Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500-SF. 1210-0089

OMB Nos. 1210-0110

2017

This Form is Open to **Public Inspection**

Part I		rt Identification Information						
For cale	ndar plan year 2017 or	fiscal plan year beginning 01/01/20)17	and ending 1	2/31/2017			
A This	return/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
B This r	eturn/report is	a one-participant plan	a foreign plan					
	•	the first return/report an amended return/report	the final return/report Ta short plan year return/report (less than 12 months)					
C Chec	k box if filing under:	X Form 5558	automatic extension		DFVC program			
		special extension (enter descrip	otion)					
Part II	Basic Plan Inf	formation—enter all requested info	ormation					
	ne of plan				1b Three-digit			
	•	RS INC 401 K PROFIT SHARING PL	AN TRUST		plan number			
					(PN) ▶	001		
					1c Effective date of plan 01/01/2010			
Mail	ing address (include ro	oloyer, if for a single-employer plan)		w.cationa)	2b Employer Identification Number (EIN) 20-4995307			
-	RESEARCH PARTNE	nce, country, and ZIP or foreign posta	i code (ii ioreign, see inst	ructions)	2c Sponsor's telephone number 425-239-2379			
				2d Business code (see instructions)				
	3 DRIVE SE				541600			
LAKE STE	EVENS, WA 98258							
3a Plan	administrator's name	and address X Same as Plan Spons	sor.		3b Administrator'	s EIN		
					3c Administrator'	s telephone number		
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN				
	nsor's name	, , ,	•	,	4d PN			
C Plan Name								
5a Tota	5a Total number of participants at the beginning of the plan year				5a			
b Tota	al number of participan	ts at the end of the plan year			5b	1		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c	1				
d(1) Total number of active participants at the beginning of the plan year					5d(1)	-		
d(2) Total number of active participants at the end of the plan year					5d(2)	1		
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0			
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule								
SB or Sc	enalties of perjury and chedule MB completed is true, correct, and cor	and signed by an enrolled actuary, as	ions, I declare that I have s well as the electronic ve	examined this return/re rsion of this return/repor	port, including, if apprit, and to the best of	blicable, a Schedule my knowledge and		
SIGN		ed/valid electronic signature.	07/30/2018	JAMA RAND				
HERE	Signature of plan	administrator	Date	Enter name of individ	idual signing as plan administrator			
SIGN								

Date

Signature of employer/plan sponsor

HERE

Enter name of individual signing as employer or plan sponsor

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b Total plan liabilities	b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year					X Yes	No ermined		
7 Plan Assets and Liabilities	Pa	rt III Financial Information								
a Total plan assets	7			(a) Reginning	of Voor	. [(b) Er	nd of Vear	
b Total plan liabilities	_ <u></u>		72	(a) Beginning			(b) End of Year 8546			
C Net plan assets (subtract line 7b from line 7a)							0			
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers					7381				8546	
a Contributions received or receivable from: (i) Employers				(a) Amour	nt					
(2) Participants				(2,7 : 222 22					<u> </u>	
(3) Others (including rollovers)		(1) Employers	. 8a(1)				_			
b Other income (loss)		(2) Participants	. 8a(2)							
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)			. 8a(3)							
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). e Certain deemed and/or corrective distributions (see instructions) 8e		` /	. 8b		1165	_				
e Certain deemed and/or corrective distributions (see instructions)			. 8c						1165	
f Administrative service providers (salaries, fees, commissions)	d		. 8d		0					
g Other expenses (add lines 8d, 8e, 8f, and 8g)	e	Certain deemed and/or corrective distributions (see instructions)	. 8e		0					
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	. 8f		0					
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	. 8g		0					
Transfers to (from) the plan (see instructions)	h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h				0			
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	i	Net income (loss) (subtract line 8h from line 8c)	. 8i				1165			
Part IV Plan Characteristics	j	Transfers to (from) the plan (see instructions)	- 8j	0						
9a	Pai									
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10g X h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:								
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	b									
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions								
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10	During the plan year:				Yes	No		Amount	
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction				X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? • Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) • Ide to the plan failed to provide any benefit when due under the plan? • Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) • In this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions				X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	С	C Was the plan covered by a fidelity bond?			X			200	000	
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) Has the plan failed to provide any benefit when due under the plan? Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) H If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused				Х				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under				X				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	f Has the plan failed to provide any benefit when due under the plan? 10f				X				
2520.101-3.)	g					X				
	h				10h		X			
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	i				10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No	
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b Enter the minimum required contribution for this plan year						
C Enter the amount contributed by the employer to the plan for this plan year						
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
е	e Will the minimum funding amount reported on line 12d be met by the funding deadline?				N/A	
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to				
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)	