Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500-SF. OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For calend	dar plan year 2017 or f	iscal plan year beginning 01/01/20	0 <u>17</u>	and ending 12	2/31/2017			
A This re	eturn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
D =: .		a one-participant plan	a foreign plan					
b This ret	turn/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year return/report (less than 12 months)					
C Check	box if filing under:	Form 5558	automatic extension		DFVC pro	gram		
		special extension (enter descri						
Part II	Basic Plan Info	ormation—enter all requested info	ormation					
1a Name of plan R.E. LEE INTERNATIONAL, LLC 401(K) PLAN					1b Three-oplan nu (PN)	mber		
					1c Effectiv	re date of plan 05/01/2001		
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O.	Boy)		2b Employer Identification Number			
City o	r town, state or province	ce, country, and ZIP or foreign posta		tructions)	(EIN) 91-1957443 2c Sponsor's telephone number			
R.E. LEE INTERNATIONAL, LLC					206-654-2533			
1201 3RD A	VENITE				2d Business code (see instructions)			
SUITE 5113	}				524210			
SEATTLE, WA 98101								
3a Plan administrator's name and address X Same as Plan Sponsor.			3b Administrator's EIN					
				3c Administrator's telephone number				
4 If the	name and/or EIN of th	ne plan sponsor or the plan name ha	s changed since the last	return/report filed for	4b EIN			
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name			the last return/report.	4d PN				
•	C Plan Name							
5a Total number of participants at the beginning of the plan year			5a	18				
					5b	12		
		account balances as of the end of t		= -	5c	12		
d(1) Total number of active participants at the beginning of the plan year					5d(1)	7		
d(2) Total number of active participants at the end of the plan year			5d(2)					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0				
		or incomplete filing of this return						
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.								
SIGN	Filed with authorized	d/valid electronic signature.	07/26/2018	SUSAN DAPRON				
HERE	Signature of plan	administrator	Date	Enter name of individu	f individual signing as plan administrator			
SIGN								
HERE	Signature of emplo	oyer/plan sponsor	Date	Enter name of individu	idual signing as employer or plan sponsor			

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes No		
b								X Yes No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?	[Yes No	Not determined		
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r		. (See instructions.)		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End of	Year		
а	Total plan assets	. 7a	320	3267097			3483878			
b	Total plan liabilities	7b								
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c	320	3267097			3483878			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)								
	(2) Participants		10	109305						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	5	15946						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				625251				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	40	408160						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		310						
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						408470		
i_	Net income (loss) (subtract line 8h from line 8c)	8i						216781		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pa	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Part V Compliance Questions										
10	During the plan year:				Yes	No	An	nount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	Fiduciary Correction	10-		X				
b	Program) Were there any nonexempt transactions with any party-in-interest			10a						
	reported on line 10a.)			10b		X				
				10c	X			327000		
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					Χ				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i						
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Part	VI Pension Funding Compliance					
11						
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12		Yes X No				
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s): 13c(2)				13c(3) PN(s)		