Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2017

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ___

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

1b Three-digit plan number (PN) ▶ 002

1c Effective date of plan 06/30/2001

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)

ROCKWELL COLLINS INC.

400 COLLINS ROAD, MAIL CODE 124-318
CEDAR RAPIDS, IA 52498

2b Employer Identification Number (EIN)
52-2314475

2c Plan Sponsor's telephone number
714-929-3432

2d Business code (see instructions)
335900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	08/14/2018	ROSA BARONE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	08/14/2018	ROSA BARONE
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

3a Plan administrator's name and address [X] Same as Plan Sponsor

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report:
a Sponsor's name
c Plan Name

4b EIN

4d PN

5 Total number of participants at the beginning of the plan year	**5**	20604
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	15293
a(2) Total number of active participants at the end of the plan year	**6a(2)**	15270
b Retired or separated participants receiving benefits	**6b**	233
c Other retired or separated participants entitled to future benefits	**6c**	5101
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**	**6d**	20604
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	69
f Total. Add lines **6d** and **6e**.	**6f**	20673
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	20587
h Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	393
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2O 2S 2T 3F 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[ ]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]		**H** (Financial Information)
(2)	[ ]		**I** (Financial Information – Small Plan)
(3)	[ ]	0	**A** (Insurance Information)
(4)	[X]		**C** (Service Provider Information)
(5)	[X]		**D** (DFE/Participating Plan Information)
(6)	[ ]		**G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) .....…… ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ……..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2017 Form M-1 annual report. If the plan was not required to file the 2017 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2017** **This Form is Open to Public Inspection.**

For calendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017

A Name of plan
ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS INC.

D Employer Identification Number (EIN)
52-2314475

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FID INV INST OPS CO

04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FID INV INST OPS CO

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 38 50 64 65 99 21	NONE	955291	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS, INC

04-2654524

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 50	NONE	881155	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

NEPC, LLC

26-1429809

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 51	NONE	116226	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

GRANT THORNTON LLP

36-6055558

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	51786	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2017

This Form is Open to Public Inspection.

For calendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017

A Name of plan
ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS INC.

D Employer Identification Number (EIN)
52-2314475

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT INCM		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-185	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	24788873
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2015		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-189	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	40845015
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2020		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-191	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	151558913
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2025		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-193	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	174102425
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2030		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-195	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	162788588
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2035		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-197	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	93588720
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2040		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-199	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	94760567

a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2045		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-201	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	54718445
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2050		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-203	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	51152337
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2055		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-321	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	14924848
a Name of MTIA, CCT, PSA, or 103-12 IE:	STATE STREET TARGET RETIREMENT 2060		
b Name of sponsor of entity listed in (a):	STATE STREET BANK AND TRUST COMPANY		
c EIN-PN 90-0337987-418	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	2486476
a Name of MTIA, CCT, PSA, or 103-12 IE:	BGI 1-3 CREDIT BOND INDEX		
b Name of sponsor of entity listed in (a):	BLACKROCK INST. TRUST CO		
c EIN-PN 27-0382964-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	23182305
a Name of MTIA, CCT, PSA, or 103-12 IE:	BGI 1-3 GOVT BOND INDEX FUND		
b Name of sponsor of entity listed in (a):	BLACKROCK INST. TRUST CO		
c EIN-PN 94-6052283-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	26694280
a Name of MTIA, CCT, PSA, or 103-12 IE:	BGI ASSET BACKED SEC INDEX FUND		
b Name of sponsor of entity listed in (a):	BLACKROCK INST. TRUST CO		
c EIN-PN 94-3149402-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	34239419
a Name of MTIA, CCT, PSA, or 103-12 IE:	BGI COMM MORTGAGE BACKED SEC FUND		
b Name of sponsor of entity listed in (a):	BLACKROCK INST. TRUST CO		
c EIN-PN 94-3333402-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	6667150
a Name of MTIA, CCT, PSA, or 103-12 IE:	BGI INTERMEDIATE GOVT BOND INDEX		
b Name of sponsor of entity listed in (a):	BLACKROCK INST. TRUST CO		
c EIN-PN 94-3118548-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	13125517
a Name of MTIA, CCT, PSA, or 103-12 IE:	BGI INT TERM CRED BOND INDEX FUND		
b Name of sponsor of entity listed in (a):	BLACKROCK INST. TRUST CO		
c EIN-PN 94-3118549-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	42374075

a Name of MTIA, CCT, PSA, or 103-12 IE: PRU CORE CONSERV INT BD FD

b Name of sponsor of entity listed in (a): PRUDENTIAL TRUST COMPANY

c EIN-PN 23-6994310-156	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 20332489

a Name of MTIA, CCT, PSA, or 103-12 IE: BGI MORT BACKED SEC INDEX FUND

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST CO.

c EIN-PN 94-6581672-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 43753370

a Name of MTIA, CCT, PSA, or 103-12 IE: FIDELITY MID-CAP STOCK COMM POOL

b Name of sponsor of entity listed in (a): FIDELITY MANAGEMENT TRUST COMPANY

c EIN-PN 04-3022712-144	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 206545810

a Name of MTIA, CCT, PSA, or 103-12 IE: FIDELITY BLUE CHIP GROWTH COMM POOL

b Name of sponsor of entity listed in (a): FIDELITY MANAGEMENT TRUST COMPANY

c EIN-PN 04-3022712-142	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 174543936

a Name of MTIA, CCT, PSA, or 103-12 IE: FIDELITY DIV INTL COMM POOL

b Name of sponsor of entity listed in (a): FIDELITY MANAGEMENT TRUST COMPANY

c EIN-PN 04-3022712-134	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 101028868

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2017

This Form is Open to Public Inspection

For calendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017

A Name of plan
ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS INC.

D Employer Identification Number (EIN)
52-2314475

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	15169670	12749161
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	100483	66484
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	15385415	18667700
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	41955222	37903554
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	154058631	140123438
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	35238904	30256563
(9) Value of interest in common/collective trusts	1c(9)	1271742256	1558202426
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	1468017974	1750796545
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	107822	1393528

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	**1d(1)**	481232816	653849128
	(2) Employer real property	**1d(2)**		
e	Buildings and other property used in plan operation	**1e**		
f	Total assets (add all amounts in lines 1a through 1e)	**1f**	3483009193	4204008527
	Liabilities			
g	Benefit claims payable	**1g**		
h	Operating payables	**1h**	359980	297358
i	Acquisition indebtedness	**1i**		
j	Other liabilities	**1j**		
k	Total liabilities (add all amounts in lines 1g through1j)	**1k**	359980	297358
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	**1l**	3482649213	4203711169

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	**2a(1)(A)**	103419927	
	(B) Participants	**2a(1)(B)**	135961024	
	(C) Others (including rollovers)	**2a(1)(C)**	8628678	
	(2) Noncash contributions	**2a(2)**		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	**2a(3)**		248009629
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	**2b(1)(A)**	116063	
	(B) U.S. Government securities	**2b(1)(B)**		
	(C) Corporate debt instruments	**2b(1)(C)**		
	(D) Loans (other than to participants)	**2b(1)(D)**		
	(E) Participant loans	**2b(1)(E)**	1406403	
	(F) Other	**2b(1)(F)**		
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	**2b(1)(G)**		1522466
	(2) Dividends: **(A)** Preferred stock	**2b(2)(A)**		
	(B) Common stock	**2b(2)(B)**	8504962	
	(C) Registered investment company shares (e.g. mutual funds)	**2b(2)(C)**	73410581	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	**2b(2)(D)**		81915543
	(3) Rents	**2b(3)**		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	**2b(4)(A)**	148095911	
	(B) Aggregate carrying amount (see instructions)	**2b(4)(B)**	128248226	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	**2b(4)(C)**		19847685
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	**2b(5)(A)**		
	(B) Other	**2b(5)(B)**	210000632	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	**2b(5)(C)**		210000632

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		215748615
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		227045972
c Other income	2c		196033
d Total income. Add all **income** amounts in column (b) and enter total	2d		1004286575
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	279599301	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		279599301
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		1655782
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)	51786	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	997381	
(4) Other	2i(4)	920369	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		1969536
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		283224619
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		721061956
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [X] Unqualified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [ ] Yes [X] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: GRANT THORNTON LLP **(2)** EIN: 36-6055558

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		25000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? ☐ Yes ☒ No
If "Yes," enter the amount of any plan assets that reverted to the employer this year _____.

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2017

This Form is Open to Public Inspection.

For calendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017

A Name of plan
ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
ROCKWELL COLLINS INC.

D Employer Identification Number (EIN)
52-2314475

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☒ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☒ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☒ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 11-K

ANNUAL REPORT PURSUANT TO SECTION 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

For the Fiscal Year Ended December 31, 2017

ROCKWELL COLLINS Retirement Savings Plan

Rockwell Collins, Inc.
(Exact name of registrant as specified in its charter)

Delaware	52-2314475
(State or other jurisdiction of incorporation or organization)	(I.R.S. Employer Identification No.)
400 Collins Road NE Cedar Rapids, Iowa (Address of principal executive offices)	52498 (Zip Code)

Registrant's telephone number, including area code: (319) 295-6835
(Office of the Corporate Secretary)

Rockwell Collins Retirement Savings Plan

EIN#: 52-2314475, Plan #: 002

Financial Statements as of and for the
Years Ended December 31, 2017 and 2016,
Supplemental Schedule as of
December 31, 2017 and Report of Independent
Registered Public Accounting Firm

ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

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Grant Thornton LLP
200 South Sixth Street, Suite 1400
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REPORT OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS

Plan Administrator and Plan Participants
Rockwell Collins Retirement Savings Plan

We have audited the accompanying financial statements of Rockwell Collins Retirement Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2017 and 2016, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's responsibility for the financial statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

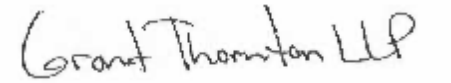
We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2017 and 2016, and the changes in net assets available for benefits for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Supplementary information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of assets (held at end of year) as of December 31, 2017, is presented for purposes of additional analysis and is not a required part of the financial statements, but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such supplementary information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures. These additional procedures included comparing and reconciling the information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the supplemental schedule is fairly stated, in all material respects, in relation to the financial statements as a whole.



Minneapolis, Minnesota
June 27, 2018

ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2017 AND 2016

	2017	2016
ASSETS:		
Non-interest bearing cash	$ 12,749,161	$ 15,169,670
Investments at fair value	3,898,938,722	3,158,280,376
Investments at contract value	261,997,597	274,219,760
Receivables:		
Notes receivable from participants	30,256,563	35,238,904
Other receivables	66,484	100,483
Total receivables	30,323,047	35,339,387
Total assets	4,204,008,527	3,483,009,193
LIABILITIES:		
Accrued expenses	(297,358)	(359,980)
NET ASSETS AVAILABLE FOR BENEFITS	$ 4,203,711,169	$ 3,482,649,213

See notes to financial statements.

ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEARS ENDED DECEMBER 31, 2017 AND 2016

	2017	2016
Investment income:		
Net appreciation in value of investments	$ 674,772,246	$ 174,904,360
Interest and dividends	79,902,264	52,587,107
Net Investment income	754,674,510	227,491,467
Contributions:		
Participant	135,961,024	132,731,907
Employer	103,419,927	95,764,078
Rollover	8,628,678	15,047,893
Total contributions	248,009,629	243,543,878
Interest from notes receivable from participants	1,406,403	1,612,653
Other income	196,033	296,122
Total additions	1,004,286,575	472,944,120
Deductions:		
Benefit payments	(281,255,083)	(279,649,885)
Administrative expenses	(1,969,536)	(2,231,308)
Total deductions	(283,224,619)	(281,881,193)
Transfers:		
Net transfers from merged plans	—	1,994,911
NET INCREASE IN NET ASSETS AVAILABLE FOR BENEFITS	721,061,956	193,057,838
NET ASSETS AVAILABLE FOR BENEFITS, BEGINNING OF YEAR	3,482,649,213	3,289,591,375
NET ASSETS AVAILABLE FOR BENEFITS, END OF YEAR	$4,203,711,169	$3,482,649,213

See notes to financial statements.

ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

NOTES TO FINANCIAL STATEMENTS
FOR THE YEARS ENDED DECEMBER 31, 2017 AND 2016

1. DESCRIPTION OF PLAN

This brief description of the Rockwell Collins Retirement Savings Plan (the Plan) is provided for general information purposes only. Participants should refer to the Plan document for more complete information.

General – The Plan is a defined contribution plan sponsored by Rockwell Collins, Inc. (the Company). The Rockwell Collins Employee Benefit Plan Committee controls and manages the operation and administration of the Plan. The assets are held in custody with Fidelity Management Trust Company (the Trustee). The Employee Benefit Plan Committee of the Company selects the investment options available to participants. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA).

The Plan is comprised of the following three sub-plans:

- Salaried and Certain Hourly Employees (the Salaried sub-plan)
- Bargaining Unit Employees (the Bargaining Unit sub-plan)
- IMS Union Employees (the IMS Union sub-plan)

Each sub-plan maintains its own existing requirements and qualifications, as described below.

The Company acquired International Communications Group, Inc. (ICG) on August 6, 2015. ICG employees became eligible to participate in the Salaried sub-plan on January 1, 2016. Assets of $1,994,911 from the ICG Savings Plan were transferred into the Plan on March 28, 2016.

Eligibility – All U.S. based employees, except high school interns, are eligible to participate in the Plan immediately upon hire.

Contributions – The Plan provides that eligible employees may contribute up to a maximum of 50 percent of eligible compensation, as defined for each sub-plan. Eligible participants may make pre-tax or after-tax contributions, up to Internal Revenue Code (IRC) specified limits. Pre-tax contributions by highly compensated participants are limited to 20 percent of the participant's eligible compensation for the Salaried sub-plan and eight percent of the participant’s eligible compensation for the Bargaining Unit and IMS Union sub-plans. Participants age 50 and over are allowed to contribute an additional amount as pre-tax catch-up contributions, as specified in the IRC.

Company matching contributions are calculated each pay period, according to the following table:

Sub-plan	Company Contribution %	Basis
Salaried	62.5%	First 8% of eligible compensation contributed by participant
Bargaining Unit	50.0%	First 6% of eligible compensation contributed by participant
IMS Union	Not eligible	Not eligible

Company matching contributions are not made on the catch-up contributions discussed above.

Participant contributions are allocated according to the investment fund elections of the participant, while Company matching contributions are made to the Rockwell Collins Stock Fund. Participants may elect to transfer all or a portion of their balances in the Rockwell Collins Stock Fund to any of the available investment funds at any time.

Newly eligible employees are automatically enrolled at a six percent pre-tax contribution rate in the Salaried sub-plan and at a one percent pre-tax contribution rate in the Bargaining Unit sub-plan. Newly eligible IMS Union employees are not automatically enrolled in the IMS Union sub-plan. Employees in the Salaried sub-plan with hire dates after January 1, 2016 contributing less than ten percent who have been automatically enrolled in the Plan will have their contribution rates increased by one percent each year on the anniversary of their eligibility unless they opt out of the annual increase program. Each May, employees contributing less than six percent to the Bargaining Unit sub-plan will have their contribution rates increased by one percent each year unless they opt out of the annual increase program. The IMS Union sub-plan does not automatically increase contribution percentages. For those participants who do not select an investment option, their contributions are invested in the

default fund, which is the State Street Target Retirement Fund closest to the date the participant turns age 65. Participants may elect to change their contribution rate or transfer all or a portion of their balances to any of the available investment funds at any time.

Salaried sub-plan eligible employees receive Company retirement contributions each pay period, which are invested in the same investment funds and the same allocations as the participant's contributions to the Salaried sub-plan. Company retirement contributions are calculated as a percentage of retirement contribution eligible compensation, based on points corresponding to age plus years and months of credited service as follows:

Points	Contribution %
0-34	0.5%
35-44	1.0%
45-54	2.0%
55-64	3.5%
65-74	5.0%
75 & over	6.0%

A Bargaining Unit sub-plan agreement was reached in May 2013 between the Company and the International Brotherhood of Electrical Workers locals 1362 and 1634, as well as the International Union of Electric, Electrical, Salaried, Machine and Furniture Workers - Communications Workers of America local 86787. The contract covers a five year period from May 4, 2013 through May 4, 2018.

Participant Accounts – Individual accounts are maintained for each participant. Each participant's account is increased for participant and Company contributions and an allocation of Plan earnings. Each participant's account is decreased for withdrawals, participant transaction fees, record keeping fees and an allocation of Plan losses. Allocations are based on participant earnings or account balances, as defined by the plan document. The benefit amount to which a participant is entitled is the vested balance in the participant's account.

Voting rights are extended to participants in proportion to their interest in the Rockwell Collins Stock Fund. Participants' accounts are charged or credited, as the case may be, with the number of units properly attributable to each participant.

Investments – Participants may elect to have their contributions made to any of the investment funds in the Plan in one percent increments. Participants may change such investment elections on a daily basis. If a participant does not have an investment election on file, employee contributions and Company retirement contributions are invested in the Plan's default fund.

Investment options available to participants include various mutual funds, collective trust funds, the Rockwell Collins Small Mid (SMID) Cap Value Fund, the Rockwell Collins Stable Value Fund and the Rockwell Collins Stock Fund:

> ***Rockwell Collins SMID Cap Value Fund*** – The Rockwell Collins SMID Cap Value Fund is stated at fair value based on the underlying common stock investments, which are valued at the closing price reported on the active market on which the stock is traded on the last business day of the Plan year, and also includes cash.
>
> ***Rockwell Collins Stable Value Fund*** – see *Note 3, Rockwell Collins Stable Value Fund,* for details.
>
> ***Rockwell Collins Stock Fund*** – The Rockwell Collins Stock Fund invests principally in the common stock of the Company and holds cash or liquid short-term investments to allow participants to buy or sell units in the fund without the usual trade period for stock transactions. Typically, the Rockwell Collins Stock Fund holds one percent of its value in cash or liquid short-term investments. Participants may elect to transfer all or a portion of their balances in the Rockwell Collins Stock Fund to any of the various fund alternatives at any time.

The Plan has a payment option related to the investments in Company stock to reflect an Employee Stock Ownership Plan (ESOP) feature as defined by the IRC. This option allows the participants whose accounts hold shares in the Rockwell Collins Stock Fund to either receive the dividends paid on these shares in cash as taxable compensation, or to have the dividends reinvested in the Plan with taxes deferred. Dividend payments are automatically reinvested in the Plan unless the participant elects to receive the dividend as a taxable cash payment. Participants may change this election at any time.

Vesting – Each participant is fully vested at all times in the portion of the participant's account that relates to participant contributions and earnings thereon.

Salaried and Bargaining Unit Sub-Plans – Vesting in the Company contribution portion of participant accounts, plus actual earnings thereon, is based on years of vesting service, as defined in the plan document. Generally, a participant is 100 percent vested after three years of vesting service, or when the participant reaches age 55 while employed by the Company.

IMS Non-Union Sub-Plan – When the IMS Non-Union sub-plan was integrated with the Salaried sub-plan effective 1/1/2017, partial vesting was given to participants who had less than three years of service. Participants with less than three years of service vested according to the following schedule:

Years of Service	**Vesting Percentage**
Less than one	0%
One but less than two	20%
Two but less than three	40%
Three or more	100%

A participant will also become 100 percent vested in Company matching contributions if the participant is on continuous layoff status for at least 30 days, incurs a disability while employed by the Company, works for a Rockwell Collins division or affiliated company at the time of its divestiture or dies while actively employed by the Company, including while performing qualified military service.

Notes Receivable from Participants – Notes may be obtained from the balance of a participant's account in amounts not less than $1,000 and not greater than the lesser of $50,000, reduced by the participant's highest outstanding note receivable balance during the 12-month period before the date of the note, or 50 percent of the participant's vested account balance, less any outstanding notes. Participants may have one outstanding note at a time. Notes are collateralized by the remaining balance in the participant's account. Interest is charged at a rate equal to the prime rate plus one percent on the last day of the month before the note is requested. Note repayments of principal and interest are collected through payroll deductions over terms of 12, 24, 36, 48 or 60 months, or up to 120 months for the purchase of a primary residence. Payments of principal and interest are credited to the participant's account. Notes may be paid in full at any time.

Prior to January 1, 2016, participants in the Salaried and Bargaining Unit sub-plans could have two outstanding notes. Participants with two outstanding notes on December 31, 2015 are grandfathered under the plan's old provisions until the notes are paid in full.

Note defaults occur when a participant, who is no longer an active employee, defaults on a note or receives an actual distribution that was offset by the note amount. These are classified as distributions from the Plan.

Rollovers – Participants may contribute amounts representing distributions from other qualified defined benefit or defined contribution plans.

Payment of Benefits – Payment of benefits for lump sum distributions or non-hardship withdrawals must be received in cash, unless the withdrawal is made from the Rockwell Collins Stock Fund, in which case the withdrawal may be received in the form of Company stock or cash. Payment of benefits for installments or hardship withdrawals must be received in cash.

Active participants may withdraw certain amounts from their account. The funds available for participants under age 59-1/2 are funds in after-tax, rollover, ESOP dividend and vested Company matching sources. Participants may also withdraw pre-tax sources if they meet the requirements for a hardship withdrawal. At age 59-1/2 all vested sources may be withdrawn. Participant vested amounts are payable upon retirement, death or termination of employment.

Upon retirement or termination at or after age 55, participants may elect to receive the vested portion of their account balance (employee and Company contributions) in the form of a lump sum or in monthly or annual installment payments

for up to 10 years, subject to the distribution rules of the IRC, or the balance may remain in the Plan without further contributions.

Upon termination of employment prior to reaching age 55, participants may receive the vested portion of their account balance (employee and Company contributions) in the form of a lump sum, subject to the distribution rules of the IRC, or the balance may remain in the Plan without further contributions.

Forfeited Accounts – The non-vested portion of a participant's account is forfeited when certain terminations described in the plan document occur. Forfeitures remain in the Plan and are used to reduce the Company's contributions to the Plan or to pay administrative expenses of the Plan. The Plan contains specific break-in-service provisions that enable a participant's account to

be restored upon re-employment and fulfillment of certain requirements. At December 31, 2017 and 2016, forfeited non-vested accounts in the Plan totaled approximately $71,000 and $198,000, respectively. During the years ended December 31, 2017 and 2016, Company contributions in the Plan were reduced by approximately $713,000 and $1,413,000, respectively, from forfeited non-vested accounts.

Plan Termination – Although the Company has not expressed any intent to terminate the Plan, the Company has the authority, subject to any applicable collective bargaining agreement, to terminate or modify the Plan, or suspend contributions to the Plan, in accordance with ERISA. In the event that the Plan is terminated, each participant's account will be fully vested. Benefits under the Plan will be provided solely from Plan assets.

Reclassifications – Certain 2016 amounts have been reclassified in investments at fair value and non-interest bearing cash to conform with the 2017 presentation. These reclassifications had no effect on total assets or net assets available for benefits as previously reported.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting – The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Risks and Uncertainties – The Plan utilizes various investment instruments. Investment securities, in general, are exposed to various risks, such as interest rate, credit and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities may occur and that such changes could materially affect the value of the participants' account balances and the amounts reported in the financial statements.

Investment Valuation and Income Recognition – Plan investments are stated at fair value. Fair value of a financial instrument is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Shares of mutual funds are valued at the closing price reported on the active market on which the mutual funds are traded on the last business day of the Plan year, which represents the net asset value of shares held by the Plan at year end.

The Rockwell Collins Stock Fund is stated at fair value based on the underlying Company common stock, which is valued at the closing price reported on the active market on which the stock is traded on the last business day of the Plan year, and also includes a money market account.

The fair values of collective trusts are based on net asset values (NAVs). The NAVs, as obtained from the audited financial statements of the collective trusts at year end, are used as a practical expedient to estimate fair value. Each NAV is based on underlying investments held by each collective trust. The strategy of the funds is to approximate as closely as possible, the performance of a custom benchmark index over the long term, before expenses, while providing participants the ability to purchase and redeem units on an "as of" basis. As of December 31, 2017 and 2016, the funds had a fair value of $1,347,833,821 and $1,051,334,435, respectively, and unfunded commitments of $0. Funds may be redeemed immediately and no other redemption restrictions exist for these funds.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold, as well as held, during the year.

Management fees and operating expenses charged to the Plan for investments are deducted from income earned on a daily basis and are not separately reflected. Consequently, management fees and operating expenses are reflected as a reduction of investment return for such investments.

Notes Receivable from Participants – Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. No allowance for credit losses has been recorded as of December 31, 2017 or 2016.

Administrative Expenses – Administrative expenses of the Plan are paid by the Plan, through credits from the recordkeeper for Plan investments and through quarterly fees charged to participants. Participants pay administrative costs for loan fees, overnight fees, withdrawal fees, qualified domestic relation orders and investment advisory services.

Payment of Benefits – Benefit payments are recorded when paid. Payment requests received before the market closes on December 31 of the respective year are processed and paid on the date requested. Payment requests received after the market closes are considered to be received on the next business day. Therefore, there were no participants who had elected to withdraw from the Plan but were not paid by December 31, 2017 and 2016.

3. ROCKWELL COLLINS STABLE VALUE FUND

The values of the assets held in the Rockwell Collins Stable Value Fund are represented at contract value. Each investment contract held in the fund is fully benefit-responsive for participant-initiated transactions. The underlying investments include:

- Constant duration synthetic GIC investment contracts (GICs) that "wrap" underlying units of bank collective trusts. The bank collective trusts invest in high quality fixed income securities that are managed to closely match the characteristics and performance of certain fixed income indices. There are no known commitments or restrictions on the collective trusts. The Plan Sponsor has no plans to liquidate these funds. Each constant duration synthetic GIC investment contract has a contract value interest crediting rate that is reset each quarter, based on a pre-determined formula.
- Fixed maturity synthetic GICs that "wrap" high quality fixed income assets that are intended to be held to their stated maturity. Each fixed maturity synthetic GIC investment contract has a contract value interest crediting rate that is reset each quarter, based on a pre-determined formula.

The Plan's ability to receive amounts due in accordance with fully benefit-responsive investment contracts is dependent on the third-party issuer's ability to meet its financial obligations. The issuer's ability to meet its contractual obligations may be affected by future economic and regulatory developments.

Certain events limit the ability of the Plan to transact at contract value with the contract issuer. These events may be different under each contract. Examples of such events include, but are not limited to the following:

- The Plan's failure to qualify under Section 401(a) of the Internal Revenue Code or the failure of the trust to be tax-exempt under Section 501(a) of the Internal Revenue Code
- Premature termination of the contracts
- Plan termination or merger
- Changes to the Plan's prohibition on competing investment options
- Bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures or spinoffs of a subsidiary) that significantly affect the Plan's normal operations

No events are probable of occurring that might limit the ability of the Plan to transact at contract value with the contract issuers and that also limit the ability of the Plan to transact at contract value with the participants.

In addition, certain events allow the issuer to terminate the contracts with the Plan and settle at an amount different from contract value. Those events may be different under each contract. Examples of such events include the following:

- An uncured violation of the Plan's investment guidelines
- A breach of material obligation under the contract
- A material misrepresentation

- A material amendment to the agreements without the consent of the issuer

As of December 31, 2017 and 2016, the Rockwell Collins Stable Value Fund had a contract value of $261,997,597 and $274,219,760, respectively, and an unfunded commitment of $0. No events are probable of occurring that might limit the ability

of the Plan to transact at contract value with the contract issuers and that also limit the ability of the Plan to transact at contract value with the participants.

4. FAIR VALUE MEASUREMENTS

The FASB's standard related to fair value measurements defines fair value, establishes a framework for measuring fair value and expands the related disclosure requirements. The standard indicates, among other things, that a fair value measurement assumes that the transaction to sell an asset or transfer a liability occurs in the principal market for the asset or liability or, in the absence of a principal market, the most advantageous market for the asset or liability. The standard established a valuation hierarchy for disclosure of the inputs to valuation techniques used to measure fair value. This hierarchy prioritizes the inputs into three broad levels as follows:

Level 1 - quoted prices (unadjusted) in active markets for identical assets or liabilities

Level 2 - quoted prices for similar assets and liabilities in active markets or inputs that are observable for the asset or liability, either directly or indirectly through market corroboration, for substantially the full term of the financial instrument

Level 3 - unobservable inputs based on the Plan's own assumptions used to measure assets and liabilities at fair value

Asset Valuation Techniques - Shares of mutual funds held are categorized as Level 1. They are valued at closing quoted market prices on the active market on which the mutual funds are traded on the last business day of the Plan year.

Shares of Company common stock are categorized as Level 1. They are valued based on the underlying Company common stock, which is valued at closing quoted market prices on the active market on which the stock is traded on the last business day of the Plan year. The interest-bearing cash underlying investment is categorized as Level 2.

The Rockwell Collins SMID Cap Value Fund is valued at the fair market value of its underlying investments. The common stock underlying investments are categorized as Level 1 and are valued at closing quoted market prices on the active market on which the stock is traded on the last business day of the Plan year.

Collective trust investments are measured at net asset value.

A financial asset's or liability's classification within the hierarchy is determined based on the lowest level input that is significant to the fair value measurement. The fair value of the Plan assets as of December 31, 2017 and 2016 was as follows:

Fair Value Measurements
at December 31, 2017, using

	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	NAV as a practical expedient	Total
Mutual funds	$ 1,750,796,545	$ —	$ —		$1,750,796,545
Rockwell Collins Stock Fund					
Common stock	653,849,128	—	—		653,849,128

Interest-bearing cash	—	6,335,790	—		6,335,790
Rockwell Collins SMID Cap Value Fund					
Common stock	140,123,438	—	—		140,123,438
Total assets in the fair value hierarchy	$ 2,544,769,111	$ 6,335,790	$ —		$2,551,104,901
Investments measured at net asset value				1,347,833,821	1,347,833,821
Investments at fair value	$ 2,544,769,111	$ 6,335,790	$ —	$1,347,833,821	$3,898,938,722

	Fair Value Measurements at December 31, 2016, using				
	Quoted prices in active markets (Level 1)	**Significant other observable inputs (Level 2)**	**Significant unobservable inputs (Level 3)**	**NAV as a practical expedient**	**Total**
Mutual funds	$ 1,468,017,974	$ —	$ —		$1,468,017,974
Rockwell Collins Stock Fund					
Common stock	481,232,816	—	—		481,232,816
Interest-bearing cash	—	3,636,520	—		3,636,520
Rockwell Collins SMID Cap Value Fund					
Common stock	154,058,631	—	—		154,058,631
Total assets in the fair value hierarchy	$ 2,103,309,421	$ 3,636,520	$ —		$2,106,945,941
Investments measured at net asset value				1,051,334,435	1,051,334,435
Investments at fair value	$ 2,103,309,421	$ 3,636,520	$ —	$1,051,334,435	$3,158,280,376

The availability of observable market data is monitored to assess the appropriate classification of financial instruments within the fair value hierarchy. Changes in economic conditions or model-based valuation techniques may require the transfer of financial instruments from one fair value level to another. In such instances, the transfer is reported at the beginning of the reporting period. We evaluate the significance of transfers between levels based upon the nature of the financial instrument and size of the transfer relative to total net assets available for benefits. There were no investments held by the Plan that changed levels during the years ended December 31, 2017 and 2016, respectively.

5. FEDERAL INCOME TAX STATUS

The Internal Revenue Service (the IRS) has determined and informed the Company by a letter dated November 30, 2017, that the Plan and related trust are designed in accordance with the applicable sections of the IRC. On May 27, 2016, the Company filed an application (the Application) for the Plan for a compliance statement under the IRS Voluntary Correction Program (VCP) with respect to certain operational errors discovered by the Company. On November 7, 2017, the Company received a compliance statement from the IRS agreeing with the Company's proposed corrective methods and revised administrative procedures. All corrective actions have been implemented, and the Company believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC and the Plan's trust is currently tax-exempt. As a result, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2017, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

6. EXEMPT PARTY-IN-INTEREST TRANSACTIONS

At December 31, 2017 and 2016, the Plan held Company common stock with a market value of $660,184,918 and $484,869,336, respectively. During the year ended December 31, 2017 and 2016, the Plan recorded dividend income from the Company of $6,577,063 and $6,980,953, respectively.

Certain Plan investments were managed by the Trustee and these transactions qualify as exempt party-in-interest transactions. Fees paid by the Plan for investment management services are included as a reduction of the return earned on each investment fund.

7. RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

For the year ended December 31, 2016, the following is a reconciliation of the decrease in net assets per the financial statements to the Form 5500:

	2016
Investment income per the financial statements	$ 227,491,467
Adjustment from contract value to fair value for fully benefit-responsive stable value fund, prior year	(279,755)
Investment income per the Form 5500	$ 227,211,712

8. SUBSEQUENT EVENTS

The Plan has assessed subsequent events through June 27, 2018, the date the annual Plan report was filed with the Securities and Exchange Commission.

On September 4, 2017, the Company entered into a merger agreement providing for the acquisition of Rockwell Collins by United Technologies Corporation (UTC). If the UTC merger is consummated, the Company will become a wholly owned subsidiary of UTC. The transaction, which is expected to close by the third calendar quarter of 2018, is subject to the satisfaction of customary closing conditions and approval by certain regulators. At this time, the Plan Sponsor has not determined whether the Plans will be merged.

In May 2018, agreements were reached between the Company and the International Brotherhood of Electrical Workers locals 1362 (IBEW 1362), and 1634 (IBEW 1634), as well as the International Union of Electric, Electrical, Salaried, Machine and Furniture Workers - Communications Workers of America local 86787 (IUE-CWA 86787). The IBEW 1362 contract covers a four year period, the IBEW 1634 contract covers a five year period, and the IUE-CWA 86787 contract covers a three year period. All three contracts close participation in the Company's defined benefit plan to employees hired, rehired or transferred into each respective bargaining unit on or after May 5, 2018. Instead, these employees will receive a 62.5% Company match on the first 8% of eligible compensation contributed to the Plan plus a company non-elective contribution of 3% of eligible pay. Employees who were members of the IBEW 1362 and IUE-CWA 86787 bargaining units on or before May 4, 2018 will receive a 50% Company match on the first 8% of eligible compensation contributed to the Plan, up from a 50% match on the first 6% of eligible compensation contributed to the Plan. Employees who were members of the IBEW 1634 bargaining unit on or before May 4, 2018 will receive a 62.5% Company match on the first 8% of eligible compensation contributed to the Plan, up from a 50% match on the first 6% of eligible compensation contributed to the Plan.

During the plan year 2018, a Roth option was made available to all Plan participants.

ROCKWELL COLLINS RETIREMENT SAVINGS PLAN
EIN#: 52-2314475, PLAN #: 002

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31, 2017

Identity of Issue	Description of Investment	Current Value
Fidelity Capital & Income *	Mutual fund	$ 102,416,905
Fidelity Strategic Real Return *	Mutual fund	11,363,757
Fidelity Extended Market Index Fund Premium Class *	Mutual fund	100,376,425
Vanguard FTSE All-World	Mutual fund	75,600,095
Loomis Small-Cap Growth N	Mutual fund	135,095,946
Vanguard Institutional Index Plus	Mutual fund	377,921,521
Vanguard Prime-Cap Admiral	Mutual fund	432,345,332
MFS International Value R6	Mutual fund	127,321,788
MFS Value R6	Mutual fund	148,854,165
Vanguard Total BD Market Index	Mutual fund	239,500,611
Fidelity Diversified International *	Collective trust	101,028,868
Fidelity Blue Chip Growth *	Collective trust	174,543,936
Fidelity Mid-Cap *	Collective trust	206,545,810
State Street Target Retirement 2060	Collective trust	2,486,476
State Street Target Retirement Income	Collective trust	24,788,873
State Street Target Retirement 2015	Collective trust	40,845,015
State Street Target Retirement 2020	Collective trust	151,558,913
State Street Target Retirement 2025	Collective trust	174,102,425
State Street Target Retirement 2030	Collective trust	162,788,588
State Street Target Retirement 2035	Collective trust	93,588,720
State Street Target Retirement 2040	Collective trust	94,760,567
State Street Target Retirement 2045	Collective trust	54,718,445
State Street Target Retirement 2050	Collective trust	51,152,337
State Street Target Retirement 2055	Collective trust	14,924,848
Rockwell Collins SMID Cap Value Fund:		
Aaron's, Inc.	Common stock	2,772,804
Aircastle Ltd.	Common stock	1,130,088
Allegiant Travel Co.	Common stock	2,015,000
American Campus Communities, Inc.	Common stock	2,309,374
Avis Budget Group, Inc.	Common stock	2,040,903
Avnet, Inc.	Common stock	1,541,020
Axalta Coating Systems Ltd.	Common stock	4,193,435
B&G Foods, Inc.	Common stock	2,814,847
BankUnited, Inc.	Common stock	5,617,772
BOK Financial Corp.	Common stock	3,169,623
Borgwarner, Inc.	Common stock	4,350,773
Boston Scientific Corp.	Common stock	3,114,987
Brighthouse Financial, Inc.	Common stock	1,145,239
Broadridge Financial Solutions, Inc.	Common stock	908,065
Brown & Brown, Inc.	Common stock	2,320,640
Cimarex Energy Co.	Common stock	4,322,448
Colfax Corp.	Common stock	2,222,444

(Continued on next page)

Identity of Issue	Description of Investment	Current Value
Colony NorthStar, Inc. (Cl. A)	Common stock	$ 750,150
CommScope Holding Co., Inc.	Common stock	2,107,888
Coty, Inc. (Cl A)	Common stock	1,445,367
CubeSmart	Common stock	3,557,015
Edgewell Personal Care Co.	Common stock	1,374,641
Enstar Group Ltd.	Common stock	1,019,609
First Horizon National Corp.	Common stock	2,129,015
First Republic Bank	Common stock	2,283,657
Flowers Foods, Inc.	Common stock	2,278,232
Fortis, Inc.	Common stock	798,489
Goodyear Tire & Rubber Co.	Common stock	1,457,536
Hanesbrands, Inc.	Common stock	1,895,492
Hub Group, Inc.	Common stock	4,000,177
iStar, Inc.	Common stock	1,774,111
Jones Lang Lasalle, Inc.	Common stock	2,721,696
Juniper Networks, Inc.	Common stock	3,254,016
Keysight Technologies, Inc.	Common stock	1,688,877
Kirby Corp.	Common stock	3,400,988
Lifepoint Health, Inc.	Common stock	2,848,311
Mid-America Apartment Communities, Inc.	Common stock	1,744,213
Molson Coors Brewing Co. (Cl B)	Common stock	1,960,570
Nationstar Mortgage Holdings, Inc.	Common stock	3,174,970
Navigators Group, Inc.	Common stock	1,584,893
NVR, Inc.	Common stock	3,964,289
Orthofix International N.V.	Common stock	940,238
Parker Hannifin Corp.	Common stock	1,144,791
Popular, Inc.	Common stock	1,599,676
Post Holdings, Inc.	Common stock	3,591,417
Red Rock Resorts, Inc.	Common stock	4,308,834
Reinsurance Group of America	Common stock	2,573,001
Sanmina-SCI Corp.	Common stock	725,571
SPX Flow, Inc.	Common stock	1,723,117
SVB Financial Group	Common stock	2,347,986
Tegna, Inc.	Common stock	2,175,684
Treehouse Foods, Inc.	Common stock	856,598
UGI Corp.	Common stock	3,074,004
Validus Holdings Ltd	Common stock	3,056,603
Vantiv, Inc.	Common stock	3,249,586
Whirlpool Corp.	Common stock	1,740,365
Willis Towers Watson PLC	Common stock	5,728,932
XL Group Ltd.	Common stock	2,083,371
Rockwell Collins Stable Value Fund:		
Fidelity (STIF)*	Interest-bearing cash	11,823,528
Voya	Constant duration synthetic GIC, 2.25%	240,948
Blackrock 1-3 Year Government Bond Index Fund	55,256 units	6,396,507
Blackrock Mortgage-Backed Securities Index Fund	250,139 units	12,821,649
Blackrock Commercial Mortgage-Backed Securities Index Fund	67,794 units	1,953,762

(Continued on next page)

Identity of Issue	Description of Investment	Current Value
Blackrock Intermediate Government Bond Index Fund	124,657 units	$ 5,268,776
Blackrock Intermediate Term Credit Bond Index Fund	289,973 units	15,876,163
Blackrock Asset-Backed Securities Index Fund	291,669 units	10,033,651
Blackrock 1-3 Year Credit Bond Index Non-Lendable Fund	278,881 units	3,343,512
Transamerica Premier Life	Constant duration synthetic GIC, 2.07%	231,741
Blackrock 1-3 Year Government Bond Index Fund	92,911 units	10,755,608
Blackrock Asset-Backed Securities Index Fund	268,556 units	9,238,549
Blackrock Commercial Mortgage-Backed Securities Index Fund	62,422 units	1,798,943
Blackrock Intermediate Term Credit Bond Index Fund	51,595 units	2,824,874
Blackrock Mortgage-Backed Securities Index Fund	230,317 units	11,805,612
Blackrock 1-3 Year Credit Bond Index Non-Lendable Fund	1,238,736 units	14,851,253
RGA Reinsurance Company	Constant duration synthetic GIC, 2.16%	224,260
Blackrock 1-3 Year Government Bond Index Fund	41,214 units	4,771,080
Blackrock Mortgage-Backed Securities Index Fund	186,557 units	9,563,050
Blackrock Commercial Mortgage-Backed Securities Index Fund	50,565 units	1,457,222
Blackrock Intermediate Government Bond Index Fund	92,944 units	3,928,369
Blackrock Intermediate Term Credit Bond Index Fund	216,190 units	11,836,509
Blackrock Asset-Backed Securities Index Fund	217,542 units	7,483,606
Blackrock 1-3 Year Credit Bond Index Non-Lendable Fund	208,004 units	2,493,769
Bank of Tokyo - Mitsubishi	Constant duration synthetic GIC, 2.17%	212,967
Blackrock 1-3 Year Government Bond Index Fund	41,215 units	4,771,085
Blackrock Mortgage-Backed Securities Index Fund	186,567 units	9,563,059
Blackrock Commercial Mortgage-Backed Securities Index Fund	50,565 units	1,457,223
Blackrock Intermediate Government Bond Index Fund	92,944 units	3,928,372
Blackrock Intermediate Term Credit Bond Index Fund	216,191 units	11,836,529
Blackrock Asset-Backed Securities Index Fund	217,542 units	7,483,613
Blackrock 1-3 Year Credit Bond Index Non-Lendable Fund	208,004 units	2,493,771
Prudential Insurance Company of America	Constant duration synthetic GIC, 2.28%	88,734
Prudential Core Conservative Intermediate Bond Fund	1,549,652 units	20,332,489
American General (1635893)	Fixed maturity synthetic GIC, 1.37%	394,878
AGL Cash	Cash and cash equivalents	508,382
US Treasury T 1 1/4 12/15/18	US Government Securities	649,944
US Treasury T 0 3/4 07/15/19	US Government Securities	3,196,598
Fannie Mae FNR 2011-63 MV	US Government Securities	224,824
Fannie Mae FNR 2010-120 GA	US Government Securities	41,492
Fannie Mae FNR 2011-36 QC	US Government Securities	41,565
Fannie Mae FNR 2010-128 LA	US Government Securities	61,718
Fannie Mae FNR 2015-48 DB	US Government Securities	370,371
Fannie Mae FN MA1139	US Government Securities	241,530
Fannie Mae FNR 2011-15 VA	US Government Securities	1,221,150
Fannie Mae FNR 2011-87 JA	US Government Securities	641,246
Fannie Mae FNR 2015-11 B	US Government Securities	714,944
Fannie Mae FNR 2011-132 A	US Government Securities	497,754
Fannie Mae FNR 2011-79 GC	US Government Securities	1,065,649
Freddie Mac FHR 2668 AZ	US Government Securities	12,218
Freddie Mac FHR 3893 HC	US Government Securities	66,099
Freddie Mac FHR 2591 QO	US Government Securities	2,676

(Continued on next page)

Identity of Issue	Description of Investment	Current Value
Freddie Mac FHR 4486 JN	US Government Securities	$ 345,849
Freddie Mac FHR 4001 MH	US Government Securities	361,729
Freddie Mac FHR 3738 VA	US Government Securities	1,141,455
Freddie Mac FHR 3970 DA	US Government Securities	701,560
Freddie Mac FHR 4015 ME	US Government Securities	1,407,586
Freddie Mac FHR 3707 HB	US Government Securities	1,170,585
Govt Natl Mortgage Assoc GNR 2009-64 VU	US Government Securities	63,559
Govt Natl Mortgage Assoc GNR 2011-45 LG	US Government Securities	81,210
Govt Natl Mortgage Assoc GNR 2011-17 JA	US Government Securities	54,192
Govt Natl Mortgage Assoc GNR 2012-38 TE	US Government Securities	71,095
Govt Natl Mortgage Assoc GNR 2012-7 AD	US Government Securities	233,170
Govt Natl Mortgage Assoc GNR 2010-151 DQ	US Government Securities	168,941
Govt Natl Mortgage Assoc GNR 2012-71 AJ	US Government Securities	655,715
Govt Natl Mortgage Assoc GNR 2010-167 MK	US Government Securities	636,676
Govt Natl Mortgage Assoc GNR 2015-179 GJ	US Government Securities	1,167,806
Govt Natl Mortgage Assoc GNR 2012-12 GE	US Government Securities	369,818
Govt Natl Mortgage Assoc GNR 2013-41 PA	US Government Securities	1,508,691
Govt Natl Mortgage Assoc GNR 2013-127 A	US Government Securities	113,273
Govt Natl Mortgage Assoc GNR 2013-193 A	US Government Securities	181,536
Capital One Multi Trust COMET 2015-A1 A	Corporate Debt Securities	600,025
Discover Card Mstr Trust DCENT 2016-A1 A1	Corporate Debt Securities	1,147,199
Chase Issuance Trust CHAIT 2016-A4 A4	Corporate Debt Securities	1,475,167
CitibankCC Issuance Trust CCCIT 2017-A3 A3	Corporate Debt Securities	818,558
Carmax Auto Owners Trust CARMX 2014-4 A3	Corporate Debt Securities	38,456
Nissan Auto Rec OT NAROT 2015-B A3	Corporate Debt Securities	384,349
Carmax Auto Owners Trust CARMX 2016-1 A3	Corporate Debt Securities	973,419
World Omni Auto Rec WOART 2016-A A3	Corporate Debt Securities	1,197,180
Mercedes-Benz Auto MBART 2016-1 A3	Corporate Debt Securities	695,636
John Deere Owner Trust JDOT 2016-A A3	Corporate Debt Securities	857,179
Case New Holland CNH 2016-C A3	Corporate Debt Securities	742,917
AEP Texas Cent Trans Fndg AEPTC 2012-1 A2	Corporate Debt Securities	511,422
Appalachian Consumer RRF AEPWV 2013-1 A1	Corporate Debt Securities	403,728
Centerpnt Energy Trans Co CNP 2009-1 A3	Corporate Debt Securities	1,383,132
Duke Energy Corp DUK 1.731 09/01/22	Corporate Debt Securities	689,122
CSAIL Comm. Mort. Trust CSAIL 2015-C1 A1	Corporate Mortgage-Backed Securities	145,428
Morgan Stanley Capital MSC 2015-MS1 A1	Corporate Mortgage-Backed Securities	350,487
Morgan Stanley BAML Trust MSBAM 2015-C26 A1	Corporate Mortgage-Backed Securities	314,549
Commercial Mortgage Pass COMM 2012-CR5 A2	Corporate Mortgage-Backed Securities	112,116
GS Mtge Securities Co II GSMS 2016-GS2 A2	Corporate Mortgage-Backed Securities	1,252,929
Morgan Stanley Capital MSC 2016-UB11 A2	Corporate Mortgage-Backed Securities	1,492,420
Wells Fargo Comm Mortgage WFCM 2016-LC24 A2	Corporate Mortgage-Backed Securities	748,193
Morgan Stanley BAML Trust MSBAM 2016-C31 A1	Corporate Mortgage-Backed Securities	1,085,189
UBS Comm Mortgage Trust UBSCM 2012-C1 A3	Corporate Mortgage-Backed Securities	1,000,530
Rockwell Collins Stock Fund *		
Rockwell Collins, Inc.*	Common stock	653,849,128
Fidelity Instl Cash US Govt Fund*	Interest-bearing cash	6,335,790

(Continued on next page)

Identity of Issue	Description of Investment	Current Value
Participant loans *	Interest rates ranging from 4.25% to 8.75%, due from 2018 to 2030	30,256,563
Assets held at December 31, 2017		$ 4,191,192,882

* Denotes a party-in-interest to the Plan.
Cost information is not required for participant-directed investments and therefore is not included.
See accompanying Independent Auditor's Report.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Plan Administrator has duly caused this annual report to be signed on its behalf by the undersigned, hereunto duly authorized.

ROCKWELL COLLINS RETIREMENT SAVINGS PLAN

/s/ Rosa F. Barone	Date:	June 27, 2018
Rosa F. Barone Plan Administrator		

/s/ Patrick E. Allen	Date:	June 27, 2018
Patrick E. Allen Senior Vice President & Chief Financial Officer		

ROCKWELL COLLINS RETIREMENT SAVINGS PLAN
EIN#: 52-2314475, PLAN #: 002

FORM 5500, SCHEDULE H, PART IV, LINE 4i
SCHEDULE OF ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31, 2017

Identity of Issue	Description of Investment	Current Value
Fidelity Capital & Income *	Mutual fund	$ 102,416,905
Fidelity Strategic Real Return *	Mutual fund	11,363,757
Fidelity Extended Market Index Fund Premium Class *	Mutual fund	100,376,425
Vanguard FTSE All-World	Mutual fund	75,600,095
Loomis Small-Cap Growth N	Mutual fund	135,095,946
Vanguard Institutional Index Plus	Mutual fund	377,921,521
Vanguard Prime-Cap Admiral	Mutual fund	432,345,332
MFS International Value R6	Mutual fund	127,321,788
MFS Value R6	Mutual fund	148,854,165
Vanguard Total BD Market Index	Mutual fund	239,500,611
Fidelity Diversified International *	Collective trust	101,028,868
Fidelity Blue Chip Growth *	Collective trust	174,543,936
Fidelity Mid-Cap *	Collective trust	206,545,810
State Street Target Retirement 2060	Collective trust	2,486,476
State Street Target Retirement Income	Collective trust	24,788,873
State Street Target Retirement 2015	Collective trust	40,845,015
State Street Target Retirement 2020	Collective trust	151,558,913
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State Street Target Retirement 2035	Collective trust	93,588,720
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Colfax Corp.	Common stock	2,222,444

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First Horizon National Corp.	Common stock	2,129,015
First Republic Bank	Common stock	2,283,657
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Vantiv, Inc.	Common stock	3,249,586
Whirlpool Corp.	Common stock	1,740,365
Willis Towers Watson PLC	Common stock	5,728,932
XL Group Ltd.	Common stock	2,083,371
Rockwell Collins Stable Value Fund:		
Fidelity (STIF)*	Interest-bearing cash	11,823,528
Voya	Constant duration synthetic GIC, 2.25%	240,948
Blackrock 1-3 Year Government Bond Index Fund	55,256 units	6,396,507
Blackrock Mortgage-Backed Securities Index Fund	250,139 units	12,821,649
Blackrock Commercial Mortgage-Backed Securities Index Fund	67,794 units	1,953,762

(Continued on next page)

Identity of Issue	Description of Investment	Current Value
Blackrock Intermediate Government Bond Index Fund	124,657 units	$ 5,268,776
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Blackrock Asset-Backed Securities Index Fund	291,669 units	10,033,651
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Transamerica Premier Life	Constant duration synthetic GIC, 2.07%	231,741
Blackrock 1-3 Year Government Bond Index Fund	92,911 units	10,755,608
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Blackrock Commercial Mortgage-Backed Securities Index Fund	62,422 units	1,798,943
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Blackrock Mortgage-Backed Securities Index Fund	186,557 units	9,563,050
Blackrock Commercial Mortgage-Backed Securities Index Fund	50,565 units	1,457,222
Blackrock Intermediate Government Bond Index Fund	92,944 units	3,928,369
Blackrock Intermediate Term Credit Bond Index Fund	216,190 units	11,836,509
Blackrock Asset-Backed Securities Index Fund	217,542 units	7,483,606
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Freddie Mac FHR 2591 QO	US Government Securities	2,676

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Identity of Issue	Description of Investment	Current Value
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Freddie Mac FHR 3738 VA	US Government Securities	1,141,455
Freddie Mac FHR 3970 DA	US Government Securities	701,560
Freddie Mac FHR 4015 ME	US Government Securities	1,407,586
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Govt Natl Mortgage Assoc GNR 2011-45 LG	US Government Securities	81,210
Govt Natl Mortgage Assoc GNR 2011-17 JA	US Government Securities	54,192
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Govt Natl Mortgage Assoc GNR 2012-12 GE	US Government Securities	369,818
Govt Natl Mortgage Assoc GNR 2013-41 PA	US Government Securities	1,508,691
Govt Natl Mortgage Assoc GNR 2013-127 A	US Government Securities	113,273
Govt Natl Mortgage Assoc GNR 2013-193 A	US Government Securities	181,536
Capital One Multi Trust COMET 2015-A1 A	Corporate Debt Securities	600,025
Discover Card Mstr Trust DCENT 2016-A1 A1	Corporate Debt Securities	1,147,199
Chase Issuance Trust CHAIT 2016-A4 A4	Corporate Debt Securities	1,475,167
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Morgan Stanley BAML Trust MSBAM 2016-C31 A1	Corporate Mortgage-Backed Securities	1,085,189
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Rockwell Collins Stock Fund *		
Rockwell Collins, Inc.*	Common stock	653,849,128
Fidelity Instl Cash US Govt Fund*	Interest-bearing cash	6,335,790

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Identity of Issue	Description of Investment	Current Value
Participant loans *	Interest rates ranging from 4.25% to 8.75%, due from 2018 to 2030	30,256,563
Assets held at December 31, 2017		$ 4,191,192,882

* Denotes a party-in-interest to the Plan.
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See accompanying Independent Auditor's Report.