Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		t Identification Information					
For calend	dar plan year 2017 or f	iscal plan year beginning 01/01/2	2017	and ending	12/31/2017		
A This re	eturn/report is for:	X a single-employer plan		rer plan (not multiemployer) ng employer information in a			
		a one-participant plan	a foreign plan				
B This ret	turn/report is	the first return/report	the final return/re				
_		an amended return/report	a short plan year	return/report (less than 12 r	months)		
C Check	box if filing under:	Form 5558	automatic extens	sion	DFVC program	1	
	T	special extension (enter descr	· · ·				
Part II		ormation—enter all requested int	ormation		1		
1a Name ANESTHES		E, P.A. PROFIT SHARING PLAN			1b Three-digit plan numbe (PN) ▶	er 001	
						ate of plan 01/01/1987	
		oyer, if for a single-employer plan)) David		2b Employer Id	lentification Number	
Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)					59-2750941 elephone number		
ANESTHESIA & PAIN MEDICINE, PA				561	-848-3861		
1500 NORTH DIXIE HWY, SUITE 103			2d Business code (see instructions) 621111				
WEST PALM BEACH, FL 33401							
3a Plan administrator's name and address X Same as Plan Sponsor.			3b Administrator's EIN				
					3c Administrate	or's telephone number	
4 If the				and water was look and file of face	4b EIN		
this p	olan, enter the plan spo	ne plan sponsor or the plan name ha onsor's name, EIN, the plan name a					
a Spons C Plan N	sor's name Name				4d PN		
_		s at the beginning of the plan year			5a		
		s at the end of the plan year account balances as of the end of			5b	0	
comp	olete this item)				5c	0	
		articipants at the beginning of the pl	•				
		articipants at the end of the plan yea o terminated employment during the			5d(2)	0	
than	100% vested				5e	0	
Under pen SB or Sch	alties of perjury and o	or incomplete filing of this return ther penalties set forth in the instruc- and signed by an enrolled actuary, a nolete.	ctions, I declare that I	have examined this return/r	eport, including, if a	pplicable, a Schedule	
SIGN		d/valid electronic signature.	07/23/2018	SHELDON REGENB	AUM		
HERE	Signature of plan	administrator	Date	Enter name of indivi	dual signing as plar	n administrator	
SIGN HERE	Filed with authorized	d/valid electronic signature.	07/23/2018	SHELDON REGENB	SAUM		
				i i			

Date

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes	No		
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes No	Not deter	mined	
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	lan yea	r			(See instruc	tions.)	
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) End	l of Year		
а	Total plan assets	. 7a	306	60605			0			
b	Total plan liabilities	. 7b		0				0		
С	Net plan assets (subtract line 7b from line 7a)	. 7c	306	60605				0		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b)	Total		
а	Contributions received or receivable from:	0-(4)		0						
	(1) Employers	8a(1)		0						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		92928						
		. 8b		92920				92928		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						92920		
	to provide benefits)	. 8d	315	3153533						
e	Certain deemed and/or corrective distributions (see instructions)	. 8e								
f	Administrative service providers (salaries, fees, commissions)	. 8f		0						
g	Other expenses	. 8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h						3153533		
i	Net income (loss) (subtract line 8h from line 8c)	. 8i				-3060605				
j	Transfers to (from) the plan (see instructions)	· 8j		0						
Par	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2R 3D	feature co	des from the List of Pla	an Cha	racteri	stic Co	odes in the ins	tructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan	n Chara	acteris	tic Cod	des in the insti	uctions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	100		X				
b	Program)	t? (Do not	include transactions	10a						
	reported on line 10a.)			10b		X				
<u>c</u>				10c	X			25000	00	
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	•		10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	Has the plan failed to provide any benefit when due under the pla	in?		10f		Х				
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-e	end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he required	d notice or one of the	10i						

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Part '	/I Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	edule S	B 	Yes	No X
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	f 	Yes	x No		
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver.			of the letter ru Year	uling
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part \	/II Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Ye	s No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			C
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			X Yes I	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) P	N(s)

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Pension Benefit Guaranty Corporation	► Complete all entries in a	ccordance with the instr	uctions to the Form 550	00-SF.	inspection
Part II Annual Repor	t Identification Information				
or calendar plan year 2017 or f	iscal plan year beginning	01/01/2017	and ending	12/31/20	17
This return/report is for: This return/report is;	a single-employer plan a one-participant plan the first return/report an amended return/report	a list of participating a foreign plan the final return/repor	plan (not multiemployer) employer information in 1 urn/report (less than 12 r	accordance with the	nis box must attach ne form instructions.)
Check box if filing under:	Form 5558 special extension (enter desc	automatic extension		DFVC	program
art If Basic Plan Inf	formation enter all requested	information			
Name of plan Anesthesia & Pain	Medicine, P.A. Profit S	Sharing Plan		1b Three-digi plan numb (PN) ▶	
μ-		1c Effective of 01/01/1			
Plan sponsor's name (employer, if for a single-employer plan) Mailing Address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)					Identification Number 2-2750941
Anesthesia & Pain Medicine, PA			(561) 8	telephone number 848-3861	
1500 North Dixie E	lwy, Suite 103	.59		2d Business 621111	code (see instructions)
US West Palm Beach FL 3	33401 and address X Same as Plan Sp		 	3b Administra	Provide the same
	he plan sponsor or the plan name h			3c Administra 4b EIN	ator's telephone number
inis pian, enter the pian spo Sponsor's name Plan Name	onsor's name, EIN, the plan name a	and the plan number from t	ne last retum/report.	4d PN	
Total number of participants	s at the beginning of the plan year			5a	7
	s at the end of the plan year			5b	0
	account balances as of the end of			5c	0
(1) Total number of active pa	articipants at the beginning of the pl	an year		5d(1)	4
1.0.1	articipants at the end of the plan year			5d(2)	0
less than 100% vested	terminated employment during the			5e	0
nder penalties of perjury and o B or Schedule MB completed bllef, It is true, correct, and cor	e or Incomplete filling of this return other penalties set forth in the instru- and signed by an enrolled actuary, mplete.	uctions, I declare that I hav	e examined this return/re	port, including, if	applicable, a Schedule
SIGN			Sheldon Regenba	um	
IERE Signature of plan add		Date	Enter name of individu		
SIGN //Y	m_	7/23/18	Sheldon Re	egenbau	M
HERE Signature of employe	er/plan sponsor	Date	Enter name of individu	al signing as empl	over or plan enoneor

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							•••••	XYes	□No	
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)								_			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility ar							•••••	x Yes	∐No	
_	If you answered "No" to either line 6a or line 6b, the plan canno							Пи	. □ Not a	latarminad	
C	If the plan is a defined benefit plan, is it covered under the PBGC ins		-			_			_	letermined	
	If "Yes" is checked, enter the My PAA confirmation number from the	PBGC pre	emium filing for this year						(See instru	ictions.)	
Pa	art III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning o	f Yea	r			(b) End	of Year		
а	Total plan assets	7a	3,00	60,6	05					0	
b	Total plan liabilities	7b			0		0				
С	Net plan assets (subtract line 7b from line 7a)	7c	3,00	60,6	05					0	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount					(b)	Γotal		
а	Contributions received or receivable from: (1) Employers	8a(1)			0						
	(2) Participants	8a(2)			0						
	(3) Others (including rollovers)	8a(3)			0						
b	Other income (loss)	8b	9	92,9	28						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							92	,928	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	3,15	3,153,533							
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	0								
g	Other expenses	8g			0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				3,153,533					
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					(3,060,605)				
<u>_i</u> _	Transfers to (from) the plan (see instructions)	8j			0						
Pá	art IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension fe	ature code	es from the List of Plan Ch	naract	eristic	Code	s in the	e instruct	ons:		
	2A 2E 2G 2R 3D										
b	If the plan provides welfare benefits, enter the applicable welfare fea	ture code:	s from the List of Plan Cha	aracte	ristic (Codes	in the	instructio	ns:		
Pá	art V Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amount		
а		ions withir	the time period								
	described in 29 CFR 2510.3-102? (See instructions and DOL's Vo	luntary Fid	duciary Correction								
	Program)			10a		Х					
k	 Were there any nonexempt transactions with any party-in-interest? reported on line 10a.) 			10b		x					
				10c	х					250,000	
				100							
	by fraud or dishonesty?	•		10d		x					
e	 Were any fees or commissions paid to any brokers, agents, or othe carrier, insurance service, or other organization that provides some 	•	•								
	the plan? (See instructions.)			10e		х					
f	Has the plan failed to provide any benefit when due under the plan	1?	••••••	10f		х					
	Did the plan have any participant loans? (If "Yes," enter amount as	s of year e	nd.)	10g		х					
r	If this is an individual account plan, was there a blackout period? (2520.101-3.)			10h		х					
i	If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101			10i							

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Part	: VI	Pension Funding Compliance						
11		defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and 5500 and line 11a below)	•	nedule S	B	☐ Ye	s X	No
11a	Enter th	ne unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	•••••	11a				
Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						☐ Ye	s X	No
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Month Day Year						<u> </u>		
lf y	ou com	pleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.					
b	Enter th	ne minimum required contribution for this plan year	••••••	12b				
С	C Enter the amount contributed by the employer to the plan for the plan year							
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	e Will the minimum funding amount reported on line 12d be met by the funding deadline?					No [N/A	
Part	: VII	Plan Terminations and Transfers of Assets						
13a	Has a r	esolution to terminate the plan been adopted in any plan year?	••••••	x	Yes		lo	
	If "Yes,	enter the amount of any plan assets that reverted to the employer this year	••••••	13a				0
b		Il the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou of the PBGC?	· ·	÷	X Y	es 🗌	No	
С	C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1:	3 c(1) Na	me of plan(s):	13c(2) El	N(s)		13c(3) PN(s)	·