Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

► Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I Annual Report Identification Information									
For calend	ar plan year 2017 or	fiscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017				
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) list of participating employer information in a									
		a one-participant plan	a foreign plan						
B This retu	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year return/report (less than 12 months)						
C Check	box if filing under:	1	DFVC program						
D 4 11		special extension (enter descr	• /						
Part II		ormation—enter all requested int	formation		1b Three-digit				
1a Name of plan NORTHWEST CASTINGS, INC. 401(K) RETIREMENT SAVINGS PLAN						er 001			
					1c Effective date of plan 01/01/1993				
Mailing	g address (include ro	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C		-tuti	2b Employer Identification Number (EIN) 91-1108061				
-	ST CASTINGS, INC.	ice, country, and ZIP or foreign post	ai code (ir foreign, see ins	structions)	2c Sponsor's telephone number 206-621-7560				
D 0 D0V 0	4704				2d Business code (see instructions)				
P. O. BOX 84 SEATTLE, W						332900			
3a Plan administrator's name and address X Same as Plan Sponsor.					3b Administrator's EIN				
						or's telephone number			
this pl	lan, enter the plan sp	ne plan sponsor or the plan name ha onsor's name, EIN, the plan name a			4b EIN 4d PN				
C Plan N	or's name Name				4u PN				
5a Total number of participants at the beginning of the plan year					5a	37			
b Total number of participants at the end of the plan year					5b	32			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c 14				
d(1) Total number of active participants at the beginning of the plan year				5d(1)					
d(2) Total number of active participants at the end of the plan yeare Number of participants who terminated employment during the plan year with accrued benefits that were less				5d(2)					
than	100% vested				5e	0			
Under pena SB or Sche	alties of perjury and o	e or incomplete filing of this return other penalties set forth in the instruc- and signed by an enrolled actuary, a nplete.	ctions, I declare that I hav	e examined this return/re	port, including, if a	pplicable, a Schedule			
SIGN	Filed with authorize	d/valid electronic signature.	08/22/2018	JOSEPH PEREZ	EZ				
HERE	Signature of plan	administrator	Date	Enter name of individ	Enter name of individual signing as plan administrator				
SIGN HERE									
HERE	Signature of empl	loyer/plan sponsor	Date	Enter name of individ	lual signing as em	oloyer or plan sponsor			

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c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined		Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						Yes No		
7 Plan Assets and Liabilities (a) Beginning of Year (b) End of Year 306686 8 Total plan assets 306686 36686	С									
a Total plan assets	Pa	rt III Financial Information	_							
b Total plan liabilities	7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) E	nd of Yea	r
C Net plan assets (subtract line 7b from line 7a)	а	Total plan assets	. 7a	44	46959				396	685
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers	b	Total plan liabilities	. 7b		344				345	
a Contributions received or receivable from: (1) Employers (2) Participants	С	Net plan assets (subtract line 7b from line 7a)	. 7c	44	16615				396	340
(2) Participants	8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(k	o) Total	
(3) Others (including rollovers)	а		. 8a(1)		1580					
b Other income (loss)		(2) Participants	. 8a(2)		2600					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	. 8a(3)							
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	b	Other income (loss)	. 8b		25685					
to provide benefits)	С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				29865			
f Administrative service providers (salaries, fees, commissions)	d		. 8d	{	80140					
g Other expenses	<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e							
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	. 8f							
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	. 8g							
Transfers to (from) the plan (see instructions) 8j	<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h						80	140
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i						-50	275
If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D	j	Transfers to (from) the plan (see instructions)	8j							
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions	Pai	t IV Plan Characteristics								
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	9a		feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in the i	nstructions	S:
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end,) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3). 10h X	b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in the in	structions:	
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions								
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10	During the plan year:				Yes	No		Amoun	t
reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a		X			
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? • Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) • Has the plan failed to provide any benefit when due under the plan? • Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) • If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) • If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions					X			
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	С	C Was the plan covered by a fidelity bond?				Х				120000
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused					X			.2000
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	carrier, insurance service, or other organization that provides some or all of the benefits under			10e	X				4440
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	f Has the plan failed to provide any benefit when due under the plan?					X		_	
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	g						Χ			
	h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X			
	i									

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Part	VI Pension Funding Compliance						
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							
11a							
12							
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	l enter t _ Day		of the letter ruling Year			
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No			
If "Yes," enter the amount of any plan assets that reverted to the employer this year							
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to					
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) PN(s)			

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Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

	rt Identification Information						
For calendar plan year 2017 or	fiscal plan year beginning	01/01/2017	and ending	12/31/			
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
Ph.	a one-participant plan	a foreign plan					
B This return/report is	the first return/report	the final return/report					
	nonths)						
C Check box if filing under:	C Check box if filing under:						
	special extension (enter desc	cription)					
Part II Basic Plan Inf	ormation—enter all requested in	nformation					
1a Name of plan				1b Three-dig			
NORTHWEST CASTINGS,	INC. 401(K) RETIREMEN	NT SAVINGS PLAN		plan num (PN)			
				1c Effective date of plan 01/01/1993			
2a Plan sponsor's name (empl Mailing address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.C	O Boyl		2b Employer Identification Number			
City or town, state or provin	nce, country, and ZIP or foreign pos	tal code (if foreign, see instr	uctions)	(EIN) 91-1108061			
NORTHWEST CASTINGS,	INC.			2c Sponsor's telephone number 206-621-7560			
P. O. BOX 84704				2d Business code (see instructions)			
				332900			
SEATTLE	WA 98124						
3a Plan administrator's name a	and address 🛛 Same as Plan Spo	ensor.		3b Administrator's EIN			
				3c Administrator's telephone number			
4 If the name and/or EIN of the	he plan sponsor or the plan name h onsor's name, EIN, the plan name a	ias changed since the last re	eturn/report filed for	4b EIN			
a Sponsor's name	onoor o name, with, the plant hame t	and the plan hamber hom th	ie last returnireport.	4d PN			
C Plan Name							
5a Total number of participants	s at the beginning of the plan year.			5a	37		
	s at the end of the plan year			5b	32		
C Number of participants with complete this item)	n account balances as of the end of	the plan year (only defined	contribution plans	5c	14		
	articipants at the beginning of the p			5d(1)	34		
	articipants at the end of the plan ye			5d(2)	29		
than 100% vested	o terminated employment during the			5e	(
Under penalties of periury and o	or incomplete filing of this return other penalties set forth in the instru	n/report will be assessed a	unless reasonable ca	use is establis	ned. if applicable is Schedule		
SB or Schedule MB completed a belief, it is true, correct, and com	and signed by an enrolled actuary, a	as well as the electronic ver	sion of this return/repo	rt, and to the be	st of my knowledge and		
SIGN JOSEPH	Perez.	08-22-2018	JOSEPH PEREZ				
HERE Signature of plan :	administrator	Date	Enter name of individ	dual signing as p	lan administrator		
SIGN HERE							
Signature of emplo	oyer/plan sponsor	Date	Enter name of individ	tual signing as e	mployer or plan sponsor		
For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF. Form 5500-SF (2017)							