Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

1210-0089

2017

OMB Nos. 1210-0110

This Form is Open to **Public Inspection**

Part I	Annual Repor	t Identification Information					
For calen	dar plan year 2017 or t	fiscal plan year beginning 01/01/201	7	and ending 1	12/31/2017		
A This re	eturn/report is for:	x a single-employer plan	¬ ' ' ~		(Filers checking this bo accordance with the form		
B This re	turn/report is	a one-participant plan	a foreign plan				
		the first return/report an amended return/report	the final return/report a short plan year return	n/report (less than 12 n	nonths)		
C Check	box if filing under:	Form 5558	automatic extension		DFVC program		
		special extension (enter descript	ion)				
Part II	Basic Plan Infe	ormation—enter all requested infor	mation				
1a Name LOZIER HO	•	N 401(K) RETIREMENT PLAN			1b Three-digit plan number (PN) ▶	001	
					1c Effective date o	f plan 1/1993	
Mailir	ng address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O. I		ructions)	2b Employer Identi (EIN) 91-0	fication Number 841642	
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) LOZIER HOMES CORPORATION					2c Sponsor's telep		
				2d Business code (see instructions)			
1300 114TH AVENUE SE, STE 100 BELLEVUE, WA 98004					236110		
3a Plan	administrator's name a	and address X Same as Plan Sponso	or.		3b Administrator's	EIN	
					3c Administrator's	telephone number	
		ne plan sponsor or the plan name has onsor's name, EIN, the plan name and			4b EIN		
a Spon c Plan	sor's name Name				4d PN		
5a Tota	I number of participant	s at the beginning of the plan year			. 5a	15	
b Tota	I number of participant	s at the end of the plan year			5b	15	
		account balances as of the end of the			5c	15	
d(1) To	otal number of active pa	articipants at the beginning of the plan	year		. 5d(1)	11	
		articipants at the end of the plan year.			5d(2) 11		
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0		
Under per SB or Sch	nalties of perjury and c	e or incomplete filing of this return/r other penalties set forth in the instruction and signed by an enrolled actuary, as applete.	ons, I declare that I have	examined this return/re	eport, including, if appli		
SIGN	Filed with authorize	d/valid electronic signature.	07/23/2018	GARY SANFORD			
HERE	Signature of plan	administrator	Date	Enter name of individ	dual signing as plan adı	ministrator	
SIGN							

Date

Signature of employer/plan sponsor

HERE

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes	No
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								No
	If you answered "No" to either line 6a or line 6b, the plan cann							X Yes	_
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes No	Not deter	mined
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r			. (See instruc	tions.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year	
а	Total plan assets	. 7a		65729			` '	4140332	
b	Total plan liabilities	. 7b							
С	Net plan assets (subtract line 7b from line 7a)	. 7c	330	65729				4140332	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) 1	Γotal	
а	Contributions received or receivable from:	90(4)	,	22400					
	(1) Employers	8a(1)		23400 12942					
	(2) Participants	8a(2)	1.	+2342					
	(3) Others (including rollovers)	8a(3) 8b	6	52941					
	· · · · · · · · · · · · · · · · · · ·		0.	J2341				819283	
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						013203	
	to provide benefits)	. 8d	;	30718					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e							
f	Administrative service providers (salaries, fees, commissions)	. 8f	13962						
g	Other expenses	. 8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h						44680	
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	. 8i						774603	
	Transfers to (from) the plan (see instructions)	· 8j							
	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2T 3D 3H	feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acteris	tic Coc	des in the instr	uctions:	
Par	t V Compliance Questions				ı	ı	ı		
10	During the plan year:		0 0 1		Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V								
	Program)			10a	X			1684	19
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	X			50000	00
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	•		10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	Has the plan failed to provide any benefit when due under the pla			10f		Χ			
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-e	end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					
									

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	nedule S	B	Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2	2) EIN(s)		13c(3) PN(s)

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		dentification Information	to the			
For calend	dar plan year 2017 or fisc	al plan year beginning	01/01/2017	and ending	12/31/	2017
A This re	eturn/report is for:	a single-employer plan		lan (not multiemployer) nployer information in a		
D =::	L	a one-participant plan	a foreign plan			
B This ref	turn/report is	the first return/report	the final return/report			
	[an amended return/report	a short plan year retur	n/report (less than 12 m	nonths)	
C Check	box if filing under:	Form 5558	automatic extension		DFVC program	1
	[special extension (enter descri	ption)			
Part II	Basic Plan Inform	nation—enter all requested info	omation			
1a Name					1b Three-digit	
Lozier	Homes Corporat	cion			plan numbe	er
	Retirement Pla				(PN) ▶	001
		***			1c Effective da	ite of plan
			*		01/01/1	993
		r, if for a single-employer plan) apt., suite no. and street, or P.O.	David			lentification Number
		country, and ZIP or foreign posta		ructions)	(EIN)91-0	841642
	Homes Corporat		r codo (il rorolgii, coo illoti	4000010)		elephone number
						64-8690 ode (see instructions)
1300 1	14th Avenue SE,	Ste 100				, a a (a a a a a a a a a a a a a a a a
Bellev	nie.		AW	98004	236110	
		address X Same as Plan Spons		30001	3b Administrate	or'e EIN
ou i iuii c	and and	address A dame as rian opons	501.		JD Administrati	JI S LIN
					3c Administrate	or's telephone number
4 If the	name and/or EIN of the p	lan sponsor or the plan name has	s changed since the last re	eturn/report filed for	4b EIN	A 11 11 (1
this p	lan, enter the plan spons	or's name, EIN, the plan name an	d the plan number from th	ne last return/report.		
A 55.00 12.00 1.00 1.00 1.00 1.00 1.00 1.00	sor's name			2	4d PN	
C Plan N	Name					
Fo. T-4-1				154 5 255	5a	1.5
		the beginning of the plan year				15
		the end of the plan year			5b	15
comp	lete this item)	count balances as of the end of th	e plan year (only defined	contribution plans	5c	15
		ipants at the beginning of the plan			5d(1)	11
d(2) Tot	al number of active partic	ipants at the end of the plan year			5d(2)	11
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				nefits that were less	5e	0
Caution: A	A penalty for the late or	incomplete filing of this return/	report will be assessed	unless reasonable cau	use is established	
Under pens SB or Sche	alties of perjury and other	penalties set forth in the instructi signed by an enfolled actuary, as	ons, I declare that I have	examined this return/re	port, including, if a	oplicable, a Schedule
SIGN	LAZL	11/	7/23/2018	Gary Sanford		
HERE	Signature of plan adm	ninistrator	Date	Enter name of individu	ial signing as plan	administrator
SICN	Signature on plan aun	ottatoi	Date	Litter name of mulvior	aar signing as plan	aummonatui
SIGN						
	Signature of employe	r/plan sponsor	Date	Enter name of individu	ual signing as emp	loyer or plan sponsor

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Page	2
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6a b	Were all of the plan's assets during the plan year invested in eligible. Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cannot be a second to the plan cannot be a se	an independ and condition	dent qualified public ons.)	accour	ntant (I	QPA)	X Yes No
С	If the plan is a defined benefit plan, is it covered under the PBGC in						
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC pre	emium filing for this p	olan ye	ar		(See instructions.)
	rt III Financial Information	and the second					
	Plan Assets and Liabilities		(a) Beginning				(b) End of Year
	Total plan assets	7a	3,	365,	729		4,140,332
	Total plan liabilities	7b					
	Net plan assets (subtract line 7b from line 7a)	7c	3,	365,	729		4,140,332
The second	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt			(b) Total
а	Contributions received or receivable from: (1) Employers	90(4)		22	100		
		8a(1)	23,400			0	
	(2) Participants	8a(2)	142,942				
	(3) Others (including rollovers)	8a(3)		CEO	0.41		
	Other income (loss)	8b		652,	941		
d	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) Benefits paid (including direct rollovers and insurance premiums	8c					819,283
	to provide benefits)	8d		30,	718		
1782	Certain deemed and/or corrective distributions (see instructions) \dots	8e					
f_	Administrative service providers (salaries, fees, commissions)	8f		13,	962		
	Other expenses	8g					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					44,680
i	Net income (loss) (subtract line 8h from line 8c)	8i					774,603
j	Transfers to (from) the plan (see instructions)	8j					
Par	t IV Plan Characteristics						***************************************
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2T 3D 3H	feature code	es from the List of PI	an Cha	racteri	stic Code	es in the instructions:
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature codes	from the List of Pla	n Char	acteris	tic Codes	in the instructions:
Part	t V Compliance Questions				-117		
10	During the plan year:	***			Yes	No	Amount
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V. Program)	oluntary Fid	uciary Correction	10a	Х		16,849
b	Were there any nonexempt transactions with any party-in-interest? reported on line 10a.)	? (Do not inc	clude transactions	10b		Х	20,013
С	Was the plan covered by a fidelity bond?			10c	Х		500,000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bond	, that was caused	10d		Х	300,000
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides somethe plan? (See instructions.)	er persons to e or all of the	by an insurance be benefits under	10e		Х	
f	Has the plan failed to provide any benefit when due under the plan			10f		Х	
g	Did the plan have any participant loans? (If "Yes," enter amount as						
	If this is an individual account plan, was there a blackout period? (2520.101-3.)	See instruct	ions and 29 CFR	10g 10h		X	
i	If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101	e required n	otice or one of the	10ii		X	

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Part	VI Pension Funding Compliance							
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
_11a		11a						
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year							
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b		*	A TRACTOR			
	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
e	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part '	VII Plan Terminations and Transfers of Assets				_			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			_			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No				
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) PN(s)				