Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Report	i Identification Information								
For calend	dar plan year 2017 or f	iscal plan year beginning 01/01/	2017	and ending 12	2/31/2017					
A This re	eturn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
		a one-participant plan	a foreign plan							
B This ret	turn/report is	the first return/report	the final return/report							
		an amended return/report	a short plan year retu							
C Check	box if filing under:	X Form 5558	automatic extension		DFVC progr	ram				
	T	special extension (enter desc								
Part II	•	ormation—enter all requested in	formation		1	T				
1a Name	of plan AUTO GLASS, LLC 40	D1(K) PLAN			1b Three-di plan nun (PN) ▶					
					1c Effective	date of plan 08/24/2005				
		oyer, if for a single-employer plan)			2b Employe	r Identification Number				
		om, apt., suite no. and street, or P.0 ce, country, and ZIP or foreign pos		structions)	(EIN) 20-0641505					
-	AUTO GLASS, LLC	,,,g p	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	2c Sponsor's telephone number 206-277-7827					
					2d Business code (see instructions)					
2930 4TH A' SEATTLE, V	VE. SOUTH VA 98134				811120					
- ,										
3a Plan a	administrator's name a	nd address X Same as Plan Spo	nsor.		3b Administ	rator's EIN				
					3c Administ	rator's telephone number				
						·				
4 If the	name and/or FIN of th	e plan sponsor or the plan name h	as changed since the last	return/report filed for	4b EIN					
		onsor's name, EIN, the plan name			46 LIIV					
a Sponsor's name						4d PN				
C Plan N	Name									
5a Total number of participants at the beginning of the plan year					5a 30					
b Total	b Total number of participants at the end of the plan year				5b					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c					
d(1) Total number of active participants at the beginning of the plan year				5d(1)	29					
d(2) Total number of active participants at the end of the plan year				5d(2)	32					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e 0							
Caution: A	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca						
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and										
belief, it is true, correct, and complete. SIGN Filed with authorized/valid electronic signature. 09/13/2018 NEF ES				NEF ESPINOZA						
HERE	Signature of plan		Date	Enter name of individ	lual signing as r	olan administrator				
SIGN	Signature or pidir	ασιπιποιι αι σ ι	Date	Linei name oi mulviu	iuai siyiiiiy as þ	nan aummistratur				
HERE	Signature of emple	over/plan enoneor	Date	Enter name of individ	ndividual signing as employer or plan sponsor					

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determine If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year										
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year (I				(b) End of Year		
a	Total plan assets	. 7a	10	09747		134917				
b	Total plan liabilities	. 7b					0			
С	Net plan assets (subtract line 7b from line 7a)	. 7c	10	109747			134917			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from: (1) Employers	utions received or receivable from:								
	(2) Participants	. 8a(2)		8765						
	(3) Others (including rollovers)	. 8a(3)								
b	Other income (loss)	. 8b		16405						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						25170		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d								
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e								
f	Administrative service providers (salaries, fees, commissions)	. 8f								
g	Other expenses	. 8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h						0		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i						25170		
j	Transfers to (from) the plan (see instructions)	- 8j								
Pai	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D 3H									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10b		X				
С	C Was the plan covered by a fidelity bond?			10c	Х			10975		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X			497		
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10g 10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)					
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) PN(s)		