## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Short Form Annual Return/Report of Small Employee Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2017

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part		t Identification Information	1								
For cale	endar plan year 2017 or	fiscal plan year beginning 01/01/2	20 <u>17</u>	and ending 12/3	31/2017						
<b>A</b> This	return/report is for:	a single-employer plan		oloyer plan (not multiemployer) (Filers checking this box must attach a ating employer information in accordance with the form instructions.)							
_		a one-participant plan	a foreign plan								
<b>B</b> This	return/report is	the first return/report									
		an amended return/report	a short plan year return	n/report (less than 12 mor	nths)						
<b>C</b> Che	ck box if filing under:	Form 5558	automatic extension		DFVC progra	m					
		special extension (enter desc	cription)								
Part	II Basic Plan Inf	ormation—enter all requested in	nformation								
	me of plan RIVER ANIMAL HOSPIT	FAL PSC PROFIT SHARING PLAN			<b>1b</b> Three-digi plan numb (PN) ▶						
					1c Effective of	date of plan 08/01/1986					
		loyer, if for a single-employer plan) om, apt., suite no. and street, or P.0	O. Box)		<b>2b</b> Employer (EIN)	Identification Number 61-1101640					
City	or town, state or provin	nce, country, and ZIP or foreign pos		ructions)	, ,	telephone number					
GREEN F	RIVER ANIMAL HOSPIT	AL, PSC			27	70-796-7228					
P.O. BOX	(170				<b>2d</b> Business of	code (see instructions)					
	JRN, KY 42170-0170				541940						
<b>3a</b> Pla	n administrator's name a	and address X Same as Plan Spo	onsor.	;	<b>3b</b> Administrator's EIN						
				-	<b>3c</b> Administrator's telephone number						
4 If the	ne name and/or EIN of the	he plan sponsor or the plan name h	nas changed since the last re	eturn/report filed for	<b>4b</b> EIN						
	s plan, enter the plan sp onsor's name	onsor's name, EIN, the plan name	and the plan number from th		4d PN						
	n Name				TU FN						
<b>5a</b> To	tal number of participant	ts at the beginning of the plan year.			5a	13					
		ts at the end of the plan year			5b	13					
	· ·	n account balances as of the end of		· · · · · · · · · · · · · · · · · · ·	5c	13					
		articipants at the beginning of the p	·		5d(1)	5					
		participants at the end of the plan ye			5d(2)	5					
th	an 100% vested	o terminated employment during th			<b>5e</b> 0						
		e or incomplete filing of this return other penalties set forth in the instru									
SB or S		and signed by an enrolled actuary,									
SIGN	Filed with authorize	d/valid electronic signature.	07/24/2018	J HERBERT BROWN,	JR. DVM						
HERE	Signature of plan	administrator	Date	Enter name of individua	dividual signing as plan administrator						
SIGN											
HERE For Pan	- ·	loyer/plan sponsor	Date	Enter name of individua	al signing as en	nployer or plan sponsor					

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes No		
b	Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a							X Yes No		
	If you answered "No" to either line 6a or line 6b, the plan cannot		•							
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ction 4	021)?		Yes No	Not determined		
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	an yea	r			(See instructions.)		
Pa	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) End	l of Year		
a	Total plan assets	7a	475	7275				5656259		
<u>b</u>	Total plan liabilities	7b								
С	Net plan assets (subtract line 7b from line 7a)	7c	475	7275				5656259		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b)	Total		
а	Contributions received or receivable from: (1) Employers	8a(1)	4	16407						
	(2) Participants	8a(2)	6	3875						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	82	22593						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						932875		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	3	3891						
q	Other expenses									
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						33891		
ī	Net income (loss) (subtract line 8h from line 8c)	8i						898984		
j	Transfers to (from) the plan (see instructions)	8i								
Par	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2H 2J 2K 3D	feature co	odes from the List of Pla	an Cha	racteri	stic Co	odes in the ins	tructions:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plar	n Chara	acteris	tic Cod	les in the inst	ructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribut									
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	•	· · · · · · · · · · · · · · · · · · ·	10a		X				
b	Were there any nonexempt transactions with any party-in-interest	? (Do not	include transactions			X				
	reported on line 10a.)		İ	10b	X					
	· · · · · · · · · · · · · · · · · · ·			10c	^			500000		
d	by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X				
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		Χ				
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year-	end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne require	d notice or one of the	10i						
						•				

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Part	VI Pension Funding Compliance							
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a						
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of		Ye	s X No			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t		of the letter r Year	uling			
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b						
С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A			
Part '	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	) to						
1	<b>3c(1)</b> Name of plan(s): 13c(2)	EIN(s)		13c(3) F	PN(s)			

OMB Nos. 1210-0110 1210-0089

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code)

2017

This Form is Open to

12114,0139107	- Harris		zavanna coda (ma coda	<b>a</b> ).		Pub	lic Inspection			
Pension E	Senefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the inst	ructions to the Form 5	500-SF.	""	iic mapachon			
Part I	Annual Repor	t Identification Information			<del></del>					
For calone	lar plan year 2017 or		1/01/2017	and ending	12/	31/2017	·			
A This re	A This return/report is for:    X   a single-employer plan									
R This ear	le recolemna est im	a one-participant plan	e foreign plan							
D Inis ro	lurn/report is	the first return/report	the final return/report							
		I2 months)								
C Check	box if filing under:	☐ Form 5558 [	automatic extension		OFVC p	orogram				
		special extension (enter descrip	,							
Part II	Basic Plan Inf	ormation—enter all requested infor	mation							
1a Name					1b Thre	e-digit				
GREEN R	IVER ANIMAL F	HOSPITAL PSC PROFIT SHA	RING PLAN		plan (PN)	number •	001			
					1	tive date o	f plan			
Mallin	g address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.O. I	Зох)	•		loyar identi ) 61–110	fication Number 1640			
GREEN	rtown, state or provin RIVER ANIMAL	nce, country, and ZIP or foreign postal HOSPITAL, PSC	code (if foreign, see inst	ructions)	2c Spor		hone number			
P.O. B	OX 170				2d Business code (see instructions)					
					5419	40				
WOODBUI		KY 42170-0170								
3a Plan a	idministrator's name a	and address 🛛 Same as Plan Sponso	or.		3b Administrator's EIN					
					3c Admi	inistrator's (	elephone number			
		10 110110000	111-1111-1111							
4 If the this p	name and/or EIN of th lan, enter the plan sp	ne plan sponsor or the plan name has onsor's name, EIN, the plan name and	changed since the last re I the plan number from t	eturn/report filed for he last return/report.	4b FIN					
a Spons C Plan N	or's namo				4d PN					
<b>4</b> / 141/1										
5a Total	number of participant	s at the beginning of the plan year			5a		13			
		s at the end of the plan year			5b		13			
C Numb	er of participants with lete this item)	account balances as of the end of the	plan year (only defined	contribution plans	5c		1.3			
<b>d(1)</b> Tot	al number of active pa	articipants at the beginning of the plan	уеаг		5d(1)		5			
		articipants at the end of the plan year.			5d(2)					
than	100% vosted	e terminated employment during the p			5e		d			
Caution: A	<b>t penalty for the late</b>	<u>or incomplete filing of this return/re</u>	port will be assessed	uniess reasonable cau	uso is estat	ollshod.				
SB or Sche	alties of perjury and o edule MB completed a true, correct, and com	ther penalties set forth in the instruction and signed by an enrolled actuary, as a polete.	ns, i declare that I have well as the electronic ver	examined this return/report	port, includi t, and to the	ng, if applic best of my	able, a Schedule knowledge and			
SIGN	4 Llist	13-5/1-	7-24-18	J HERBERT BROW	NN, JR.	DVM				
HERE	Signature of plan	administrator	Date	Enter name of individe	-		ninistrator			
SIGN	SFA-J	12-74-	7-24.13	J HERBERT BROW						
HERE					dual signing as employer or plan sponsor					

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)  If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									No
С	If you answered "No" to either line 6a or line 6b, the plan cann if the plan is a defined benefit plan, is it covered under the PBGC in if "Yes" is checked, enter the My PAA confirmation number from the	nsurance p	rogram (see ERISA s	action 4	1021)?		Yes No	Not . _ (See in	detem structi	
Pa	rt III Financial Information							· ·		
7	Plan Assets and Liabilities		(a) Beginning	of Yea	,		(b) Enc	of Year		
а	Total plan assets	7a		,757,			1-7		, 656	,259
b		7ь								
C	Net plan assets (subtract line 7b from line 7a)	7c	4	,757,	275			5	,656	,259
8	Income, Expenses, and Transfers for this Plan Year		(a) Amou	nt			(b)	Total		
a	Contributions received or receivable from: (1) Employers	8s(1)	\_' <u></u>		407					
	(2) Participants	8a(2)		63,	875		· · · · · · · · · · · · · · · · · · ·			
	(3) Others (including rollovers)	8a(3)						"		'
	Other Income (loss)	86		822,	593					
<del>c</del>	Total income (add lines 6a(1), 8a(2), 8a(3), and 8b)  Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8c 8d					·		932	<b>,8</b> 75
е	Cortain deemed and/or corrective distributions (see instructions)	80								
f	Administrative service providers (salaries, fees, commissions)	81	ha <sup>1</sup>	33,	891					
		âg								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							33	,891
	Net income (loss) (subtract line 8h from line 8c)	81							898	,984
j	Transfers to (from) the plan (see instructions)	BI BI	***************************************							
Pai	rt IV Plan Characteristics		Marian Private de La comple							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2H 2J 2K 3D								•	
b	If the plan provides welfare benefits, enter the applicable welfare for	sature cod	es from the List of Pla	n Char	octeris	tic Co	des in the instr	uctions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DQL's V Program)	oluntary F	iduciary Correction	10a		х				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
c	Was the plan covered by a fidelity bond?			10c	х				500	,000
d	by fraud or dishonesty?	·············	***************************************	10d		х				
• —	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	e or all of t	the benefits under	10e		х				
f	Has the plan failed to provide any benefit when due under the plan	07		10f	<b>!</b>	Х				
g	Old the plan have any participant loans? (If "Yes," enter amount as	s of year-e	nd.)	10g	,	х				
h	If this is an individual account plan, was there a blackout period? (			10h	<u></u>	х		,		
ì	If 10h was answered "Yes." check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520,101	e required	notice or one of the	10i						

TO:18592557664

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	Form 5500-SF 2017 Page 3-						
Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com (Form 5500) and line 11a below).	plete Sch	edule S	В		Yes	∏ No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a				
12	is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code ERISA?	or section	n 302 o	f		Yes	X No
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver	etions, and th	enter Day		f the let Year		ing
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
þ	Enter the minimum regulred contribution for this plan year		12b				
C	Enter the amount contributed by the employer to the plan for this plan year		12c				
đ		of a	12d			,	
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No		V/A
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?			Yes	X	Nο	
	if "Yes," enter the amount of any plan assets that reverted to the employer this year		13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought control of the PBGC?	under the	41.11.11.11		] Yes	X N	)
c	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify twhich assets or liabilities were transferred. (See instructions.)	ho plan(s)	to				
1	3c(1) Name of plan(s):	13c(2)	EIN(s)		130	(3) PN	l(8)
					'		
	No. 11 and 11 an						