Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Repor	t identification information									
For calenda	ar plan year 2017 or	fiscal plan year beginning 01/01/2	2017		and ending 12	2/31/2	017				
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Fill list of participating employer information in acco											
		a one-participant plan	ai	foreign plan	,			,			
B This retu	urn/report is	the first return/report	the	e final return/report							
		an amended return/report	as	hort plan year return	/report (less than 12 m	rt (less than 12 months)					
C Check b	pox if filing under:	Form 5558	au	tomatic extension	n DFVC program						
	special extension (enter description)										
Part II	Basic Plan Inf	ormation—enter all requested in	formation	on							
1a Name NORCOM C	of plan	OLUTIONS, INC. 401(K) PLAN				1b	Three-digit plan number (PN)	001			
						1c Effective date of plan 01/01/2003					
		loyer, if for a single-employer plan) om, apt., suite no. and street, or P.C	D. Box)			2b Employer Identification Number (EIN) 20-5374333					
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) NORCOM COMMUNICATION SOLUTIONS, INC					2c Sponsor's telephone number 914-747-8855						
200 WHITE PLAINS RD. STE. 330 TARRYTOWN, NY 10591						2d Business code (see instructions) 517000					
3a Plan administrator's name and address X Same as Plan Sponsor.					3b Administrator's EIN						
						3c	Administrator's	telephone number			
this pl	an, enter the plan sp	he plan sponsor or the plan name hat onsor's name, EIN, the plan name a				4b EIN					
a Sponsor's namec Plan Name					4d PN						
5a Total r	number of participant	s at the beginning of the plan year				5	a	9			
_						5	b	10			
Dotal number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			contribution plans	5	С	6					
d(1) Total number of active participants at the beginning of the plan year					5d	(1)	7				
d(2) Total number of active participants at the end of the plan year					5d	(2)	8				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5		0						
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.											
SIGN	Filed with authorize	d/valid electronic signature.		07/20/2018	JOSEPH DENNIS						
HERE	Signature of plan	administrator		Date	Enter name of individ	individual signing as plan administrator					
SIGN											
HERE	Signature of empl	loyer/plan sponsor		Date	Enter name of individe	ual siç	gning as employe	er or plan sponsor			

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes	No		
D	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes	No		
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							_		
С	c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes ☐ No ☐ Not determ							ermined		
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r			. (See instru	ictions.)	
Pa	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	of Year		
а	Total plan assets	. 7a	33	39346				418252		
b	Total plan liabilities	. 7b		0			0			
С	Net plan assets (subtract line 7b from line 7a)	. 7c	33	339346			418252			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b) T	otal		
а	Contributions received or receivable from:	90(4)		0						
	(1) Employers	8a(1)	,	0 26037	-					
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3) 8b		59443	\dashv					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		33443		85480				
	Benefits paid (including direct rollovers and insurance premiums	. 60					03400			
	to provide benefits)		2336							
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0						
f	Administrative service providers (salaries, fees, commissions)	. 8f		4238						
g	Other expenses			0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h					6574			
<u>i</u>	i Net income (loss) (subtract line 8h from line 8c)							78906		
<u>j</u>	Transfers to (from) the plan (see instructions)	ansfers to (from) the plan (see instructions)								
	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2G 2J 2T 3D									
b										
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu	itions withi	n the time period							
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	-	•	10a		X			0	
b	Were there any nonexempt transactions with any party-in-interest			IVa					U	
	reported on line 10a.)			10b		X			0	
С	C Was the plan covered by a fidelity bond?			10c	X			250	000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			0	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance									
	carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			0	
f	f Has the plan failed to provide any benefit when due under the plan?					Χ			0	
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X			0	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i				10i						

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	13c(1) Name of plan(s): 13c(2)			13c(3) PN(s)	