Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to **Public Inspection**

Part I		rt Identification Information							
For calend	ar plan year 2017 or	fiscal plan year beginning 01/01/20)17	and ending 12	2/31/2017				
A This ret	turn/report is for:	x a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
D		a one-participant plan	a foreign plan						
B This retu	This return/report is the first return/report the final return/report								
		an amended return/report	a short plan year retu	rn/report (less than 12 mo					
C Check	box if filing under:	X Form 5558	automatic extension		DFVC prograr	m			
D 4 !!	D : D: .	special extension (enter descrip	· · · · · · · · · · · · · · · · · · ·						
Part II		formation—enter all requested info	rmation		46 = 0.00				
1a Name of plan L & K DISTRIBUTORS, INC. 401(K) PROFIT SHARING PLAN				1b Three-digit plan number	er				
				-	(PN) •	001			
					1c Effective date of plan 01/01/2012				
Mailing	g address (include ro	oloyer, if for a single-employer plan) oom, apt., suite no. and street, or P.O.			2b Employer Identification Number (EIN) 20-1838435				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) L & K DISTRIBUTORS, INC.			tructions)	2c Sponsor's telephone number 718-643-1141					
					2d Business c	ode (see instructions)			
P.O. BOX 23	80183 , NY 11223-9997				424400				
BROOKLIN	, NY 11223-9997								
3a Plan a	dministrator's name	and address X Same as Plan Spons	sor.		3b Administrat	tor's EIN			
					3c Administrat	tor's telephone number			
A 16 th a			and a second all and the land	and the state of the state of	4h mu				
this pl	an, enter the plan sp	the plan sponsor or the plan name has consor's name, EIN, the plan name ar			4b EIN				
a Spons C Plan N	or's name				4d PN				
CTIAITI	iame								
5a Total	number of participan	ts at the beginning of the plan year			5a	16			
b Total	number of participan	its at the end of the plan year			. 5b 1				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			=	5c 1					
d(1) Total number of active participants at the beginning of the plan year				5d(1)	15				
d(2) Total number of active participants at the end of the plan year			5d(2)						
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	2					
Caution: A	A penalty for the lat	e or incomplete filing of this return	report will be assessed	l unless reasonable cau					
SB or Sche	, , ,	other penalties set forth in the instruct and signed by an enrolled actuary, as molete.	•		, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
SIGN		ed/valid electronic signature.	09/12/2018	ARTHUR KANTOROV	'ICH				
HERE	Signature of plan		Date		idual signing as plan administrator				

09/12/2018

Date

ARTHUR KANTOROVICH

Enter name of individual signing as employer or plan sponsor

Filed with authorized/valid electronic signature.

Signature of employer/plan sponsor

SIGN

HERE

Form 5500-SF 2017 Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes	No			
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes	No		
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.						ш			
							Not dete	rmined		
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year							(See instru	ctions.)	
Pa	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year		
а	Total plan assets	. 7a	58	87968		658355				
b	Total plan liabilities	. 7b		0		0				
С	Net plan assets (subtract line 7b from line 7a)	. 7c	58	587968			658355			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from:	0 (1)		74007	1007					
	(1) Employers	. 8a(1)	,	74337						
	(2) Participants	. 8a(2)		0						
		Others (including rollovers) 8a(3)		0		_				
		ther income (loss)		1464		75004				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c				/58		75801		
	to provide benefits)	. 8d		5414						
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0						
f	Administrative service providers (salaries, fees, commissions)	. 8f		0						
g	Other expenses	8g 0								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)					5414				
i_	Net income (loss) (subtract line 8h from line 8c)	. 8i				70387				
j	Transfers to (from) the plan (see instructions)	- 8j		0						
Par	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2J 3D 3H									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu	ıtions withi	n the time period							
	described in 29 CFR 2510.3-102? (See instructions and DOL's V	-	•	10a		X				
	Program)			IUa		^				
	reported on line 10a.)			10b		Χ				
С	C Was the plan covered by a fidelity bond?			10c	Χ			5500	00	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under									
	the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
										

Form 5500-SF 2017	Page 3- 1		
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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b Enter the minimum required contribution for this plan year					
C Enter the amount contributed by the employer to the plan for this plan year					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	13c(1) Name of plan(s): 13c(2)			13c(3) PN(s)	