999		
22831205	09/28/17 B	135	1.000	0	135-	135		
22831205	09/28/17 B	3,550,000	1.000	0	3,550,000-	3,550,000		
	SUB-TOTAL OF BUYS # 235			0	44,998,317	44,998,317		
22831200	10/03/16 S	97,524	1.000	0	97,524	97,524		0
22831200	10/06/16 S	131,548	1.000	0	131,548	131,548		0
22831200	10/12/16 S	38,562	1.000	0	38,562	38,562		0
22831200	10/20/16 S	12,027	1.000	0	12,027	12,027		0
22831200	10/21/16 S	2,360,000	1.000	0	2,360,000	2,360,000		0
22831200	11/01/16 S	97,418	1.000	0	97,418	97,418		0
22831200	11/03/16 S	1,637	1.000	0	1,637	1,637		0
22831200	11/28/16 S	8,198	1.000	0	8,198	8,198		0
22831200	11/30/16 S	180,098	1.000	0	180,098	180,098		0
22831200	12/01/16 S	143,591	1.000	0	143,591	143,591		0
22831200	12/22/16 S	330,308	1.000	0	330,308	330,308		0
22831200	01/03/17 S	97,502	1.000	0	97,502	97,502		0
22831200	01/19/17 S	915,000	1.000	0	915,000	915,000		0
22831200	01/20/17 S	438,558	1.000	0	438,558	438,558		0
22831200	01/23/17 S	37,814	1.000	0	37,814	37,814		0
22831200	01/24/17 S	93,423	1.000	0	93,423	93,423		0
22831200	01/26/17 S	147,711	1.000	0	147,711	147,711		0
22831200	02/01/17 S	97,482	1.000	0	97,482	97,482		0
22831200	02/17/17 S	185,577	1.000	0	185,577	185,577		0
22831200	02/21/17 S	1,114	1.000	0	1,114	1,114		0
22831200	03/01/17 S	98,743	1.000	0	98,743	98,743		0
22831200	03/10/17 S	8,289	1.000	0	8,289	8,289		0
22831200	03/27/17 S	1,104	1.000	0	1,104	1,104		0
22831200	04/03/17 S	99,589	1.000	0	99,589	99,589		0
22831200	04/12/17 S	37,851	1.000	0	37,851	37,851		0
22831200	04/20/17 S	154,931	1.000	0	154,931	154,931		0
22831200	04/24/17 S	1,191	1.000	0	1,191	1,191		0
22831200	04/25/17 S	71,699	1.000	0	71,699	71,699		0
22831200	04/26/17 S	19,828	1.000	0	19,828	19,828		0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831200	05/01/17 S	100,596	1.000	0	100,596	100,596	0
22831200	05/18/17 S	82,759	1.000	0	82,759	82,759	0
22831200	05/24/17 S	636,241	1.000	0	636,241	636,241	0
22831200	06/01/17 S	103,377	1.000	0	103,377	103,377	0
22831200	06/08/17 S	111,636	1.000	0	111,636	111,636	0
22831200	06/22/17 S	3,880	1.000	0	3,880	3,880	0
22831200	06/26/17 S	604,769	1.000	0	604,769	604,769	0
22831200	06/30/17 S	7,568	1.000	0	7,568	7,568	0
22831200	07/03/17 S	106,961	1.000	0	106,961	106,961	0
22831200	07/11/17 S	55,808	1.000	0	55,808	55,808	0
22831200	07/20/17 S	15,664	1.000	0	15,664	15,664	0
22831200	07/20/17 S	38,564	1.000	0	38,564	38,564	0
22831200	07/24/17 S	188,617	1.000	0	188,617	188,617	0
22831200	07/25/17 S	227,477	1.000	0	227,477	227,477	0
22831200	08/01/17 S	112,389	1.000	0	112,389	112,389	0
22831200	08/09/17 S	19,012	1.000	0	19,012	19,012	0
22831200	08/23/17 S	11	1.000	0	11	11	0
22831200	09/01/17 S	493,967	1.000	0	493,967	493,967	0
22831200	09/18/17 S	401,751	1.000	0	401,751	401,751	0
22831200	09/19/17 S	219,659	1.000	0	219,659	219,659	0
22831200	09/20/17 S	15,002	1.000	0	15,002	15,002	0
22831200	09/28/17 S	4,045,000	1.000	0	4,045,000 *	4,045,000	0
22831204	10/24/16 S	210,000	1.000	0	210,000	210,000	0
22831204	12/23/16 S	1,032,000	1.000	0	1,032,000	1,032,000	0
22831204	12/27/16 S	2,960,000	1.000	0	2,960,000	2,960,000	0
22831204	01/20/17 S	190,000	1.000	0	190,000	190,000	0
22831204	02/17/17 S	2,912,000	1.000	0	2,912,000	2,912,000	0
22831204	03/13/17 S	80,000	1.000	0	80,000	80,000	0
22831204	03/16/17 S	443,099	1.000	0	443,099	443,099	0
22831204	06/02/17 S	200,000	1.000	0	200,000	200,000	0
22831204	07/28/17 S	385,000	1.000	0	385,000	385,000	0
22831204	09/28/17 S	51	1.000	0	51	51	0
22831204	09/29/17 S	440,000	1.000	0	440,000	440,000	0
22831205	10/11/16 S	470,187	1.000	0	470,187	470,187	0
22831205	10/12/16 S	301,957	1.000	0	301,957	301,957	0
22831205	10/19/16 S	419,953	1.000	0	419,953	419,953	0
22831205	10/20/16 S	337	1.000	0	337	337	0
22831205	10/27/16 S	2,221,354	1.000	0	2,221,354	2,221,354	0
22831205	10/28/16 S	16,634	1.000	0	16,634	16,634	0
22831205	11/02/16 S	13,132	1.000	0	13,132	13,132	0
22831205	11/04/16 S	389,931	1.000	0	389,931	389,931	0
22831205	11/14/16 S	237,429	1.000	0	237,429	237,429	0
22831205	11/17/16 S	348	1.000	0	348	348	0
22831205	11/21/16 S	89,279	1.000	0	89,279	89,279	0
22831205	12/06/16 S	224,697	1.000	0	224,697	224,697	0
22831205	12/08/16 S	206,011	1.000	0	206,011	206,011	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	12/14/16 S	112,759	1.000	0	112,759	112,759	0
22831205	12/16/16 S	337	1.000	0	337	337	0
22831205	12/29/16 S	797,253	1.000	0	797,253	797,253	0
22831205	01/18/17 S	798,356	1.000	0	798,356	798,356	0
22831205	01/23/17 S	1,719	1.000	0	1,719	1,719	0
22831205	01/24/17 S	842,840	1.000	0	842,840	842,840	0
22831205	01/30/17 S	359,241	1.000	0	359,241	359,241	0
22831205	02/03/17 S	50,864	1.000	0	50,864	50,864	0
22831205	02/03/17 S	28,365	1.000	0	28,365	28,365	0
22831205	02/07/17 S	295,560	1.000	0	295,560	295,560	0
22831205	02/09/17 S	505,802	1.000	0	505,802	505,802	0
22831205	02/14/17 S	187,625	1.000	0	187,625	187,625	0
22831205	02/27/17 S	32,789	1.000	0	32,789	32,789	0
22831205	03/02/17 S	329,910	1.000	0	329,910	329,910	0
22831205	03/10/17 S	5,859	1.000	0	5,859	5,859	0
22831205	03/15/17 S	109,754	1.000	0	109,754	109,754	0
22831205	03/16/17 S	570,000	1.000	0	570,000	570,000	0
22831205	03/20/17 S	197,770	1.000	0	197,770	197,770	0
22831205	03/27/17 S	217,337	1.000	0	217,337	217,337	0
22831205	03/29/17 S	2,325	1.000	0	2,325	2,325	0
22831205	03/31/17 S	175,863	1.000	0	175,863	175,863	0
22831205	04/07/17 S	47,342	1.000	0	47,342	47,342	0
22831205	04/13/17 S	46,019	1.000	0	46,019	46,019	0
22831205	04/18/17 S	447,961	1.000	0	447,961	447,961	0
22831205	04/25/17 S	650,397	1.000	0	650,397	650,397	0
22831205	05/03/17 S	311,463	1.000	0	311,463	311,463	0
22831205	05/03/17 S	3	1.000	0	3	3	0
22831205	05/08/17 S	25,553	1.000	0	25,553	25,553	0
22831205	05/17/17 S	359,915	1.000	0	359,915	359,915	0
22831205	05/23/17 S	9,116	1.000	0	9,116	9,116	0
22831205	05/30/17 S	296,402	1.000	0	296,402	296,402	0
22831205	06/02/17 S	1,000,000	1.000	0	1,000,000	1,000,000	0
22831205	06/05/17 S	224,275	1.000	0	224,275	224,275	0
22831205	06/07/17 S	54,597	1.000	0	54,597	54,597	0
22831205	06/13/17 S	113,184	1.000	0	113,184	113,184	0
22831205	06/16/17 S	179,215	1.000	0	179,215	179,215	0
22831205	06/26/17 S	142,164	1.000	0	142,164	142,164	0
22831205	06/27/17 S	320,000	1.000	0	320,000	320,000	0
22831205	06/28/17 S	16,234	1.000	0	16,234	16,234	0
22831205	06/30/17 S	41,842	1.000	0	41,842	41,842	0
22831205	07/25/17 S	361,340	1.000	0	361,340	361,340	0
22831205	07/31/17 S	331,125	1.000	0	331,125	331,125	0
22831205	07/31/17 S	41,219	1.000	0	41,219	41,219	0
22831205	08/02/17 S	1,150,000	1.000	0	1,150,000	1,150,000	0
22831205	08/03/17 S	189,696	1.000	0	189,696	189,696	0
22831205	08/08/17 S	93,123	1.000	0	93,123	93,123	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
22831205	08/15/17 S	17,131	1.000	0	17,131	17,131	0
22831205	08/18/17 S	142,623	1.000	0	142,623	142,623	0
22831205	08/21/17 S	66,416	1.000	0	66,416	66,416	0
22831205	08/22/17 S	80,896	1.000	0	80,896	80,896	0
22831205	08/31/17 S	298,360	1.000	0	298,360	298,360	0
22831205	09/08/17 S	38,313	1.000	0	38,313	38,313	0
22831205	09/12/17 S	233,600	1.000	0	233,600	233,600	0
22831205	09/15/17 S	575,000	1.000	0	575,000	575,000	0
22831205	09/19/17 S	112,345	1.000	0	112,345	112,345	0
22831205	09/19/17 S	1,750	1.000	0	1,750	1,750	0
22831205	09/28/17 S	422,563	1.000	0	422,563	422,563	0
	SUB-TOTAL OF SALES # 132			0	41,005,934	41,005,934	0
	SUB-TOTAL			0	86,004,251	86,004,251	0
	ISSUE: 66586W417 - N TR GLB INVEST/N TR COLLECTIVE S&P						
22831204	12/23/16 B	15,046	196.730	0	2,960,000-	2,960,000	
	SUB-TOTAL OF BUYS # 1			0	2,960,000	2,960,000	
22831204	02/16/17 S	15,046	204.620	0	3,078,713	2,960,000	118,713
	SUB-TOTAL OF SALES # 1			0	3,078,713	2,960,000	118,713
	SUB-TOTAL			0	6,038,713	5,920,000	118,713
	GRAND TOTAL			0	92,042,964	91,924,251	118,713

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD OCTOBER 1, 2016 THROUGH SEPTEMBER 30, 2017

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS

BEGINNING MARKET VALUE 78,494,533.05
COMPARATIVE VALUE (5%) 3,924,726.65

*** NO TRANSACTIONS QUALIFIED FOR THIS REPORT ***

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

SCHEDULE SB (Form 5500)	Single-Employer Defined Benefit Plan Actuarial Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	2016 This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 10/01/2016 and ending 09/30/2017

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ALLISON TRANSMISSION RETIREMENT PROGRAM FOR SALARIED EMPLOYEES	**B** Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ALLISON TRANSMISSION, INC.	**D** Employer Identification Number (EIN) 26-0413897

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1 Enter the valuation date: Month 10 Day 01 Year 2016

2	Assets:		
a	Market value	2a	81,487,932
b	Actuarial value	2b	81,487,932

3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	266	14,119,484	14,119,484
b For terminated vested participants	131	3,109,468	3,109,468
c For active participants	475	37,411,249	37,544,410
d Total	872	54,640,201	54,773,362

4	If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]		
a	Funding target disregarding prescribed at-risk assumptions	4a	
b	Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	
5	Effective interest rate	5	6.19%
6	Target normal cost	6	3,929,762

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	David L Jakes ASA	7/10/2018
	Signature of actuary	Date
DAVID L. JAKES, FSA, EA, MAAA		1705110
Type or print name of actuary		Most recent enrollment number
MERCER		614-227-5555
Firm name		Telephone number (including area code)

325 JOHN H MCCONNELL BLVD
SUITE 350
COLUMBUS OH 43215
Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9	Amount remaining (line 7 minus line 8)	0	0
10	Interest on line 9 using prior year's actual return of 11.63%	0	0
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		9,554,729
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of 6.38%		609,592
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
	c Total available at beginning of current plan year to add to prefunding balance		10,164,321
	d Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections		
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages

14	Funding target attainment percentage	14	148.77%
15	Adjusted funding target attainment percentage	15	148.77%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	137.60%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
01/13/2017	1,500,000	0			
09/22/2017	4,750,000	0			
12/22/2017	16,000,000	0			
05/15/2018	9,600,000	0			
			Totals ► 18(b)	31,850,000	18(c) 0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
	a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
	b Contributions made to avoid restrictions adjusted to valuation date	19b	0
	c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	29,530,070

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? [ ] Yes [X] No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? [ ] Yes [ ] No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:

1st segment:	2nd segment:	3rd segment:	
4.43 %	5.91 %	6.65%	☐ N/A, full yield curve used

b Applicable month (enter code)	**21b**	0
22 Weighted average retirement age	**22**	62

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment	☐ Yes	☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	**27**	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	**28**	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	**29**	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	**30**	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6)	**31a**	3,929,762
b Excess assets, if applicable, but not greater than line 31a	**31b**	3,929,762

32 Amortization installments:

	Outstanding Balance	Installment
a Net shortfall amortization installment	0	0
b Waiver amortization installment	0	0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	**33**	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	**34**	0

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement			0

36 Additional cash requirement (line 34 minus line 35)	**36**	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	**37**	29,530,070
38 Present value of excess contributions for current year (see instructions)		
a Total (excess, if any, of line 37 over line 36)	**38a**	29,530,070
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	**38b**	0
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	**39**	0
40 Unpaid minimum required contributions for all years	**40**	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected	☐ 2 plus 7 years	☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011	
42 Amount of acceleration adjustment	**42**	
43 Excess installment acceleration amount to be carried over to future plan years	**43**	

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 62.

(A) Retirement age	(B) Retirement Percent	(C) Lx	(D) Number of employees expected to retire = (B) x (C)	(E) = (A) x (D)
48	2.00%	10,000	200	9,600
49	2.00%	9,800	196	9,604
50	2.00%	9,604	192	9,604
51	2.00%	9,412	188	9,600
52	2.00%	9,224	184	9,593
53	2.00%	9,039	181	9,582
54	2.00%	8,858	177	9,567
55	2.00%	8,681	174	9,549
56	2.00%	8,508	170	9,529
57	5.00%	8,337	417	23,762
58	5.00%	7,921	396	22,970
59	5.00%	7,525	376	22,197
60	10.00%	7,148	715	42,890
61	10.00%	6,434	643	39,244
62	15.00%	5,790	869	53,848
63	15.00%	4,922	738	46,509
64	15.00%	4,183	628	40,161
65	30.00%	3,556	1,067	69,340
66	30.00%	2,489	747	49,285
67	30.00%	1,742	523	35,022
68	30.00%	1,220	366	24,881
69	30.00%	854	256	17,673
70	100.00%	598	598	41,835
Total			10,000	615,844
Average				61.58

Schedule SB, Part V — Summary of Plan Provisions

SUMMARY OF MAJOR PLAN PROVISIONS

Effective date and plan year	Original plan: October 1, 2007 Restated plan: October 1, 2012 Plan year: October 1 – September 30
Most recent amendment	Amendment Number One (executed September 29, 2015)
Type of Plan	Defined benefit Part A: Career Average Plan Part C: Account Balance Plan
Miscellaneous	
Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2016, the limit is $210,000.
Maximum compensation	Annual compensation may not exceed the limits in IRS Section 415. This limit is indexed annually. For 2016, the limit is $265,000.
Separate descriptions follow for Part A: Career Average Plan and Part C: Account Balance Plan.	

Schedule SB, Part V — Summary of Plan Provisions

PART A: CAREER AVERAGE PLAN	
DEFINITIONS	
• Covered employees	Salaried employees who are Participants with a Length of Service date with GM prior to January 1, 2001 and who were accruing a benefit under Part D of the GM Plan immediately prior to August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Credited service	Number of years (to the nearest 1/12) beginning August 7, 2007 during which an employee in regular employment receives compensation. Credited Service prior to August 7, 2007 with GM counts toward eligibility and vesting, but not benefit accrual.
• Compensation considered	Base Salary (including elective 401(k) and 401(m) deferrals and employee elections). Incentive compensation pay (iComp Program) is included effective January 1, 2008.
• Actuarial Value	Calculated based on the “applicable mortality table” and the “applicable interest rate” for the third full month prior to the first day of the Plan Year preceding the determination date as described in the Code Section 417(e).
NORMAL RETIREMENT	
• Eligibility	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.
• Benefit	1.25% multiplied by Average Monthly Base Salary for each Plan Year.
• Pre-Effective Date Benefit	Effective as of October 2, 2007, all Part A Participants shall be entitled to a monthly benefit (in addition to the normal benefit) equal to 1.25% multiplied by the Part A Participant’s Base Salary from August 7, 2007 through October 1, 2007, divided by 12.

Schedule SB, Part V — Summary of Plan Provisions

EARLY RETIREMENT			
• Eligibility	Age 55 with 10 years of service (or 30 or more years of service and hired prior to January 1, 1988)		
• Benefit	Normal Retirement Benefit reduced for retirement prior to age 62 if : 1. Age 60 2. Age 55 with 85 age and service points (and hired prior to 1/1/1988) 3. 30 or more years of service (and hired prior to 1/1/1988) Normal Retirement Benefit reduced for retirement prior to age 65 if: 1. Age 55 but not age 60 with less than 85 age and service points 2. Less than age 60 (and hired on or after 1/1/1988) Early retirement factors are as follows:		
	Age	**Age 60 or Hired before 1/1/1988 with Age 55/85 Points or 30 Years**	**Age 55 with less than 85 Points of Less than age 60 (hired on or after 1/1/1988)**
	42	21.0%	N/A
	43	22.6%	N/A
	44	24.3%	N/A
	45	26.1%	N/A
	46	28.2%	N/A
	47	30.4%	N/A
	48	32.8%	N/A
	49	35.4%	N/A
	50	38.3%	N/A
	51	41.5%	N/A
	52	45.0%	N/A
	53	48.9%	N/A
	54	53.2%	N/A

Schedule SB, Part V — Summary of Plan Provisions

	55	57.9%	46.0%
	56	63.5%	49.6%
	57	69.4%	53.2%
	58	75.2%	56.8%
	59	80.8%	60.4%
	60	86.7%	64.0%
	61	93.3%	71.2%
	62	100.0%	78.4%
	63	100.0%	85.6%
	64	100.0%	92.8%
	65	100.0%	100.0%
	Early retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant’s next birthday.		

Schedule SB, Part V — Summary of Plan Provisions

DEFERRED VESTED		
• Eligibility	Vested upon termination	
• Benefit	Accrued benefit as of the Termination Date is payable at the Normal Retirement Age (or a reduced benefit is payable after age 25). Early retirement factors are as follows:	
	Age	**Percentage**
	25	3.5%
	30	5.1%
	35	7.5%
	40	11.0%
	45	16.4%
	50	24.8%
	51	27.0%
	52	29.4%
	53	32.1%
	54	35.0%
	55	42.8%
	56	46.8%
	57	51.2%
	58	55.5%
	59	59.6%
	60	64.0%
	61	71.2%
	62	78.4%
	63	85.6%
	64	92.8%
	65	100.0%

Schedule SB, Part V — Summary of Plan Provisions

	Early retirement factors are prorated for intermediate ages computed on the basis of the number of complete calendar months by which the participant is under the age attained at the participant's next birthday.
DISABILITY	
• Eligibility	Disabled prior to age 65 with 10 years of service or more
• Benefit	The Normal Retirement Benefit as of the date of disability is payable immediately (without reduction).
PRE-RETIREMENT DEATH	
• Eligibility	Vested upon Participant's death
• Benefit prior to early retirement	50% of the deferred benefit otherwise payable under the plan is paid to the surviving spouse.
• Benefit after early but before normal retirement	65% of the benefit otherwise payable at the Participant's Early Retirement Benefit date is paid to the surviving spouse.
FORM OF BENEFITS	
• Automatic form for unmarried participants	Life annuity
• Automatic form for married participants	65% joint and survivor annuity (immediate commencements) 50% joint and survivor annuity (deferred commencements) Benefit is 95% of the monthly basic benefit if the spouse's age is within 5 years of the participant's age. Such percentage is increased by one half of one percent (1/2%) (up to a maximum of 100%) for each 12 months in excess of 5 years that the spouse's age exceeds the participant's age and decreased by one-half of one percent (1/2%) for each 12 months in excess of 5 years that the spouse's age is less than the participant's age.
• Optional forms	Contingent Annuitant annuity in any designated percentage (in 5% increments) up to 100% Lump sum

Schedule SB, Part V — Summary of Plan Provisions

• Optional form conversion factors	Contingent Annuitant: Fixed factors based on the age difference between the participant and contingent beneficiary are used to convert the accrued benefit to the optional form Lump sum: Convert the accrued benefit to the optional form based on Actuarial Value
ADDENDUM A ENHANCEMENTS	(Effective 12/1/2008)
• Eligibility	Part A Participants (named in the plan document) who, as of early 2009, had: (1) retired and terminated and requested a benefit calculation; or (2) retired or terminated and are in pay status
• Benefit	Normal retirement benefit, but if the Addendum A Participant retires voluntarily with a Length of Service Date prior to January 1, 1988 with either 30 years of Credited Service or whose combined years of age and years of Credited Service (to the nearest 1/12 in each case) at retirement total 85 or more, the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62. In addition, in lieu of the Pre-Effective Date Benefit to which participants in general are entitled, Addendum A Participants shall be entitled to a monthly benefit equal to 1.25% multiplied by the Addendum A Participant's Base Salary from August 7, 2007 through September 30, 2007, divided by the number of months worked by the Addendum A Participant during this period.
ADDENDUM B ENHANCEMENTS	(Effective 10/1/2010)
• Eligibility	Part A Participants who, (1) retire voluntarily with a Length of Service Date on or before January 1, 1988, with 30 or more years of Credited Service or combined years of age and years of Credited Service (to the nearest 1/12th in each case) at retirement totaling 85 or more; (2) retire and begin receiving benefits on or before November 1, 2009; and (3) are not listed on Addendum A as Addendum A Participants.

Schedule SB, Part V — Summary of Plan Provisions

• Benefit	Normal retirement benefit, but the monthly basic benefit otherwise payable to such Employee after he attains Age 62 and One Month shall be redetermined without reduction for commencement prior to age 62.

Schedule SB, Part V — Summary of Plan Provisions

PART C: ACCOUNT BALANCE PLAN	
DEFINITIONS	
• Covered employees	A salaried employee who is a participant and whose Length of Service Date with GM is on or after January 1, 2001 and before January 1, 2007, and who, prior to January 1, 2007, accrued a benefit under Part C of the GM Plan and was not vested in such benefits as of August 7, 2007.
• Vesting service	Participants become vested after 3 years of Credited Service (including Credited Service prior to August 7, 2007 with GM).
• Notional account balance	As of October 2, 2007 an account balance equal to the sum of the participant's pay credits and interest credits earned under Part C of the GM Salaried Retirement Program as of July 31, 2007 plus interest credits as of August 31, 2007 and for each month thereafter until October 1, 2007 based on the interest credit definition in the plan, using October 1, 2006 as the first day of the Plan Year under the GM Plan for which these interest credits are being calculated.
• Pay credits	No "pay credits" shall be credited to a Part C Participant's account balance under the Plan.
• Interest credits	Each Part C Participant's account balance is credited with interest credits as of the end of each month beginning October 31, 2007. Interest credits equal the account balance as of the end of the previous month times the monthly interest credit percentage. The account balance as of the end of the previous month shall be determined after any interest credits have been credited for such previous months. The monthly interest credit percentage is equal to [(1 + the annual interest rate) raised to the 1/12th power] – 1. (The annual interest rate is the greater of 3.25% or the annual rate of interest on 30-year Treasury securities for the third full month prior to the first day of the Plan Year during which interest credits are being credited.)
• Account balance after separation	If vested, a Part C Participant's account balance continues to be credited with interest credits until the end of the month preceding the benefit commencement date.

Schedule SB, Part V — Summary of Plan Provisions

• Actuarial value	Calculated based on the “applicable mortality table” and the “applicable interest rate” for the third full month prior to the first day of the Plan Year preceding the determination date as described in Code Section 417(e).
• Normal retirement age	Later of age 65 or the fifth anniversary of date the employee commenced participation in the GM Salaried Retirement Program.
BENEFIT COMMENCEMENT	
• Eligibility	Earlier of : 1. Normal Retirement Age 2. First of the month following separation from service following a request to commence benefits.
• Benefit	Either of the following (depending on the elected form of benefit): 1. A monthly annuity benefit beginning on the benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the benefit commencement date equal to the vested account balance as of that date.
PRE RETIREMENT DEATH	
• Eligibility	If account is vested, then upon the Participant’s death.
• Benefit prior to benefit commencement (if married for at least one year at the time of death)	Either of the following (depending on the elected form of benefit): 1. A monthly annuity benefit beginning on the surviving spouse’s benefit commencement date that has the same Actuarial Value of the vested account balance. 2. A lump sum payment as of the surviving spouse’s benefit commencement date equal to the vested account balance as of that date
• Benefit prior to benefit commencement (if not married or married for less than one year at the time of death)	The designated beneficiary or designated beneficiaries or estate shall receive a lump-sum payment payable as of the first day of the month following the date of death. The lump-sum payment shall equal the vested account balance as of that date.

Schedule SB, Part V — Summary of Plan Provisions

FORM OF BENEFITS	
• Automatic form for unmarried participants	Life annuity
• Automatic form for married participants	50% joint and survivor annuity
• Optional forms	75% joint and survivor annuity (for married participants) Lump sum payment
• Optional form conversion factors	Calculated based on the Actuarial Value

Benefits Included or Excluded

Unless noted below, all benefits provided by the plan, as restated and amended through the first amendment, are included in this valuation.

- **Most recent plan amendments included:** Lump sum was added as an optional form of benefit for Part A participants effective January 1, 2016.
- **Plan amendments excluded:** None
- **Late retirement increases:**
  - *Active participants:* The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½.This valuation includes increases for current participants over age 70.
  - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.

Schedule SB, Part V — Summary of Plan Provisions

Additional Benefits Included or Excluded

- **IRC Section 436 benefit restrictions:**
  - *Unpredictable contingent event benefits:* This valuation excludes restricted contingent event benefits that occurred before the valuation date but includes contingent event benefits which are expected to occur on or after the valuation date regardless of anticipated funding-based limitations.
  - *Plan amendments:* See above.
  - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
  - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Unpredictable contingent event benefits:** The plan does not have any unpredictable contingent event benefits.

PLAN PROVISION CHANGES SINCE PRIOR VALUATION

- Maximum compensation amounts and maximum benefit amounts under IRS rules were updated from 2015 to 2016.

ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2017

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION	REVALUED COST UNIT COST	REVALUED COST TOTAL COST	MARKET VALUE UNIT PRICE	MARKET VALUE TOTAL MARKET	UNREALIZED GAIN/LOSS ON REVALUED COST
	CASH EQUIVALENTS					
	PROPRIETARY FUNDS					
	WELLS FARGO TREASURY PLUS MONEY MARKET INST - #793 CUSIP VP7000061					
51.060	ALLISON SALARIED MUTUAL FUNDS	100.0000	51.06	100.0000	51.06	0.00
3,889,366.720	ALLISON SALARIED-RYAN LABS	100.0000	3,889,366.72	100.0000	3,889,366.72	0.00
1,627,878.450	ALLISON TRANSMISSION SALARIED DB	100.0000	1,627,878.45	100.0000	1,627,878.45	0.00
5,517,296.230	TOTAL WF TREAS PLUS MM FD-INST #793		5,517,296.23		5,517,296.23	0.00
	TOTAL PROPRIETARY FUNDS		5,517,296.23		5,517,296.23	0.00
	TOTAL CASH EQUIVALENTS		**5,517,296.23**		**5,517,296.23**	**0.00**
	POOLED, COMMON AND COLLECTIVE FUNDS					
	POOLED FUNDS					
	CASH HELD AT NORTHERN TRUST CUSIP 7MS310529					
2.210	ALLISON SALARIED MUTUAL FUNDS	1.0000	2.21	1.0000	2.21	0.00
2.210	TOTAL CASH HELD AT NORTHERN TRUST		2.21		2.21	0.00
	NORTHERN TRUST INVESTMENTS, INC IRT MIRRORED HOLDING CUSIP 665994323					
378.660	ALLISON SALARIED MUTUAL FUNDS	7,901.3390	2,991,921.01	8,582.4920	3,249,846.42	257,925.41
378.660	TOTAL NORTHERN TRUST INVESTMENTS, INC		2,991,921.01		3,249,846.42	257,925.41
	TOTAL POOLED FUNDS		2,991,923.22		3,249,848.63	257,925.41
	TOTAL POOLED, COMMON AND COLLECTIVE FUNDS		**2,991,923.22**		**3,249,848.63**	**257,925.41**
	BONDS & NOTES					
	US TREASURY NOTES AND BONDS					

ASSET DETAIL REVALUED COST
AS OF SEPTEMBER 30, 2017

ALLISON SALARIED CONSOLIDATED
ACCOUNT NUMBER 22831299

PAR VALUE/SHARES	DESCRIPTION		REVALUED COST UNIT COST	REVALUED COST TOTAL COST	MARKET VALUE UNIT PRICE	MARKET VALUE TOTAL MARKET	UNREALIZED GAIN/LOSS ON REVALUED COST
	US TREASURY BOND DTD 05/16/16 0.000 05/15/2046 CUSIP 912834QH4						
3,925,000.000	ALLISON SALARIED-RYAN LABS		42.8606	1,682,278.50	43.1020	1,691,753.50	9,475.00
3,925,000.000	TOTAL US TREASURY BOND	5/15/46		1,682,278.50		1,691,753.50	9,475.00
	US TREASURY BOND DTD 05/16/16 2.500 05/15/2046 CUSIP 912810RS9						
515,000.000	ALLISON SALARIED-RYAN LABS		101.3371	521,886.14	92.9220	478,548.30	43,337.84-
515,000.000	TOTAL US TREASURY BOND	2.500% 5/15/46		521,886.14		478,548.30	43,337.84-
	US TREASURY BOND DTD 02/15/17 3.000 02/15/2047 CUSIP 912810RV2						
1,320,000.000	ALLISON SALARIED-RYAN LABS		101.9545	1,345,799.19	102.8630	1,357,791.60	11,992.41
1,320,000.000	TOTAL US TREASURY BOND	3.000% 2/15/47		1,345,799.19		1,357,791.60	11,992.41
	US TREASURY BOND DTD 05/15/17 3.000 05/15/2047 CUSIP 912810RX8						
790,000.000	ALLISON SALARIED-RYAN LABS		102.8625	812,613.79	102.8980	812,894.20	280.41
790,000.000	TOTAL US TREASURY BOND	3.000% 5/15/47		812,613.79		812,894.20	280.41
	US TREASURY BOND DTD 11/16/15 3.000 11/15/2045 CUSIP 912810RP5						
140,000.000	ALLISON SALARIED-RYAN LABS		114.1020	159,742.80	102.8630	144,008.20	15,734.60-
140,000.000	TOTAL US TREASURY BOND	3.000% 11/15/45		159,742.80		144,008.20	15,734.60-
	US TREASURY NOTE DTD 08/31/17 1.625 08/31/2022 CUSIP 9128282S8						
155,000.000	ALLISON SALARIED-RYAN LABS		98.9141	153,316.80	98.6250	152,868.75	448.05-
155,000.000	TOTAL US TREASURY NOTE	1.625% 8/31/22		153,316.80		152,868.75	448.05-

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST UNIT COST	REVALUED COST TOTAL COST	MARKET VALUE UNIT PRICE	MARKET VALUE TOTAL MARKET	UNREALIZED GAIN/LOSS ON REVALUED COST
	US TREASURY NOTE DTD 08/31/17 1.875 08/31/2024 CUSIP 9128282U3					
175,000.000	ALLISON SALARIED-RYAN LABS	98.6836	172,696.29	98.2190	171,883.25	813.04-
175,000.000	TOTAL US TREASURY NOTE 1.875% 8/31/24		172,696.29		171,883.25	813.04-
	US TREASURY NOTE DTD 08/15/17 2.250 08/15/2027 CUSIP 9128282R0					
2,375,000.000	ALLISON SALARIED-RYAN LABS	99.4687	2,362,382.81	99.3200	2,358,850.00	3,532.81-
2,375,000.000	TOTAL US TREASURY NOTE 2.250% 8/15/27		2,362,382.81		2,358,850.00	3,532.81-
	TOTAL US TREASURY NOTES AND BONDS		7,210,716.32		7,168,597.80	42,118.52-
	GOVT STRIPPED AND ZERO-COUPON					
	US TREAS SEC STIPPED DTD 08/15/07 11/15/2034 CUSIP 9128337W4					
2,500,000.000	ALLISON SALARIED-RYAN LABS	67.0730	1,676,825.00	62.6260	1,565,650.00	111,175.00-
2,500,000.000	TOTAL US TREAS SEC STIPPED 11/15/34		1,676,825.00		1,565,650.00	111,175.00-
	US TREASURY BOND/NOTE STRIPPED ZERO DTD 11/16/09 11/15/2039 CUSIP 912834EV6					
2,650,000.000	ALLISON SALARIED-RYAN LABS	57.7400	1,530,110.00	52.8440	1,400,366.00	129,744.00-
3,065,000.000	ALLISON SALARIED-RYAN LABS	53.3272	1,634,478.85	49.4120	1,514,477.80	120,001.05-
2,600,000.000	ALLISON SALARIED-RYAN LABS	63.3580	1,647,308.00	58.7360	1,527,136.00	120,172.00-
8,315,000.000	TOTAL US TREAS SEC STRIPPED		4,811,896.85		4,441,979.80	369,917.05-
	US TREASURY BOND STRIPPED ZERO CPN DTD 05/15/14 05/15/2044 CUSIP 912834NP9					
3,500,000.000	ALLISON SALARIED-RYAN LABS	50.8620	1,780,170.00	45.3990	1,588,965.00	191,205.00-
3,500,000.000	TOTAL US TREAS SEC STRIPPED 5/15/44		1,780,170.00		1,588,965.00	191,205.00-

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	US TREAS SEC STRIPPED ZERO CPN DTD 08/17/15 08/15/2045 CUSIP 912834PM4					
1,410,000.000	ALLISON SALARIED-RYAN LABS	46.9870	662,516.70	43.9750	620,047.50	42,469.20-
1,410,000.000	TOTAL US TREAS SEC STRIPPED 8/15/45		662,516.70		620,047.50	42,469.20-
	US TREAS SEC STRIPPED DTD 08/15/08 11/15/2037 CUSIP 912834AD0					
2,900,000.000	ALLISON SALARIED-RYAN LABS	61.2950	1,777,555.00	56.7240	1,644,996.00	132,559.00-
2,900,000.000	TOTAL US TREAS SEC STRIPPED 11/15/37		1,777,555.00		1,644,996.00	132,559.00-
	US TREASURY BOND STRIPPED ZERO CPN DTD 11/15/13 11/15/2043 CUSIP 912834MZ8					
2,100,000.000	ALLISON SALARIED-RYAN LABS	51.5980	1,083,558.00	46.0900	967,890.00	115,668.00-
2,100,000.000	TOTAL US TREAS SEC STRIPPED 11/15/43		1,083,558.00		967,890.00	115,668.00-
	US TREASURY BOND STRIPPED ZERO CPN DTD 11/17/14 11/15/2044 CUSIP 912834PB8					
1,050,000.000	ALLISON SALARIED-RYAN LABS	50.3240	528,402.00	44.7840	470,232.00	58,170.00-
1,050,000.000	TOTAL US TREAS SEC STRIPPED 11/15/44		528,402.00		470,232.00	58,170.00-
	US TREASURY BOND ZERO CPN DTD 05/15/09 0.000 11/15/2038 CUSIP 912834DU9					
3,175,000.000	ALLISON SALARIED-RYAN LABS	59.6420	1,893,633.50	54.8330	1,740,947.75	152,685.75-
3,175,000.000	TOTAL US TREASURY BOND 11/15/38		1,893,633.50		1,740,947.75	152,685.75-
	US TREASURY BOND ZERO CPN DTD 11/15/10 11/15/2040 CUSIP 912834JH2					
3,175,000.000	ALLISON SALARIED-RYAN LABS	55.8720	1,773,936.00	51.0940	1,622,234.50	151,701.50-
3,175,000.000	TOTAL US TREASURY BOND 11/15/40		1,773,936.00		1,622,234.50	151,701.50-

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	US TREASURY BOND ZERO CPN DTD 11/15/12 0.000 11/15/2042 CUSIP 912834LX4							
2,250,000.000	ALLISON SALARIED-RYAN LABS			53.0160	1,192,860.00	47.7010	1,073,272.50	119,587.50-
2,250,000.000	TOTAL US TREASURY BOND		11/15/42		1,192,860.00		1,073,272.50	119,587.50-
	US TREASURY BOND STRIPPED ZERO DTD 08/15/07 11/15/2033 CUSIP 9128337U8							
800,000.000	ALLISON SALARIED-RYAN LABS			69.1650	553,320.00	64.7050	517,640.00	35,680.00-
800,000.000	TOTAL US TREASURY BOND STRIPPED				553,320.00		517,640.00	35,680.00-
	US TREASURY SEC STRIPPED DTD 02/15/06 0.000 02/15/2035 CUSIP 9128334Z0							
800,000.000	ALLISON SALARIED-RYAN LABS			66.5820	532,656.00	62.2080	497,664.00	34,992.00-
800,000.000	TOTAL US TREASURY SEC STRIPPED		2/15/35		532,656.00		497,664.00	34,992.00-
	TOTAL GOVT STRIPPED AND ZERO-COUPON				18,267,329.05		16,751,519.05	1,515,810.00-
	MUNICIPAL TAXABLE BONDS							
	CALIFORNIA ST BUILD AMERICA BONDS-TAXABLE-VA DTD 04/28/09 7.550 04/01/2039 CUSIP 13063A5G5							
310,000.000	ALLISON SALARIED-RYAN LABS			159.7150	495,116.50	153.7800	476,718.00	18,398.50-
310,000.000	TOTAL CALIFORNIA ST	7.550%	4/01/39		495,116.50		476,718.00	18,398.50-
	TOTAL MUNICIPAL TAXABLE BONDS				495,116.50		476,718.00	18,398.50-
	CORPORATE BONDS							
	ACE INA HOLDINGS DTD 11/03/15 4.350 11/03/2045 CUSIP 00440EAW7							
280,000.000	ALLISON SALARIED-RYAN LABS			111.2730	311,564.40	109.8920	307,697.60	3,866.80-
280,000.000	TOTAL ACE INA HOLDINGS	4.350%	11/03/45		311,564.40		307,697.60	3,866.80-

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	ALIBABA GROUP HOLDING DTD 05/28/15 2.500 11/28/2019 CUSIP 01609WAC6					
320,000.000	ALLISON SALARIED-RYAN LABS	101.3614	324,356.45	100.8180	322,617.60	1,738.85-
320,000.000	TOTAL ALIBABA GROUP HOLDIN 2.500% 11/28/19		324,356.45		322,617.60	1,738.85-
	ALIBABA GROUP HOLDING DTD 05/28/15 3.600 11/28/2024 CUSIP 01609WAQ5					
315,000.000	ALLISON SALARIED-RYAN LABS	103.9780	327,530.70	103.6330	326,443.95	1,086.75-
315,000.000	TOTAL ALIBABA GROUP HOLDIN 3.600% 11/28/24		327,530.70		326,443.95	1,086.75-
	ALLSTATE CORP DTD 12/08/16 4.200 12/15/2046 CUSIP 020002BC4					
325,000.000	ALLISON SALARIED-RYAN LABS	99.2050	322,416.25	106.3320	345,579.00	23,162.75
325,000.000	TOTAL ALLSTATE CORP 4.200% 12/15/46		322,416.25		345,579.00	23,162.75
	AMERICA MOVIL SAB DE CV DTD 07/16/12 3.125 07/16/2022 CUSIP 02364WBD6					
465,000.000	ALLISON SALARIED-RYAN LABS	102.3840	476,085.60	102.4890	476,573.85	488.25
465,000.000	TOTAL AMERICA MOVIL SAB DE 3.125% 7/16/22		476,085.60		476,573.85	488.25
	AMERIPRISE FINANCIAL INC DTD 08/11/16 2.875 09/15/2026 CUSIP 03076CAH9					
235,000.000	ALLISON SALARIED-RYAN LABS	100.2360	235,554.60	97.5810	229,315.35	6,239.25-
235,000.000	TOTAL AMERIPRISE FINANCIAL 2.875% 9/15/26		235,554.60		229,315.35	6,239.25-
	ANHEUSER-BUSCH INBEV FIN DTD 01/25/16 3.650 02/01/2026 CUSIP 035242AP1					
435,000.000	ALLISON SALARIED-RYAN LABS	107.4000	467,190.00	103.4510	450,011.85	17,178.15-
435,000.000	TOTAL ANHEUSER-BUSCH INBEV 3.650% 2/01/26		467,190.00		450,011.85	17,178.15-

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	ANHEUSER-BUSCH INBEV FIN DTD 01/25/16 4.700 02/01/2036 CUSIP 035242AM8					
415,000.000	ALLISON SALARIED-RYAN LABS	114.9770	477,154.55	110.2530	457,549.95	19,604.60-
415,000.000	TOTAL ANHEUSER-BUSCH INBEV 4.700% 2/01/36		477,154.55		457,549.95	19,604.60-
	APPLE INC DTD 02/09/17 3.350 02/09/2027 CUSIP 037833CJ7					
320,000.000	ALLISON SALARIED-RYAN LABS	100.6024	321,927.75	102.9590	329,468.80	7,541.05
320,000.000	TOTAL APPLE INC 3.350% 2/09/27		321,927.75		329,468.80	7,541.05
	APPLE INC DTD 05/13/15 4.375 05/13/2045 CUSIP 037833BH2					
405,000.000	ALLISON SALARIED-RYAN LABS	110.3400	446,877.00	108.6640	440,089.20	6,787.80-
405,000.000	TOTAL APPLE INC 4.375% 5/13/45		446,877.00		440,089.20	6,787.80-
	AT&T INC DTD 02/09/17 3.200 03/01/2022 CUSIP 00206RDN9					
185,000.000	ALLISON SALARIED-RYAN LABS	99.9700	184,944.50	101.9840	188,670.40	3,725.90
185,000.000	TOTAL AT&T INC 3.200% 3/01/22		184,944.50		188,670.40	3,725.90
	AT&T INC DTD 02/09/16 3.600 02/17/2023 CUSIP 00206RCS9					
340,000.000	ALLISON SALARIED-RYAN LABS	102.5937	348,818.60	102.8890	349,822.60	1,004.00
340,000.000	TOTAL AT&T INC 3.600% 2/17/23		348,818.60		349,822.60	1,004.00
	AT&T INC DTD 06/15/13 4.350 06/15/2045 CUSIP 00206RBK7					
410,000.000	ALLISON SALARIED-RYAN LABS	98.5050	403,870.50	91.7820	376,306.20	27,564.30-
410,000.000	TOTAL AT&T INC 4.350% 6/15/45		403,870.50		376,306.20	27,564.30-

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	BANK OF AMERICA CORP DTD 12/21/10 5.875 01/05/2021 CUSIP 06051GEE5					
695,000.000	ALLISON SALARIED-RYAN LABS	114.6860	797,067.70	110.7460	769,684.70	27,383.00-
695,000.000	TOTAL BANK OF AMERICA CORP 5.875% 1/05/21		797,067.70		769,684.70	27,383.00-
	BERKSHIRE HATHAWAY FIN DTD 05/15/13 4.300 05/15/2043 CUSIP 084664BV2					
315,000.000	ALLISON SALARIED-RYAN LABS	103.8000	326,970.00	108.9410	343,164.15	16,194.15
315,000.000	TOTAL BERKSHIRE HATHAWAY 4.300% 5/15/43		326,970.00		343,164.15	16,194.15
	BP CAPITAL MARKETS PLC DTD 05/10/13 2.750 05/10/2023 CUSIP 05565QCD8					
30,000.000	ALLISON SALARIED-RYAN LABS	101.6710	30,501.30	100.3350	30,100.50	400.80-
30,000.000	TOTAL BP CAPITAL MARKETS 2.750% 5/10/23		30,501.30		30,100.50	400.80-
	BP CAPITAL MARKETS PLC DTD 05/04/16 3.119 05/04/2026 CUSIP 05565QDB1					
355,000.000	ALLISON SALARIED-RYAN LABS	102.1910	362,778.05	99.9650	354,875.75	7,902.30-
355,000.000	TOTAL BP CAPITAL MARKETS 3.119% 5/04/26		362,778.05		354,875.75	7,902.30-
	BURLINGTN NORTH SANTA FE DTD 03/09/17 4.125 06/15/2047 CUSIP 12189LBB6					
325,000.000	ALLISON SALARIED-RYAN LABS	98.6880	320,736.00	105.6390	343,326.75	22,590.75
325,000.000	TOTAL BURLINGTN NORTH SANT 4.125% 6/15/47		320,736.00		343,326.75	22,590.75
	BURLINGTON RESOUR FINAN DTD 08/24/01 7.200 08/15/2031 CUSIP 12201PAB2					
235,000.000	ALLISON SALARIED-RYAN LABS	130.3670	306,362.45	132.7800	312,033.00	5,670.55
235,000.000	TOTAL BURLINGTON RESOUR 7.200% 8/15/31		306,362.45		312,033.00	5,670.55

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	CAPITAL ONE NA DTD 09/13/16 1.850 09/13/2019 CUSIP 14042RBS9						
235,000.000	ALLISON SALARIED-RYAN LABS		99.9970	234,992.95	99.2980	233,350.30	1,642.65-
235,000.000	TOTAL CAPITAL ONE NA	1.850% 9/13/19		234,992.95		233,350.30	1,642.65-
	CISCO SYSTEMS INC DTD 09/20/16 2.500 09/20/2026 CUSIP 17275RBL5						
495,000.000	ALLISON SALARIED-RYAN LABS		99.0261	490,179.30	97.0790	480,541.05	9,638.25-
495,000.000	TOTAL CISCO SYSTEMS INC	2.500% 9/20/26		490,179.30		480,541.05	9,638.25-
	CITIGROUP INC DTD 03/30/16 2.700 03/30/2021 CUSIP 172967KK6						
465,000.000	ALLISON SALARIED-RYAN LABS		102.1700	475,090.50	101.1150	470,184.75	4,905.75-
465,000.000	TOTAL CITIGROUP INC	2.700% 3/30/21		475,090.50		470,184.75	4,905.75-
	CNOOC FINANCE 2013 LTD DTD 05/09/13 3.000 05/09/2023 CUSIP 12625GAC8						
650,000.000	ALLISON SALARIED-RYAN LABS		100.6500	654,225.25	100.1620	651,053.00	3,172.25-
650,000.000	TOTAL CNOOC FINANCE 2013	3.000% 5/09/23		654,225.25		651,053.00	3,172.25-
	COMCAST CORP DTD 07/19/16 2.350 01/15/2027 CUSIP 20030NBW0						
345,000.000	ALLISON SALARIED-RYAN LABS		94.3220	325,410.90	93.3370	322,012.65	3,398.25-
345,000.000	TOTAL COMCAST CORP	2.350% 1/15/27		325,410.90		322,012.65	3,398.25-
	COMCAST CORP DTD 01/14/13 4.250 01/15/2033 CUSIP 20030NBH3						
565,000.000	ALLISON SALARIED-RYAN LABS		107.3152	606,330.95	107.5320	607,555.80	1,224.85
565,000.000	TOTAL COMCAST CORP	4.250% 1/15/33		606,330.95		607,555.80	1,224.85

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	COMMONWEALTH EDISON CO DTD 03/02/15 3.700 03/01/2045 CUSIP 202795JF8					
465,000.000	ALLISON SALARIED-RYAN LABS	103.2660	480,186.90	98.7490	459,182.85	21,004.05-
465,000.000	TOTAL COMMONWEALTH EDISON 3.700% 3/01/45		480,186.90		459,182.85	21,004.05-
	CONS EDISON CO OF NY DTD 03/06/14 4.450 03/15/2044 CUSIP 209111FD0					
235,000.000	ALLISON SALARIED-RYAN LABS	114.5720	269,244.20	110.8840	260,577.40	8,666.80-
235,000.000	TOTAL CONS EDISON CO OF NY 4.450% 3/15/44		269,244.20		260,577.40	8,666.80-
	CONSOLIDATED EDISON CO O DTD 11/17/15 4.500 12/01/2045 CUSIP 209111FG3					
205,000.000	ALLISON SALARIED-RYAN LABS	106.8080	218,956.40	110.7650	227,068.25	8,111.85
205,000.000	TOTAL CONSOLIDATED EDISON 4.500% 12/01/45		218,956.40		227,068.25	8,111.85
	CR BARD INC DTD 12/20/10 4.400 01/15/2021 CUSIP 067383AC3					
245,000.000	ALLISON SALARIED-RYAN LABS	109.9370	269,345.65	105.0650	257,409.25	11,936.40-
245,000.000	TOTAL CR BARD INC 4.400% 1/15/21		269,345.65		257,409.25	11,936.40-
	CREDIT SUISSE NEW YORK MED TERM NOTE DTD 09/09/14 3.625 09/09/2024 CUSIP 22546QAP2					
315,000.000	ALLISON SALARIED-RYAN LABS	103.2510	325,240.65	103.8430	327,105.45	1,864.80
315,000.000	TOTAL CREDIT SUISSE NEW YO 3.625% 9/09/24		325,240.65		327,105.45	1,864.80
	DTE ELECTRIC CO DTD 05/17/16 3.700 06/01/2046 CUSIP 23338VAF3					
450,000.000	ALLISON SALARIED-RYAN LABS	105.8500	476,325.00	98.2600	442,170.00	34,155.00-
450,000.000	TOTAL DTE ELECTRIC CO 3.700% 6/01/46		476,325.00		442,170.00	34,155.00-

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	DUKE ENERGY CORP DTD 06/13/13 2.100 06/15/2018 CUSIP 26441CAK1					
400,000.000	ALLISON SALARIED-RYAN LABS	101.1500	404,600.00	100.2950	401,180.00	3,420.00-
400,000.000	TOTAL DUKE ENERGY CORP 2.100% 6/15/18		404,600.00		401,180.00	3,420.00-
	ECOLAB INC DTD 12/13/12 1.450 12/08/2017 CUSIP 278865AP5					
250,000.000	ALLISON SALARIED-RYAN LABS	100.1900	250,475.00	99.9790	249,947.50	527.50-
250,000.000	TOTAL ECOLAB INC 1.450% 12/08/17		250,475.00		249,947.50	527.50-
	FLORIDA POWER & LIGHT CO DTD 05/15/14 3.250 06/01/2024 CUSIP 341081FK8					
500,000.000	ALLISON SALARIED-RYAN LABS	107.1400	535,700.00	103.1170	515,585.00	20,115.00-
500,000.000	TOTAL FLORIDA POWER & LIGH 3.250% 6/01/24		535,700.00		515,585.00	20,115.00-
	FLORIDA POWER & LIGHT DTD 12/09/10 5.250 02/01/2041 CUSIP 341081FD4					
345,000.000	ALLISON SALARIED-RYAN LABS	128.2120	442,331.40	122.4420	422,424.90	19,906.50-
345,000.000	TOTAL FLORIDA POWER & LIGH 5.250% 2/01/41		442,331.40		422,424.90	19,906.50-
	FORD MOTOR CREDIT CO LLC DTD 10/08/15 2.551 10/05/2018 CUSIP 345397XN8					
460,000.000	ALLISON SALARIED-RYAN LABS	101.4670	466,748.20	100.6460	462,971.60	3,776.60-
460,000.000	TOTAL FORD MOTOR CREDIT CO 2.551% 10/05/18		466,748.20		462,971.60	3,776.60-
	GE CAPITAL INTL FUNDING DTD 05/15/16 4.418 11/15/2035 CUSIP 36164QNA2					
299,000.000	ALLISON SALARIED-RYAN LABS	112.2370	335,588.63	108.7400	325,132.60	10,456.03-
299,000.000	TOTAL GE CAPITAL INTL FUND 4.418% 11/15/35		335,588.63		325,132.60	10,456.03-

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PAR VALUE/SHARES	DESCRIPTION	REVALUED COST UNIT COST	REVALUED COST TOTAL COST	MARKET VALUE UNIT PRICE	MARKET VALUE TOTAL MARKET	UNREALIZED GAIN/LOSS ON REVALUED COST
	GENERAL ELEC CAP CORP DTD 01/09/09 6.875 01/10/2039 CUSIP 36962G4B7					
85,000.000	ALLISON SALARIED-RYAN LABS	150.6270	128,032.95	146.4110	124,449.35	3,583.60-
85,000.000	TOTAL GENERAL ELEC CAP COR 6.875% 1/10/39		128,032.95		124,449.35	3,583.60-
	GENERAL ELECTRIC CO DTD 03/11/14 4.500 03/11/2044 CUSIP 369604BH5					
900,000.000	ALLISON SALARIED-RYAN LABS	114.1387	1,027,248.50	111.9410	1,007,469.00	19,779.50-
900,000.000	TOTAL GENERAL ELECTRIC CO 4.500% 3/11/44		1,027,248.50		1,007,469.00	19,779.50-
	GEORGIA POWER COMPANY DTD 03/06/12 4.300 03/15/2042 CUSIP 373334JW2					
425,000.000	ALLISON SALARIED-RYAN LABS	105.5630	448,642.75	104.4850	444,061.25	4,581.50-
425,000.000	TOTAL GEORGIA POWER COMPAN 4.300% 3/15/42		448,642.75		444,061.25	4,581.50-
	GILEAD SCIENCES INC DTD 09/20/16 4.000 09/01/2036 CUSIP 375558BJ1					
310,000.000	ALLISON SALARIED-RYAN LABS	101.9720	316,113.20	103.0070	319,321.70	3,208.50
310,000.000	TOTAL GILEAD SCIENCES INC 4.000% 9/01/36		316,113.20		319,321.70	3,208.50
	GOLDMAN SACHS GROUP INC DTD 01/24/12 5.750 01/24/2022 CUSIP 38141GGS7					
350,000.000	ALLISON SALARIED-RYAN LABS	116.1540	406,539.00	112.0800	392,280.00	14,259.00-
350,000.000	TOTAL GOLDMAN SACHS GROUP 5.750% 1/24/22		406,539.00		392,280.00	14,259.00-
	GOLDMAN SACHS GROUP INC DTD 02/05/09 7.500 02/15/2019 CUSIP 38141EA25					
260,000.000	ALLISON SALARIED-RYAN LABS	110.6420	287,669.20	107.4010	279,242.60	8,426.60-
260,000.000	TOTAL GOLDMAN SACHS GROUP 7.500% 2/15/19		287,669.20		279,242.60	8,426.60-

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	HOME DEPOT INC DTD 06/02/15 4.250 04/01/2046 CUSIP 437076BH4							
300,000.000	ALLISON SALARIED-RYAN LABS			107.4300	322,290.00	107.6600	322,980.00	690.00
300,000.000	TOTAL HOME DEPOT INC	4.250%	4/01/46		322,290.00		322,980.00	690.00
	HSBC BK USA N A GLOBAL DTD 10/25/04 5.875 11/01/2034 CUSIP 4042Q1AA5							
270,000.000	ALLISON SALARIED-RYAN LABS			121.0740	326,899.80	124.6780	336,630.60	9,730.80
270,000.000	TOTAL HSBC BK USA N A GLOB	5.875%	11/01/34		326,899.80		336,630.60	9,730.80
	HUNTINGTON BANCSHARES DTD 08/02/13 2.600 08/02/2018 CUSIP 446150AH7							
220,000.000	ALLISON SALARIED-RYAN LABS			101.6210	223,566.20	100.6690	221,471.80	2,094.40-
220,000.000	TOTAL HUNTINGTON BANCSHARE	2.600%	8/02/18		223,566.20		221,471.80	2,094.40-
	INTEL CORP DTD 07/29/15 3.700 07/29/2025 CUSIP 458140AS9							
170,000.000	ALLISON SALARIED-RYAN LABS			110.9420	188,601.40	105.7510	179,776.70	8,824.70-
170,000.000	TOTAL INTEL CORP	3.700%	7/29/25		188,601.40		179,776.70	8,824.70-
	INTEL CORP DTD 07/29/15 4.900 07/29/2045 CUSIP 458140AT7							
285,000.000	ALLISON SALARIED-RYAN LABS			113.8590	324,498.15	119.4940	340,557.90	16,059.75
285,000.000	TOTAL INTEL CORP	4.900%	7/29/45		324,498.15		340,557.90	16,059.75
	JOHN DEERE CAPITAL CORP MED TERM NOTE DTD 06/10/16 2.650 06/10/2026 CUSIP 24422ETH2							
325,000.000	ALLISON SALARIED-RYAN LABS			101.3387	329,350.75	97.8630	318,054.75	11,296.00-
325,000.000	TOTAL JOHN DEERE CAPITAL	2.650%	6/10/26		329,350.75		318,054.75	11,296.00-

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	JOHNSON & JOHNSON DTD 12/05/13 4.375 12/05/2033 CUSIP 478160BJ2							
210,000.000	ALLISON SALARIED-RYAN LABS			109.2720	229,471.20	113.5100	238,371.00	8,899.80
210,000.000	TOTAL JOHNSON & JOHNSON	4.375%	12/05/33		229,471.20		238,371.00	8,899.80
	JPMORGAN CHASE & CO DTD 09/24/12 3.250 09/23/2022 CUSIP 46625HJE1							
405,000.000	ALLISON SALARIED-RYAN LABS			104.8880	424,796.40	103.3820	418,697.10	6,099.30-
405,000.000	TOTAL JPMORGAN CHASE & CO	3.250%	9/23/22		424,796.40		418,697.10	6,099.30-
	KEYCORP MED TERM NOTE DTD 03/24/11 5.100 03/24/2021 CUSIP 49326EED1							
285,000.000	ALLISON SALARIED-RYAN LABS			112.8890	321,733.65	108.9860	310,610.10	11,123.55-
285,000.000	TOTAL KEYCORP	5.100%	3/24/21		321,733.65		310,610.10	11,123.55-
	KIMBERLY-CLARK CORP DTD 05/04/17 3.900 05/04/2047 CUSIP 494368BW2							
455,000.000	ALLISON SALARIED-RYAN LABS			101.6700	462,598.50	102.1400	464,737.00	2,138.50
455,000.000	TOTAL KIMBERLY-CLARK CORP	3.900%	5/04/47		462,598.50		464,737.00	2,138.50
	KINDER MORGAN ENER PART DTD 09/16/09 6.500 09/01/2039 CUSIP 494550BD7							
160,000.000	ALLISON SALARIED-RYAN LABS			107.6680	172,268.80	113.9660	182,345.60	10,076.80
160,000.000	TOTAL KINDER MORGAN ENER	6.500%	9/01/39		172,268.80		182,345.60	10,076.80
	LOEWS CORP DTD 03/22/16 3.750 04/01/2026 CUSIP 540424AS7							
305,000.000	ALLISON SALARIED-RYAN LABS			106.1775	323,841.25	103.8990	316,891.95	6,949.30-
305,000.000	TOTAL LOEWS CORP	3.750%	4/01/26		323,841.25		316,891.95	6,949.30-

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	LOWE'S COS INC							
	DTD 05/03/17 3.100 05/03/2027							
	CUSIP 548661DP9							
325,000.000	ALLISON SALARIED-RYAN LABS			99.4380	323,173.50	99.4350	323,163.75	9.75-
325,000.000	TOTAL LOWE'S COS INC	3.100%	5/03/27		323,173.50		323,163.75	9.75-
	LYONDELLBASELL IND NV							
	DTD 04/09/12 5.000 04/15/2019							
	CUSIP 552081AG6							
615,000.000	ALLISON SALARIED-RYAN LABS			105.8478	650,964.10	103.7590	638,117.85	12,846.25-
615,000.000	TOTAL LYONDELLBASELL IND	5.000%	4/15/19		650,964.10		638,117.85	12,846.25-
	MANUF & TRADERS TRUST CO							
	DTD 08/17/17 3.400 08/17/2027							
	CUSIP 55279HAQ3							
325,000.000	ALLISON SALARIED-RYAN LABS			101.0150	328,298.90	100.2270	325,737.75	2,561.15-
325,000.000	TOTAL MANUF & TRADERS TRUS	3.400%	8/17/27		328,298.90		325,737.75	2,561.15-
	MEDTRONIC INC							
	DTD 03/15/15 4.375 03/15/2035							
	CUSIP 585055BT2							
380,000.000	ALLISON SALARIED-RYAN LABS			113.3180	430,608.40	110.0790	418,300.20	12,308.20-
380,000.000	TOTAL MEDTRONIC INC	4.375%	3/15/35		430,608.40		418,300.20	12,308.20-
	MICROSOFT CORP							
	DTD 11/03/15 3.125 11/03/2025							
	CUSIP 594918BJ2							
400,000.000	ALLISON SALARIED-RYAN LABS			104.5800	418,320.20	102.7440	410,976.00	7,344.20-
400,000.000	TOTAL MICROSOFT CORP	3.125%	11/03/25		418,320.20		410,976.00	7,344.20-
	MICROSOFT CORP							
	DTD 02/06/17 4.250 02/06/2047							
	CUSIP 594918CA0							
230,000.000	ALLISON SALARIED-RYAN LABS			100.8580	231,973.40	110.5580	254,283.40	22,310.00
230,000.000	TOTAL MICROSOFT CORP	4.250%	2/06/47		231,973.40		254,283.40	22,310.00

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	MIDAMERICAN ENERGY CO DTD 09/19/13 4.800 09/15/2043 CUSIP 595620AL9					
280,000.000	ALLISON SALARIED-RYAN LABS	121.9850	341,558.00	116.3020	325,645.60	15,912.40-
280,000.000	TOTAL MIDAMERICAN ENERGY 4.800% 9/15/43		341,558.00		325,645.60	15,912.40-
	MORGAN STANLEY DTD 07/26/10 5.500 07/24/2020 CUSIP 6174467P8					
180,000.000	ALLISON SALARIED-RYAN LABS	112.0630	201,713.40	108.6310	195,535.80	6,177.60-
180,000.000	TOTAL MORGAN STANLEY 5.500% 7/24/20		201,713.40		195,535.80	6,177.60-
	NATIONAL RURAL UTIL COOP DTD 04/25/17 3.050 04/25/2027 CUSIP 637432NN1					
650,000.000	ALLISON SALARIED-RYAN LABS	100.0000	650,000.00	100.0210	650,136.50	136.50
650,000.000	TOTAL NATIONAL RURAL UTIL 3.050% 4/25/27		650,000.00		650,136.50	136.50
	NIKE INC DTD 10/21/16 2.375 11/01/2026 CUSIP 654106AF0					
240,000.000	ALLISON SALARIED-RYAN LABS	93.3270	223,984.80	94.8740	227,697.60	3,712.80
240,000.000	TOTAL NIKE INC 2.375% 11/01/26		223,984.80		227,697.60	3,712.80
	NOVARTIS CAPITAL CORP DTD 11/20/15 4.000 11/20/2045 CUSIP 66989HAK4					
300,000.000	ALLISON SALARIED-RYAN LABS	101.5365	304,609.40	105.7280	317,184.00	12,574.60
300,000.000	TOTAL NOVARTIS CAPITAL COR 4.000% 11/20/45		304,609.40		317,184.00	12,574.60
	OMNICOM GROUP INC DTD 04/23/12 3.625 05/01/2022 CUSIP 681919AZ9					
360,000.000	ALLISON SALARIED-RYAN LABS	107.1220	385,639.20	104.2800	375,408.00	10,231.20-
360,000.000	TOTAL OMNICOM GROUP INC 3.625% 5/01/22		385,639.20		375,408.00	10,231.20-

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	ORACLE CORP DTD 04/09/08 6.500 04/15/2038 CUSIP 68389XAE5							
320,000.000	ALLISON SALARIED-RYAN LABS			138.3870	442,838.40	137.2970	439,350.40	3,488.00-
320,000.000	TOTAL ORACLE CORP	6.500%	4/15/38		442,838.40		439,350.40	3,488.00-
	PACIFIC GAS & ELECTRIC DTD 03/23/04 6.050 03/01/2034 CUSIP 694308GE1							
245,000.000	ALLISON SALARIED-RYAN LABS			129.4380	317,123.10	130.2330	319,070.85	1,947.75
245,000.000	TOTAL PACIFIC GAS & ELECTR	6.050%	3/01/34		317,123.10		319,070.85	1,947.75
	PEPSICO INC DTD 10/14/15 4.450 04/14/2046 CUSIP 713448DD7							
400,000.000	ALLISON SALARIED-RYAN LABS			118.4020	473,608.00	110.9100	443,640.00	29,968.00-
400,000.000	TOTAL PEPSICO INC	4.450%	4/14/46		473,608.00		443,640.00	29,968.00-
	PFIZER INC DTD 11/21/16 4.000 12/15/2036 CUSIP 717081EC3							
215,000.000	ALLISON SALARIED-RYAN LABS			100.0232	215,049.85	107.2540	230,596.10	15,546.25
215,000.000	TOTAL PFIZER INC	4.000%	12/15/36		215,049.85		230,596.10	15,546.25
	QUALCOMM INC DTD 05/26/17 3.250 05/20/2027 CUSIP 747525AU7							
485,000.000	ALLISON SALARIED-RYAN LABS			100.3010	486,459.85	100.8380	489,064.30	2,604.45
485,000.000	TOTAL QUALCOMM INC	3.250%	5/20/27		486,459.85		489,064.30	2,604.45
	RAYTHEON COMPANY DTD 10/20/10 4.875 10/15/2040 CUSIP 755111BU4							
175,000.000	ALLISON SALARIED-RYAN LABS			117.2780	205,236.50	117.7850	206,123.75	887.25
175,000.000	TOTAL RAYTHEON COMPANY	4.875%	10/15/40		205,236.50		206,123.75	887.25

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	REGENCY ENERGY PART/FIN DTD 02/10/14 5.875 03/01/2022 CUSIP 75886AAL2					
435,000.000	ALLISON SALARIED-RYAN LABS	111.8470	486,534.45	110.6870	481,488.45	5,046.00-
435,000.000	TOTAL REGENCY ENERGY PART/ 5.875% 3/01/22		486,534.45		481,488.45	5,046.00-
	SHELL INTERNATIONAL FIN DTD 09/12/16 2.500 09/12/2026 CUSIP 822582BX9					
240,000.000	ALLISON SALARIED-RYAN LABS	98.3190	235,965.60	96.3610	231,266.40	4,699.20-
240,000.000	TOTAL SHELL INTERNATIONAL 2.500% 9/12/26		235,965.60		231,266.40	4,699.20-
	SIMON PROPERTY GROUP LP DTD 06/01/17 3.375 06/15/2027 CUSIP 828807DC8					
325,000.000	ALLISON SALARIED-RYAN LABS	101.0360	328,367.00	100.4870	326,582.75	1,784.25-
325,000.000	TOTAL SIMON PROPERTY GROUP 3.375% 6/15/27		328,367.00		326,582.75	1,784.25-
	SOUTHERN CAL EDISON DTD 03/24/17 4.000 04/01/2047 CUSIP 842400GG2					
315,000.000	ALLISON SALARIED-RYAN LABS	100.5000	316,575.00	105.2620	331,575.30	15,000.30
315,000.000	TOTAL SOUTHERN CAL EDISON 4.000% 4/01/47		316,575.00		331,575.30	15,000.30
	SOUTHWESTERN PUBLIC SERV DTD 08/10/11 4.500 08/15/2041 CUSIP 845743BN2					
565,000.000	ALLISON SALARIED-RYAN LABS	115.4299	652,178.70	110.6200	625,003.00	27,175.70-
565,000.000	TOTAL SOUTHWESTERN PUBLIC 4.500% 8/15/41		652,178.70		625,003.00	27,175.70-
	STATOIL ASA DTD 05/15/13 3.950 05/15/2043 CUSIP 85771PAL6					
205,000.000	ALLISON SALARIED-RYAN LABS	103.1050	211,365.25	100.2810	205,576.05	5,789.20-
205,000.000	TOTAL STATOIL ASA 3.950% 5/15/43		211,365.25		205,576.05	5,789.20-

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	TJX COS INC DTD 05/02/13 2.500 05/15/2023 CUSIP 872540AP4						
210,000.000	ALLISON SALARIED-RYAN LABS		103.0270	216,356.70	100.2370	210,497.70	5,859.00-
210,000.000	TOTAL TJX COS INC	2.500% 5/15/23		216,356.70		210,497.70	5,859.00-
	TRANS-CANADA PIPELINES DTD 01/27/16 3.125 01/15/2019 CUSIP 89352HAS8						
70,000.000	ALLISON SALARIED-RYAN LABS		102.9010	72,030.70	101.5030	71,052.10	978.60-
70,000.000	TOTAL TRANS-CANADA PIPELIN	3.125% 1/15/19		72,030.70		71,052.10	978.60-
	TRAVELERS COS INC DTD 06/20/06 6.750 06/20/2036 CUSIP 792860AK4						
225,000.000	ALLISON SALARIED-RYAN LABS		144.5970	325,343.25	138.5780	311,800.50	13,542.75-
225,000.000	TOTAL TRAVELERS COS INC	6.750% 6/20/36		325,343.25		311,800.50	13,542.75-
	UNION PACIFIC CORP DTD 04/05/17 4.000 04/15/2047 CUSIP 907818EN4						
325,000.000	ALLISON SALARIED-RYAN LABS		101.7170	330,580.25	104.7100	340,307.50	9,727.25
325,000.000	TOTAL UNION PACIFIC CORP	4.000% 4/15/47		330,580.25		340,307.50	9,727.25
	UNITED TECHNOLOGIES CORP DTD 11/01/16 3.750 11/01/2046 CUSIP 913017CJ6						
360,000.000	ALLISON SALARIED-RYAN LABS		99.2690	357,368.40	96.4340	347,162.40	10,206.00-
360,000.000	TOTAL UNITED TECHNOLOGIES	3.750% 11/01/46		357,368.40		347,162.40	10,206.00-
	UNITEDHEALTH GROUP INC DTD 07/23/15 4.625 07/15/2035 CUSIP 91324PCQ3						
160,000.000	ALLISON SALARIED-RYAN LABS		116.1270	185,803.20	114.6080	183,372.80	2,430.40-
160,000.000	TOTAL UNITEDHEALTH GROUP	4.625% 7/15/35		185,803.20		183,372.80	2,430.40-

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	US BANCORP MED TERM NOTE SER X DTD 04/27/17 3.150 04/27/2027 CUSIP 91159HHR4							
330,000.000	ALLISON SALARIED-RYAN LABS			102.1870	337,217.10	100.5840	331,927.20	5,289.90-
330,000.000	TOTAL US BANCORP	3.150%	4/27/27		337,217.10		331,927.20	5,289.90-
	VENTAS REALTY LP DTD 04/17/14 3.750 05/01/2024 CUSIP 92277GAD9							
320,000.000	ALLISON SALARIED-RYAN LABS			101.0160	323,251.20	102.8310	329,059.20	5,808.00
320,000.000	TOTAL VENTAS REALTY LP	3.750%	5/01/24		323,251.20		329,059.20	5,808.00
	VERIZON COMMUNICATIONS DTD 03/17/14 4.150 03/15/2024 CUSIP 92343VBY9							
255,000.000	ALLISON SALARIED-RYAN LABS			102.4070	261,137.85	105.8740	269,978.70	8,840.85
255,000.000	TOTAL VERIZON COMMUNICATIO	4.150%	3/15/24		261,137.85		269,978.70	8,840.85
	VISA INC DTD 12/14/15 3.150 12/14/2025 CUSIP 92826CAD4							
205,000.000	ALLISON SALARIED-RYAN LABS			105.6330	216,547.65	102.4510	210,024.55	6,523.10-
205,000.000	TOTAL VISA INC	3.150%	12/14/25		216,547.65		210,024.55	6,523.10-
	WELLS FARGO & COMPANY DTD 02/13/13 3.450 02/13/2023 CUSIP 94974BFJ4							
405,000.000	ALLISON SALARIED-RYAN LABS			103.1190	417,631.95	102.4620	414,971.10	2,660.85-
405,000.000	TOTAL WELLS FARGO & COMPAN	3.450%	2/13/23		417,631.95		414,971.10	2,660.85-
	WELLS FARGO & COMPANY DTD 03/08/12 3.500 03/08/2022 CUSIP 94974BFC9							
475,000.000	ALLISON SALARIED-RYAN LABS			106.1000	503,975.00	103.8630	493,349.25	10,625.75-
475,000.000	TOTAL WELLS FARGO & COMPAN	3.500%	3/08/22		503,975.00		493,349.25	10,625.75-

ASSET DETAIL REVALUED COST
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	WELLS FARGO & COMPANY MED TERM NOTE DTD 07/22/15 4.300 07/22/2027 CUSIP 94974BGL8					
190,000.000	ALLISON SALARIED-RYAN LABS	107.7740	204,770.60	105.7620	200,947.80	3,822.80-
190,000.000	TOTAL WELLS FARGO & COMPAN 4.300% 7/22/27		204,770.60		200,947.80	3,822.80-
	WYETH DTD 12/16/03 6.450 02/01/2024 CUSIP 983024AF7					
280,000.000	ALLISON SALARIED-RYAN LABS	126.5900	354,452.00	121.9630	341,496.40	12,955.60-
280,000.000	TOTAL WYETH 6.450% 2/01/24		354,452.00		341,496.40	12,955.60-
	TOTAL CORPORATE BONDS		33,286,450.83		32,934,202.95	352,247.88-
	CORPORATE BACKED OBLIGATION					
	AMERICAN CREDIT ACCEPTANCE REC SER 2017-1 CL D *0 DAY DELAY* 144A PRIV PLCMT 3.540 03/13/2023 CUSIP 02529GAG7					
490,000.000	ALLISON SALARIED-RYAN LABS	99.8815	489,419.41	100.2010	490,984.90	1,565.49
490,000.000	TOTAL AMERICAN CREDIT ACCE 3.540% 3/13/23		489,419.41		490,984.90	1,565.49
	AMERICREDIT AUTOMOBILE RECEIVA SER 2016-4 CL D *0 DAY DELAY* DTD 10/13/16 2.740 12/08/2022 CUSIP 03065TAG7					
420,000.000	ALLISON SALARIED-RYAN LABS	99.9803	419,917.13	99.4470	417,677.40	2,239.73-
420,000.000	TOTAL AMERICREDIT AUTOMOBI 2.740% 12/08/22		419,917.13		417,677.40	2,239.73-
	AMERICREDIT AUTOMOBILE RECEIVA SER 2017-2 CL D *0 DAY DELAY* DTD 05/17/17 3.420 04/18/2023 CUSIP 03065GAG5					
360,000.000	ALLISON SALARIED-RYAN LABS	99.9764	359,915.15	100.9960	363,585.60	3,670.45
360,000.000	TOTAL AMERICREDIT AUTOMOBI 3.420% 4/18/23		359,915.15		363,585.60	3,670.45

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	CHRYSLER CAPITAL AUTO RECEIVAB SER 2016-BA CL D *0 DAY DELAY* 144A PRIV PLCMT 3.510 09/15/2023 CUSIP 17119BAG2					
390,000.000	ALLISON SALARIED-RYAN LABS	99.9823	389,931.13	99.6940	388,806.60	1,124.53-
390,000.000	TOTAL CHRYSLER CAPITAL AUT 3.510% 9/15/23		389,931.13		388,806.60	1,124.53-
	DRIVE AUTO RECEIVABLES TRUST SER 2017-AA CL D *0 DAY DELAY* 144A PRIV PLCMT 4.160 05/15/2024 CUSIP 26208CAN8					
400,000.000	ALLISON SALARIED-RYAN LABS	101.9219	407,687.50	101.7040	406,816.00	871.50-
400,000.000	TOTAL DRIVE AUTO RECEIVABL 4.160% 5/15/24		407,687.50		406,816.00	871.50-
	FIVE GUYS FUNDING, LLC SER 2017-1A CL A2 *0 DAY DELAY* 144A PRIV PLCMT 4.600 07/25/2047 CUSIP 33830JAA3					
320,000.000	ALLISON SALARIED-RYAN LABS	100.0000	320,000.00	102.4510	327,843.20	7,843.20
320,000.000	TOTAL FIVE GUYS FUNDING, 4.600% 7/25/47		320,000.00		327,843.20	7,843.20
	SANTANDER DRIVE AUTO RECEIVABL SER 2016-3 CL D *0 DAY DELAY* DTD 10/12/16 3.260 08/15/2022 CUSIP 80284RAG4					
390,000.000	ALLISON SALARIED-RYAN LABS	99.9684	389,876.88	99.9800	389,922.00	45.12
390,000.000	TOTAL SANTANDER DRIVE AUTO 3.260% 8/15/22		389,876.88		389,922.00	45.12
	SIERRA RECEIVABLES FUNDING CO SER 2016-3A CL B *0 DAY DELAY* 144A PRIV PLCMT 2.630 10/20/2033 CUSIP 82652YAB0					
234,372.560	ALLISON SALARIED-RYAN LABS	99.9888	234,346.36	98.7030	231,332.75	3,013.61-
234,372.560	TOTAL SIERRA RECEIVABLES 2.630% 10/20/33		234,346.36		231,332.75	3,013.61-
	TOTAL CORPORATE BACKED OBLIGATION		3,011,093.56		3,016,968.45	5,874.89
	MORTGAGE BACKED OBLIGATION					

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	COMM MORTGAGE TRUST SER 2017-COR2 CL D *9 DAY DELAY* 144A PRIV PLCMT 3.000 09/10/2050 CUSIP 12595EAN5					
310,000.000	ALLISON SALARIED-RYAN LABS	80.2952	248,915.20	80.0239	248,074.09	841.11-
310,000.000	TOTAL COMM MORTGAGE TRUST 3.000% 9/10/50		248,915.20		248,074.09	841.11-
	CREDIT SUISSE MORTGAGE TRUST SER 2014-USA CL D *14 DAY DELAY* 144A PRIV PLCMT 4.373 09/15/2037 CUSIP 12649AAN9					
230,000.000	ALLISON SALARIED-RYAN LABS	96.5740	222,120.20	95.7340	220,188.20	1,932.00-
230,000.000	TOTAL CREDIT SUISSE MORTG 4.3733% 9/15/37		222,120.20		220,188.20	1,932.00-
	GOVT NATL MTG ASSN SER 2017-44 CL B *15 DAY DELAY* DTD 03/01/17 2.600 04/17/2050 CUSIP 38379RPQ0					
200,000.000	ALLISON SALARIED-RYAN LABS	87.7148	175,429.69	92.5750	185,150.00	9,720.31
200,000.000	TOTAL GOVT NATL MTG ASSN 2.600% 4/17/50		175,429.69		185,150.00	9,720.31
	GOVT NATL MTG ASSN SER 2017-76 CL B *15 DAY DELAY* DTD 05/01/17 2.600 12/16/2056 CUSIP 38379RWA7					
330,000.000	ALLISON SALARIED-RYAN LABS	89.6094	295,710.94	89.8350	296,455.50	744.56
330,000.000	TOTAL GOVT NATL MTG ASSN 2.600% 12/16/56		295,710.94		296,455.50	744.56
	JP MORGAN CHASE COMMERCIAL MOR SER 2017-JP7 CL C *14 DAY DELAY* DTD 07/01/17 3.807 09/15/2050 CUSIP 465968AL9					
350,000.000	ALLISON SALARIED-RYAN LABS	97.1835	340,142.25	97.1660	340,081.00	61.25-
350,000.000	TOTAL JP MORGAN CHASE CO 3.80685% 9/15/50		340,142.25		340,081.00	61.25-

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	UBS COMMERCIAL MORTGAGE TRUST SER 2017-C3 CL C *14 DAY DELAY* DTD 08/01/17 4.463 08/15/2050 CUSIP 90276GAY8					
290,000.000	ALLISON SALARIED-RYAN LABS	102.5108	297,281.20	101.3940	294,042.60	3,238.60-
290,000.000	TOTAL UBS COMMERCIAL MORTG 4.463% 8/15/50		297,281.20		294,042.60	3,238.60-
	FREMF MORTGAGE TRUST SER 2016-K55 CL C *24 DAY DELAY* 144A PRIV PLCMT 04/25/2049 CUSIP 30289HAG6					
360,000.000	ALLISON SALARIED-RYAN LABS	97.0625	349,425.00	95.8440	345,038.40	4,386.60-
360,000.000	TOTAL VR FREMF MORTGAGE 4.29424% 4/25/49		349,425.00		345,038.40	4,386.60-
	FREMF 2017-K65 MTG TR SER 2017-K65 CL B *0 DAY DELAY* 144A PRIV PLCMT 07/25/2050 CUSIP 30302XAE9					
350,000.000	ALLISON SALARIED-RYAN LABS	103.2734	361,457.03	102.9110	360,188.50	1,268.53-
350,000.000	TOTAL VR FREMF 2017-K65 MT 1.000% 7/25/50		361,457.03		360,188.50	1,268.53-
	GOVT NATL MTG ASSN SER 2015-73 CL ME *15 DAY DELAY* DTD 05/01/15 11/16/2055 CUSIP 38379KMN5					
300,000.000	ALLISON SALARIED-RYAN LABS	94.4375	283,312.50	94.0550	282,165.00	1,147.50-
300,000.000	TOTAL VR GOVT NATL MTG AS 3.000% 11/16/55		283,312.50		282,165.00	1,147.50-
	JP MORGAN CHASE COMMERCIAL MOR SER 2016-NINE CL A *5 DAY DELAY* 144A PRIV PLCMT 10/06/2038 CUSIP 46646GAA5					
450,000.000	ALLISON SALARIED-RYAN LABS	102.5480	461,466.00	98.2310	442,039.50	19,426.50-
450,000.000	TOTAL VR JP MORGAN CHASE 2.8541% 10/06/38		461,466.00		442,039.50	19,426.50-

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	JPMBB COMMERCIAL MORTGAGE SECU SER 2015-C28 CL C *14 DAY DELAY* DTD 04/01/15 10/15/2048 CUSIP 46644FAK7					
590,000.000	ALLISON SALARIED-RYAN LABS	92.6490	546,629.10	96.8140	571,202.60	24,573.50
590,000.000	TOTAL VR JPMBB COMMERCIA 4.24056% 10/15/48		546,629.10		571,202.60	24,573.50
	SFAVE COMMERCIAL MORTGAGE SECU SER 2015-5AVE CL B *4 DAY DELAY* 144A PRIV PLCMT 01/05/2035 CUSIP 78413MAL2					
390,000.000	ALLISON SALARIED-RYAN LABS	98.6470	384,723.30	94.6510	369,138.90	15,584.40-
390,000.000	TOTAL VR SFAVE COMMERCIA 4.38801% 1/05/35		384,723.30		369,138.90	15,584.40-
	SHOPS AT CRYSTALS TRUST 2016-C SER 2016-CSTL CL D *4 DAY DELAY* 144A PRIV PLCMT 07/05/2036 CUSIP 82510WAL4					
390,000.000	ALLISON SALARIED-RYAN LABS	91.8125	358,068.75	93.8410	365,979.90	7,911.15
390,000.000	TOTAL VR SHOPS AT CRYSTA 3.85547% 7/05/36		358,068.75		365,979.90	7,911.15
	UBS-CITIGROUP COMMERCIAL MORTG SER 2011-C1 CL E *9 DAY DELAY* 144A PRIV PLCMT 01/10/2045 CUSIP 90268TAS3					
450,000.000	ALLISON SALARIED-RYAN LABS	109.4660	492,597.00	98.4460	443,007.00	49,590.00-
450,000.000	TOTAL VR UBS-CITIGROUP 6.08395% 1/10/45		492,597.00		443,007.00	49,590.00-
	WELLS FARGO COMMERCIAL MORTGAG SER 2016-LC25 CL B *14 DAY DELAY* DTD 12/01/16 4.437 12/15/2059 CUSIP 95000JBA5					
205,000.000	ALLISON SALARIED-RYAN LABS	101.9128	208,921.24	103.9900	213,179.50	4,258.26
205,000.000	TOTAL WELLS FARGO COMMERC 4.4367% 12/15/59		208,921.24		213,179.50	4,258.26
	TOTAL MORTGAGE BACKED OBLIGATION		5,026,199.40		4,975,930.69	50,268.71-
	PRIVATE PLACEMENT					

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	ELECTRICITE DE FRANCE SA 144A PRIV PLCMT 4.950 10/13/2045 CUSIP 268317AQ7					
155,000.000	ALLISON SALARIED-RYAN LABS	107.2202	166,191.35	110.5530	171,357.15	5,165.80
155,000.000	TOTAL P/P ELECTRICITE DE 4.950% 10/13/45		166,191.35		171,357.15	5,165.80
	MET LIFE GLOB FUNDING I 144A PRIV PLCMT 3.000 01/10/2023 CUSIP 59217GAX7					
340,000.000	ALLISON SALARIED-RYAN LABS	103.1300	350,642.00	101.6240	345,521.60	5,120.40-
340,000.000	TOTAL P/P MET LIFE GLOB FU 3.000% 1/10/23		350,642.00		345,521.60	5,120.40-
	ROCHE HOLDING INC 144A PRIV PLCMT 3.350 09/30/2024 CUSIP 771196BE1					
425,000.000	ALLISON SALARIED-RYAN LABS	108.1050	459,446.25	103.6590	440,550.75	18,895.50-
425,000.000	TOTAL P/P ROCHE HOLDING IN 3.350% 9/30/24		459,446.25		440,550.75	18,895.50-
	SIEMENS FINANCIERINGSMAT 144A PRIV PLCMT 3.400 03/16/2027 CUSIP 82620KAU7					
320,000.000	ALLISON SALARIED-RYAN LABS	102.8710	329,187.20	102.8910	329,251.20	64.00
320,000.000	TOTAL P/P SIEMENS FINANCIE 3.400% 3/16/27		329,187.20		329,251.20	64.00
	SPRINT SPECTRUM / SPEC I 144A PRIV PLCMT 3.360 09/20/2021 CUSIP 85208NAA8					
470,000.000	ALLISON SALARIED-RYAN LABS	101.0625	474,993.75	101.5000	477,050.00	2,056.25
470,000.000	TOTAL P/P SPRINT SPECTRUM 3.360% 3/20/23		474,993.75		477,050.00	2,056.25
	TOTAL PRIVATE PLACEMENT		1,780,460.55		1,763,730.70	16,729.85-
	TOTAL BONDS & NOTES		**69,077,366.21**		**67,087,667.64**	**1,989,698.57-**
	MUTUAL FUNDS					
	MUTUAL FUNDS- EQUITY					

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	BLACKSTONE ALTERNATIVE MULTI-STRATEGY FUND CLASS I CUSIP 09257V201					
150,264.090	ALLISON SALARIED MUTUAL FUNDS	10.2346	1,537,898.90	10.9200	1,640,883.86	102,984.96
150,264.090	TOTAL BLACKSTONE ALT MULTI-STRAT-I		1,537,898.90		1,640,883.86	102,984.96
	DFA EMERGING MARKETS CORE EQUITY FUND CLASS I #5272 CUSIP 233203421					
38,223.337	ALLISON SALARIED MUTUAL FUNDS	18.6193	711,690.00	21.6900	829,064.18	117,374.18
38,223.337	TOTAL DFA EMERG MKTS CORE EQTY FD I #5272		711,690.00		829,064.18	117,374.18
	EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS R6 #1016 CUSIP 277902235					
77,217.498	ALLISON SALARIED MUTUAL FUNDS	28.3534	2,189,377.35	31.9900	2,470,187.76	280,810.41
77,217.498	TOTAL EATON VANCE-ATLANTA SMID-R6 #1016		2,189,377.35		2,470,187.76	280,810.41
	HARTFORD INTERNATIONAL OPPORTUNITIES FUND CLASS Y CUSIP 416645885					
136,973.187	ALLISON SALARIED MUTUAL FUNDS	15.6434	2,142,720.38	18.0200	2,468,256.83	325,536.45
136,973.187	TOTAL HARTFORD INTL OPPORT-Y		2,142,720.38		2,468,256.83	325,536.45
	PIMCO COMMODITY REAL RETURN STRATEGY FUND-CLASS I #45 CUSIP 722005667					
125,133.119	ALLISON SALARIED MUTUAL FUNDS	6.9442	868,954.86	6.5700	822,124.59	46,830.27-
125,133.119	TOTAL PIMCO COMMODITY REAL RET STRAT-I#45		868,954.86		822,124.59	46,830.27-
	PRINCIPAL INVESTORS REAL ESTATE SECURITIES INSTL FUND #4934 CUSIP 74253Q580					
33,609.269	ALLISON SALARIED MUTUAL FUNDS	24.0291	807,599.34	23.5500	791,498.28	16,101.06-
33,609.269	TOTAL PRINCIPAL INV R/E SEC-IS FUND 4934		807,599.34		791,498.28	16,101.06-
	TOTAL MUTUAL FUNDS- EQUITY		8,258,240.83		9,022,015.50	763,774.67

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	TOTAL MUTUAL FUNDS		**8,258,240.83**		**9,022,015.50**	**763,774.67**
	TOTAL ASSETS AND LIABILITIES		**85,844,826.49**		**84,876,828.00**	**967,998.49-**
	PENDING TRADES		3,503,188.08-		3,503,188.08-	
	ACCRUED INCOME		381,184.69		381,184.69	
	NET ADJUSTED ASSETS		82,722,823.10		81,754,824.61	967,998.49-

Schedule SB, line 24 — Change in Actuarial Assumptions

- Expense normal cost was updated from $260,000 to $290,000 to reflect our expectations for the current plan year.
- Form of payment assumption was updated for current and future vested deferred participants from 25% life annuity for all to 25% life annuity for unmarried participants and 65% joint & survivor annuity for married participants.