Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information							
For calendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017									
A This re	turn/report is for:	x a single-employer plan) (Filers checking this box must attach a accordance with the form instructions.)					
		a one-participant plan	a foreign plan						
B This reti	urn/report is	the first return/report	the final return/report						
	an amended return/report a short plan year return/report (less than 12 months)								
C Check	box if filing under:	Form 5558	automatic extension		DFVC pro	gram			
		special extension (enter desc							
Part II	Basic Plan Info	ormation—enter all requested in	formation		T				
1a Name of plan STEP INTO STRIDE PHYSICAL THERAPY PC 401K PLAN						digit umber 001			
					1c Effective date of plan 01/01/2011				
		yer, if for a single-employer plan) m, apt., suite no. and street, or P.0	D. Box)		2b Employer Identification Number (EIN) 20-2250570				
-	r town, state or province STRIDE PHYSICAL T	ee, country, and ZIP or foreign pos HERAPY PC	tal code (if foreign, see ins	structions)	2c Sponsor's telephone number 718-921-8780				
					2d Business code (see instructions)				
463 DAVIS A	AVENUE SLAND, NY 10310				621340				
STANTON	3EAND, NT 10310								
3a Plan administrator's name and address X Same as Plan Sponsor.					3b Administrator's EIN				
					3c Administrator's telephone number				
					OO Admini	strator s telephone number			
					41				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.					4b EIN				
a Sponsor's name					4d PN				
C Plan Name									
5a Total number of participants at the beginning of the plan year					5a	3			
b Total number of participants at the end of the plan year				5b	1				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	1				
d(1) Total number of active participants at the beginning of the plan year					5d(1)	2			
d(2) Total number of active participants at the end of the plan year					5d(2)	0			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0			
Caution: A	A penalty for the late	or incomplete filing of this retur	n/report will be assessed	d unless reasonable car					
SB or Sche		her penalties set forth in the instru nd signed by an enrolled actuary, a plete.							
SIGN	Filed with authorized	/valid electronic signature.	07/31/2018	BRIAN MABREY					
HERE	Signature of plan a	ndministrator	Date	Enter name of individ	individual signing as plan administrator				
SIGN	Filed with authorized	/valid electronic signature.	07/31/2018	BRIAN MABREY					
HERE	Signature of emplo	oyer/plan sponsor	Date	Enter name of individ	employer or plan sponsor				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes No			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes No		
С	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not de									
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year							(See instructions.)		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Voor			(b) End	of Vear		
<u>'</u>	Total plan assets	7a		73153			End of Year 115309			
<u>u</u>	Total plan liabilities	7b		0				0		
С	Net plan assets (subtract line 7b from line 7a)	7c	1	173153			115309			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total				
а	Contributions received or receivable from: (1) Employers	8a(1)	• •	113						
	(2) Participants	8a(2)		113						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		21182						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						21408		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		73046						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	Administrative service providers (salaries, fees, commissions) 8f			6206					
g	Other expenses 8g			0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						79252		
<u>i</u>	i Net income (loss) (subtract line 8h from line 8c)							-57844		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0						
Pai	Part IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2J 2K 2R 3D	feature co	des from the List of Pl	an Cha	racteris	stic Co	odes in the ins	tructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	les in the instr	uctions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest			iva						
	reported on line 10a.)			10b		Χ				
С	Was the plan covered by a fidelity bond?			10c	Χ			20000		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	Has the plan failed to provide any benefit when due under the plan?			10f		Χ				
g	J Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	Χ			0		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
				_						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В	Yes X No		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?			Yes X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)					
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver			of the letter ruling Year		
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		(
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to				
13c(1) Name of plan(s): 13c(2)				13c(3) PN(s)		

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Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

► Complete all entries in accordance with the instructions to the Form 5500-SF.

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Annual Report Identification Information For calendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017 a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach A This return/report is for: a list of participating employer information in accordance with the form instructions.) a one-participant plan a foreign plan **B** This return/report is: the first return/report the final return/report an amended return/report a short plan year return/report (less than 12 months) DFVC program Form 5558 automatic extension C Check box if filing under: special extension (enter description) Part II Basic Plan Information --- enter all requested information **1b** Three-digit 1a Name of plan plan number STEP INTO STRIDE PHYSICAL THERAPY PC 401K PLAN 001 (PN) ▶ 1c Effective date of plan 01/01/2011 Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing Address (include room, apt., suite no. and street, or P.O. Box) (EIN) 20-2250570 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 2c Sponsor's telephone number STEP INTO STRIDE PHYSICAL THERAPY PC (718) 921-8780 2d Business code (see instructions) 463 DAVIS AVENUE 621340 US STANTON ISLAND NY 10310 Plan administrator's name and address X Same as Plan Sponsor 3b Administrator's EIN 3c Administrator's telephone number 4b EIN If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. Sponsor's name **4d** PN Plan Name 5a Total number of participants at the beginning of the plan year 5a 3 5b Total number of participants at the end of the plan year 1 Number of participants with account balances as of the end of the plan year (only defined contribution plans 1 complete this item) d(1) Total number of active participants at the beginning of the plan year 5d(1) 2 5d(2) 0 **d(2)** Total number of active participants at the end of the plan year Number of participants who terminated employment during the plan year with accrued benefits that were 5e O less than 100% vested Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule

SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

Date 7/31/18

of individual/signing

Enter name of individual signing as employer or plan sponsor

HERE 7/31/18 Signature of employer/plan sponsor For Paperwork Reduction Act Notice, see the instructions for Form 5500-SF.

belief, it is true, correct, and complete.

Signature of plan administrator

SIGN

HERE

SIGN

Form 5500-SF (2017)

as plan administrator

SIGN HERE

SIGN HER

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6a	Were all of the plan's assets during the plan year invested in eligible	,	,				••••••	•••••	X Yes	No
b	Are you claiming a waiver of the annual examination and report of ar	•			•	,				N. 1 -
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility ar							••••••	X Yes	NO
c	If you answered "No" to either line 6a or line 6b, the plan canno If the plan is a defined benefit plan, is it covered under the PBGC ins							□ No 「	Not deterr	minad
C			= '			_				
	If "Yes" is checked, enter the My PAA confirmation number from the	PBGC pre	emium filing for this year					(5	ee instruction	S.)
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of	Yea	r			(b) End of	Year	
а	Total plan assets	7a	17	3,1	53	115,309				
b	Total plan liabilities	7b		0				0		
С	Net plan assets (subtract line 7b from line 7a)	7c	17	3,1	53	115,309				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount					(b) To	tal	
а	Contributions received or receivable from:	8a(1)		1	13					
	(1) Employers	8a(2)			13 13					
	(2) Participants	8a(3)			0					
b	Other income (loss)	8b	2	1,1						
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					21,408			
d	Benefits paid (including direct rollovers and insurance premiums	- 55							21,400	,
	to provide benefits)	8d	7	3,0	46					
е	Certain deemed and/or corrective distributions (see instructions)	8e			0					
f	Administrative service providers (salaries, fees, commissions)	8f		6,2	06					
g	Other expenses	8g			0					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					79,252			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							(57,844)	<u> </u>
<u>_i</u> _	Transfers to (from) the plan (see instructions)	8j			0					
Pa	rt IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension fe	ature code	es from the List of Plan Ch	aract	eristic	Code	s in the	instruction	is:	
	2A 2E 2G 2J 2K 2R 3D									
b	If the plan provides welfare benefits, enter the applicable welfare fea	ture codes	s from the List of Plan Cha	racte	ristic (Codes	in the i	nstructions	i:	
Pa	rt V Compliance Questions									
<u>10</u>	During the plan year:				Yes	No	N/A	Α	mount	
а	Was there a failure to transmit to the plan any participant contribut	ions withir	the time period							
	described in 29 CFR 2510.3-102? (See instructions and DOL's Vo	luntary Fid	duciary Correction							
	Program)			10a		x				
t	Were there any nonexempt transactions with any party-in-interest? reported on line 10a.)			10b		x				
				10c	x				20,	000
	by fraud or dishonesty?	,	′	10d		x				
e	Were any fees or commissions paid to any brokers, agents, or other	er persons	s by an insurance							
	carrier, insurance service, or other organization that provides some			10e		x				
	the plan? (See instructions.)									
f	Has the plan failed to provide any benefit when due under the plan?					Х				
0	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				Х					0
r	, , , , , , , , , , , , , , , , , , , ,			46.		<u>.</u> _				
	2520.101-3.)			10h		x				
İ	, , , , , , , , , , , , , , , , , , , ,									
	CACCPRIONS to providing the notice applied under 25 OFK 2520.101	••••••	••••••	10i		<u> </u>				