Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500-SF. OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Parti	Annual Report	dentification information							
For calenda	ar plan year 2017 or f	iscal plan year beginning 01/01/2	2017	and ending 12	/31/2017				
A This retu	urn/report is for:	x a single-employer plan	a multiple-employer pl	an (not multiemployer) (F	_				
D Th:		a one-participant plan	a foreign plan						
B This retu	rn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)				
C Check b	oox if filing under:	Form 5558	automatic extension	[DFVC prograr	m			
		special extension (enter desc	ription)						
Part II	Basic Plan Info	ormation—enter all requested in	formation						
1a Name of HOME HEAL	•	S, INC. 401(K) RETIREMENT SAV	INGS PLAN		1b Three-digit plan numb (PN) ▶				
			1c Effective d	ate of plan 01/01/2011					
2a Plan sp	onsor's name (emple	oyer, if for a single-employer plan)			2b Employer l	dentification Number			
Mailing	address (include roo	om, apt., suite no. and street, or P.C		ructions)	(EIN)	61-1167664			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) HOME HEALTH CARE SERVICES, INC.				ructions)	2c Sponsor's telephone number 606-462-2112				
					2d Business c	ode (see instructions)			
1414 SOUTH PIKEVILLE, k	MAYO TRAIL				621610				
, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,									
3a Plan ac	dministrator's name a	and address X Same as Plan Spo	nsor.		3b Administrati	tor's EIN			
				-	3c Administrati	tor's telephone number			
		ie plan sponsor or the plan name hansor's name, EIN, the plan name a			4b EIN				
a Sponso	or's name	·	•	·	4d PN				
C Plan Na	ame								
5a Total n	number of participants	s at the beginning of the plan year.			5a	22			
		s at the end of the plan year			5b	20			
		account balances as of the end of			5c	6			
d(1) Tota	al number of active pa	articipants at the beginning of the p	lan year		5d(1)	20			
d(2) Tota	al number of active pa	articipants at the end of the plan ye	ar	<u></u>	5d(2)	16			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e 0				
Caution: A	penalty for the late	or incomplete filing of this return	n/report will be assessed	unless reasonable cau					
SB or Sche		ther penalties set forth in the instruand signed by an enrolled actuary, aplete.							
SIGN	Filed with authorized	d/valid electronic signature.	07/02/2018	SHARON BRANHAM					
HERE	Signature of plan	administrator	Date	Enter name of individu	ıal signing as pla	n administrator			
SIGN									
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individu	lividual signing as employer or plan spon				

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6a b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes No	
	If you answered "No" to either line 6a or line 6b, the plan cann							
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes No	Not determined
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r			(See instructions.)
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	l of Year
а	Total plan assets	7a		83950				77429
b	Total plan liabilities	7b						
С	Net plan assets (subtract line 7b from line 7a)	7с		83950				77429
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b)	Total
a	Contributions received or receivable from: (1) Employers	8a(1)		0				
	(2) Participants	8a(2)		3730				
	(3) Others (including rollovers)	8a(3)						
b	Other income (loss)	8b		8068				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						11798
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		18319				
е	Certain deemed and/or corrective distributions (see instructions)	8e						
f	Administrative service providers (salaries, fees, commissions)	8f						
g	Other expenses	8g						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						18319
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-6521
<u>j</u>	Transfers to (from) the plan (see instructions)	8j						
Pa	t IV Plan Characteristics							
9a 	If the plan provides pension benefits, enter the applicable pension 3D 2E 2F 2G 2J 2K 2T	feature co	des from the List of Pl	an Cha	racteris	stic Co	odes in the ins	structions:
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	les in the inst	ructions:
Par	t V Compliance Questions							
10	During the plan year:				Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X		
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not	include transactions	10b		Χ		
С	Was the plan covered by a fidelity bond?			10c	Χ			10000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused	10d		Χ		
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X		
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X		
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		Χ		
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X		
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i				

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В	. Y	es No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of			es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t		of the letter Year	ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No)
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

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Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I Annual Repor	rt identification information	n		•		
For calendar plan year 2017 or	fiscal plan year beginning	01/01/2017	and ending	12/31,		
A This return/report is for:	X a single-employer plan		olan (not multiemployer) (F mployer information in ac			
_	a one-participant plan	a foreign plan				
B This return/report is	the first return/report	the final return/report				
	an amended return/report	a short plan year retu	rn/report (less than 12 mo	onths)		
C Check box if filing under:	Form 5558	automatic extension	. [DFVC prog	ram	
	special extension (enter desc	cription)				
Part II Basic Plan Inf	ormation—enter all requested in	nformation				
1a Name of plan				1b Three-di		
Home Health Care Ser	rvices, Inc. 401(k) R	etirement Saving	s Plan	plan nun (PN) ▶	nber 001	
			ļ l	1c Effective	date of plan	
				01/01/		
	loyer, if for a single-employer plan)				r Identification Number	
	om, apt., suite no. and street, or P. nce, country, and ZIP or foreign pos		tructions)		-1167664	
Home Health Care Se	ervices, Inc.	. •		2c Sponsor's telephone number 606-462-2112		
		•	ŀ	2d Business code (see instructions)		
1414 South Mayo Tra	111			621610	,	
Pikeville	KY 41501					
	and address X Same as Plan Spo	neor		3b Administ	rator's FIN	
Va i jait auriministrațoi s name i	The dedices A came as Francisco	лзог.		OD 7 (dr) in liot		
				3C Administ	rator's telephone number	
				Ale -u.		
this plan, enter the plan sp	he plan sponsor or the plan name h onsor's name, EIN, the plan name			4b EIN		
a Sponsor's name				4d PN		
C Plan Name						
5a Total number of participant	s at the beginning of the plan year.		***************************************	5a	22	
	s at the end of the plan year		Г	5b	20	
C Number of participants with	n account balances as of the end of	f the plan year (only defined	I contribution plans	5c		
	articipants at the beginning of the p			5d(1)	20	
	articipants at the end of the plan ye		· · · · ·	5d(2)	16	
• •	o terminated employment during th		<u></u>	5e		
	or incomplete filing of this retur		unless reasonable cau		0 had	
	other penalties set forth in the instru					
	and signed by an enrolled actuary,					
sign DIMON	U Manhan	- Pres	Sharon Branham			
HERE Signature of plan	administrator	Date 9-2-18	Enter name of individu	al signing as p	lan administrator	
SIGN						
	oyer/plan sponsor	Date	Enter name of individu	al signing as e	mployer or plan sponsor	
Ear Danamuselt Badustian Ast Nati	ing and the Instructions for Form FFO	0.00			Earm EE00 QE /2017\	

Pac	ΙА	2

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	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cannot be seen to be seen as the plan cannot be seen	an indepe and condi	ndent qualified public a	account	ant (IC	(PA)	X Yes	No No
С	If the plan is a defined benefit plan, is it covered under the PBGC in							mined
	If "Yes" is checked, enter the My PAA confirmation number from the						. (See instruc	tions.)
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of Year	
<u>,</u>	Total plan assets	7a	(4) 2059	83,	_			7,429
_ <u>u</u>	Total plan liabilities	7b						
	Net plan assets (subtract line 7b from line 7a)	7c		83,	950		7:	7,429
8	Income, Expenses, and Transfers for this Plan Year	N. 9. NS GROVES 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2. 2	(a) Amour	nt			(b) Total	
a	Contributions received or receivable from:				n			
	(1) Employers	8a(1)		3	730			
	(2) Participants	8a(2)		٠,	730	America		
	(3) Others (including rollovers)	8a(3)			068			na i Voice in in Geology
	Other income (loss)	8b			000	Jack States	1 - T	1,798
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		reneration ()				
u	to provide benefits)	8d		18,	319	9		
e	Certain deemed and/or corrective distributions (see instructions)	8e			<u> </u>			
f	Administrative service providers (salaries, fees, commissions)	8f						
g	Other expenses	8g						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				18,31		
i	Net income (loss) (subtract line 8h from line 8c)	81				-6,5		
j	Transfers to (from) the plan (see instructions)	8j						
Pai	rt IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 3D 2E 2F 2G 2J 2K 2T	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	les in the instructions:	
Par	t V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's \ Program)	/oluntary F	iduciary Correction	10a		Х		
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		Х		
С	Was the plan covered by a fidelity bond?			10c	. X		10	0,000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused	10d		Х		4.0.4804
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)		10e		х			
f	Has the plan failed to provide any benefit when due under the pla	ın?	.,,,,,	10f		Х		
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		Х		
— h		(See instr	uctions and 29 CFR	10h		Х		
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i				

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com (Form 5500) and line 11a below)	•				es No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code ERISA?	or sectio	n 302 o	f 	[] Y	es 🗓 No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruction granting the waiver.		d enter t Day		of the letter Year	ruling
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year		12b			
	Enter the amount contributed by the employer to the plan for this plan year		12c		·	-
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)		12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No L	N/A
Part '	VII Plan Terminations and Transfers of Assets					
	Has a resolution to terminate the plan been adopted in any plan year?			Yes	s X No)
,	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought control of the PBGC?				Yes 🛚	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify t which assets or liabilities were transferred. (See instructions.)	he plan(s)) to			
1	3c(1) Name of plan(s):	13c(2)	EIN(s)		13c(3)	PN(s)