Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Repor	t identification informa	ation							
For calend	dar plan year 2017 or	fiscal plan year beginning 0°	1/01/2017		and ending 1	2/31/2017				
A This re	eturn/report is for:	X a single-employer plan		multiple-employer plan (not multiemployer) (Filers checking this box must attach a st of participating employer information in accordance with the form instructions.)						
	·	a one-participant plan	af	a foreign plan			,			
B This return/report is		the first return/report	e first return/report X the final return/report							
		an amended return/repo	ort a s	hort plan year retu	ırn/report (less than 12 m	months)				
C Check	box if filing under:	Form 5558		tomatic extension		DFVC pro	ogram			
		special extension (enter								
Part II	Basic Plan Inf	ormation—enter all request	ted informatio	n						
1a Name of plan RETIREMENT INCOME SECURITY PLAN-DNA RESPONSE, INC.						1b Three plan n (PN)	umber			
						1c Effective date of plan 03/01/2012				
		loyer, if for a single-employer p				2b Employer Identification Number				
		om, apt., suite no. and street, once, country, and ZIP or foreign		(if foreign, see ins	structions)	(EIN) 90-0715307				
DNA RESP		,,, a <u></u>	. poota. oodo	(o.o.g., ooo		2c Sponsor's telephone number 206-995-8078				
						2d Business code (see instructions)				
411 FIRST A SUITE 205	AVENUE SOUTH					519100				
SEATTLE, \	WA 98104									
3a Plan administrator's name and address Same as Plan Sponsor.					3b Administrator's EIN					
HEALTHEQ	UITY RETIREMENT	STE	V SCENIC PO			20-5354793 3c Administrator's telephone number				
		DRA	APER, UT 840	J20			877-860-2664			
		he plan sponsor or the plan na consor's name, EIN, the plan na				4b EIN	90-0715307			
a Sponsor's name DNA RESPONSE, INC.						4d PN	003			
C Plan NameBENEFITGUARD RETIREMENT INCOME SECURITY PLAN-DNA RESPONSE, INC.										
5a Total	number of participant	ts at the beginning of the plan	year			5a	9			
b Total	number of participant	ts at the end of the plan year				. 5b	0			
		h account balances as of the e				5c	0			
d(1) Total number of active participants at the beginning of the plan year					5d(1)	0				
d(2) Total number of active participants at the end of the plan year					5d(2)	0				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e 0						
Caution:	A penalty for the late	e or incomplete filing of this	return/report	t will be assesse	d unless reasonable ca					
SB or Sch		other penalties set forth in the i and signed by an enrolled actu								
SIGN		ed/valid electronic signature.		07/27/2018	2/27/2018 SPENCER BARCLAY					
HERE	Signature of plan	administrator		Date	Enter name of individ	lual signing a	s plan administrator			
SIGN										
HERE	Signature of emp	lover/plan sponsor		Date	Enter name of individual signing as employer or plan sponsor					

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes	No		
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						X Yes	No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						□			
С	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined									
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.)							ctions.)		
Pa	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year		
a	Total plan assets	. 7a	· · · · · · · · · · · · · · · · · · ·	96833			0			
b	Total plan liabilities	. 7b		0						
С	Net plan assets (subtract line 7b from line 7a)	. 7c	(96833			0			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from:	2 (1)								
	(1) Employers	. 8a(1)		0						
	(2) Participants	. 8a(2) . 8a(3)		0	-					
	(3) Others (including rollovers)			0						
	Other income (loss)			9236			9236			
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				920				
	to provide benefits)	. 8d	10	102014						
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0						
f	Administrative service providers (salaries, fees, commissions)	. 8f		4055						
g	Other expenses			0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)						106069			
<u>_i</u>	i Net income (loss) (subtract line 8h from line 8c)					-96833				
j	Transfers to (from) the plan (see instructions)	· 8j		0						
	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2F 2G 2J 2K 2T 3D									
b										
Par	t V Compliance Questions				•	•				
10	During the plan year:			Ī	Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V									
	Program)		· · · · · · · · · · · · · · · · · · ·	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X			50000	00	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?					Χ				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
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Part '	/I Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	edule S	В	Ye	s No			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a						
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	n 302 o	f 	Ye	s X No			
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b						
C Enter the amount contributed by the employer to the plan for this plan year								
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No	N/A			
Part \	/II Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes	s No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			(
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			X Yes	No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)) to						
1	3c(1) Name of plan(s): 13c(2)) EIN(s)		13c(3) F	PN(s)			