## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Repor	<u>t Identification Information</u>	<u>l</u>						
For calend	lar plan year 2017 or	fiscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017				
A This re	turn/report is for:	X a single-employer plan		r) (Filers checking this box must attach a accordance with the form instructions.)					
_	•	a one-participant plan	a foreign plan						
<b>B</b> This ret	urn/report is	the first return/report	the final return/repor	t					
		an amended return/report	a short plan year return/report (less than 12 months)						
C Check	box if filing under:	Form 5558	automatic extension	1	DFVC progra	m			
		special extension (enter descri	ription)						
Part II	Basic Plan Inf	ormation—enter all requested in	formation						
1a Name of plan IMAGEWORK TECHNOLOGIES CORP 401 K PROFIT SHARING PLAN TRUST						per 001			
					1c Effective of	date of plan 01/14/2016			
		oyer, if for a single-employer plan)	) Day)			Identification Number			
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		structions)	(EIN) 52-1907539				
IMAGEWORK TECHNOLOGIES CORP					<b>2c</b> Sponsor's telephone number 914-490-4537				
470 110 111 1	CONTANTE CHILL	- 20			2d Business	code (see instructions)			
	TON AVENUE - SUITI INS, NY 10601	= 30			561410				
<b>30</b> Plane	de la la la la de la companya				2h Adamininta	todo FINI			
<b>Ja</b> Plan a	administrator's name a	and address X Same as Plan Spor	nsor.		<b>3b</b> Administra	ITOT'S EIN			
					3c Administra	ator's telephone number			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN				
<b>a</b> Sponsor's name					4d PN				
C Plan I	Name								
<b>5a</b> Total	number of participant	s at the beginning of the plan year			5a	1			
<b>b</b> Total number of participants at the end of the plan year					5b	1			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	1			
<b>d(1)</b> Tot	tal number of active p	articipants at the beginning of the pl	lan year		5d(1)	1			
d(2) Total number of active participants at the end of the plan year					5d(2) 1				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0				
Caution: /	A penalty for the late	or incomplete filing of this return	n/report will be assesse	d unless reasonable ca					
SB or Sch		other penalties set forth in the instruction and signed by an enrolled actuary, an plete.							
SIGN	Filed with authorize	d/valid electronic signature.	07/25/2018	CATHERINE M WILS	ON				
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as pla	an administrator			
SIGN									
HERE	Signature of empl	over/plan sponsor	Date	Enter name of individ	ndividual signing as employer or plan sponsor				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes No		
	`		,					M 163   140	
С	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.  C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No							Not determined	
	If "Yes" is checked, enter the My PAA confirmation number from the		= '				· -	(See instructions.)	
Da	<u> </u>	'						,	
Pa	rt III Financial Information				1				
	Plan Assets and Liabilities	7a	, , <u> </u>	(a) Beginning of Year			(b) End of Year		
a_			•	35160			33862		
<u>b</u>	Total plan liabilities	7b -		0			0		
	Net plan assets (subtract line 7b from line 7a)	7c		35160			33862		
<u>8</u> а	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amoun	t			(b)	<u> Fotal</u>	
a	(1) Employers	8a(1)		0					
	(2) Participants	8a(2)		7696					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b		9714					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				17410			
d	Benefits paid (including direct rollovers and insurance premiums			10000					
	to provide benefits)	8d		18633					
	Certain deemed and/or corrective distributions (see instructions)	8e		75					
f_	Administrative service providers (salaries, fees, commissions)	8f		75					
	Other expenses	8g		0			18708		
<u>n</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	8i						-1298	
J	Transfers to (from) the plan (see instructions)	8j		0					
	Part IV Plan Characteristics								
	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2E 2F 2G 2J 2T 3D								
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	les in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	Χ			20000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?					X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)		В	Yes X No		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?		f 	Yes X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a		(		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under th control of the PBGC?	e 		Yes X No		
<b>c</b> If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s): 13c(2)				<b>13c(3)</b> PN(s)		