## Form 5500-SF

Department of the Treasury

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Internal Revenue Service Department of Labor

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to **Public Inspection** 

Part I		Identification Information								
For calenda	ar plan year 2016 or f	iscal plan year beginning 01/01/20	<u>16</u>	and ending 0	3/11/2016					
Δ This rat	urn/report is for:	X a single-employer plan	In a multiple-employer plan (not multiemployer) (Filers checking this box must a list of participating employer information in accordance with the form instruc							
74 THIS TOO	uninoport is ion.	a one-participant plan								
<b>B</b> This retu	ırn/report is	the first return/report	the final return/report							
		an amended return/report	rn/report (less than 12 m	months)						
C Check b	oox if filing under:		DFVC program							
special extension (enter description) SPONSOR NOT AWARE OF NEED TO FILE										
Part II	Basic Plan Info	ormation—enter all requested info	rmation							
1a Name of plan NATIONAL FROST INC						er 001				
			(PN) ▶ 001 <b>1c</b> Effective date of plan 03/17/2002							
		oyer, if for a single-employer plan)	Box)		2b Employer Identification Number					
Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) NATIONAL FROST INC						2c Sponsor's telephone number				
					585-764-6944 <b>2d</b> Business code (see instructions)					
7-6 HIGH GA FAIRPORT. I	TE TRL NY 14450-2723	7-6 HIGH G FAIRPORT	ATE TRL , NY 14450-2723		;	327900				
	dministrator's name a				<b>3b</b> Administrator's EIN 74-3044750					
NATIONAL F KAREN BILIN	NSKI NEVILLE	7-6 HIGH G FAIRPORT	, NY 14450-2723			tor's telephone number				
					585-764-6944					
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN										
name,	EIN, and the plan nu	ioi tilis plati, efitel tile	4b EIN							
<b>a</b> Sponso					<b>4c</b> PN <b>5a</b>					
<ul><li>5a Total number of participants at the beginning of the plan year</li><li>b Total number of participants at the end of the plan year</li></ul>										
		• •			5b 5c					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)										
d(1) Total number of active participants at the beginning of the plan year										
<ul> <li>d(2) Total number of active participants at the end of the plan year</li> <li>e Number of participants that terminated employment during the plan year with accrued benefits that were less</li> </ul>										
than 100% vested										
		or incomplete filing of this return/ ther penalties set forth in the instruct								
SB or Sche		and signed by an enrolled actuary, as								
SIGN	Filed with authorized	ed with authorized/valid electronic signature. 07/19/2018 KAREN BILINSKI N				EVILLE				
HERE	Signature of plan	administrator	Date	Enter name of individ	dual signing as pla	n administrator				
SIGN HERE			Date							
	Signature of emplo		idual signing as employer or plan sponsor							
Preparer's	name (including firm i	name, if applicable) and address (inc	lude room or suite numb	er)	Preparer's telep	none number				

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<ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li> <li>If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.</li> </ul>											
	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	program (see ERISA se	ection 4	021)?		Yes	No Not dete	ermined		
Pa	t III Financial Information		()5								
	Plan Assets and Liabilities  Total plan assets	70	(a) Beginning (	(a) Beginning of Year			(	b) End of Year			
	Total plan assets  Total plan liabilities	7a 7b		0.0							
	Net plan assets (subtract line 7b from line 7a)	7c		973							
8	Income, Expenses, and Transfers for this Plan Year	10	(a) Amoun	(a) Amount			(b) Total				
	Contributions received or receivable from:		(a) Amoun					(b) Total			
	(1) Employers	8a(1)			_						
	(2) Participants	8a(2)									
	(3) Others (including rollovers)	8a(3)									
<u>b</u>	Other income (loss)	8b			_						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c									
d	<b>d</b> Benefits paid (including direct rollovers and insurance premiums to provide benefits)		973								
е	Certain deemed and/or corrective distributions (see instructions).	8d 8e									
f	Administrative service providers (salaries, fees, commissions)	8f									
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						973			
i	Net income (loss) (subtract line 8h from line 8c)	8i				-973					
j	Transfers to (from) the plan (see instructions)	8j									
Pai	Part IV Plan Characteristics										
9a											
b	If the plan provides welfare benefits, enter the applicable welfare t	feature cod	des from the List of Pla	n Chara	acterist	tic Coc	les in t	he instructions:			
Par	t V Compliance Questions										
10	During the plan year:				Yes	No	N/A	Amount			
_	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X		7			
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10a 10b		X					
c	C Was the plan covered by a fidelity bond?			10c		X					
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X					
f	f Has the plan failed to provide any benefit when due under the plan?					X					
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X					
h	<b>h</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided texceptions to providing the notice applied under 29 CFR 2520.10			10i							

Part	VI	Pension Funding Compliance								
11	1 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							X No		
11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40					11a					
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co				f		Yes	X No	
	(If "	SA? Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а		vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see insting the waiver.		is, and	d enter t Day		of the le		ng 	
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.			1				
b	Enter	the minimum required contribution for this plan year			12b					
С	Enter	the amount contributed by the employer to the plan for this plan year			12c					
	Subt	ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the lative amount)	eft of a		12d					
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N	I/A	
Part	VII	Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted in any plan year?				X Yes	3	No		
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				0	
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug rol of the PBGC?					X Yes	No	)	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi h assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	to					
1	3c(1)	Name of plan(s):	•	13c(2)	EIN(s)		130	( <b>3)</b> PN	(s)	
Part	VIII	Trust Information								
14a Name of trust					<b>14b</b> Trust's EIN					
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number					
Part	: IX	IRS Compliance Questions								
15a Is the plan a 401(k) plan? If "No," skip b				Yes	☐ No					
			Desig safe h	n-based arbor	oor L test					
Curi				"Curre	ent year est					
				entage	Average N/A benefit test					
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?							X No			
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number										
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/										
18 Defined Benefit Plan or Money Purchase Pension Plan Only:  Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No				
19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?					Ye	s [	No			