Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2017

This Form is Open to **Public Inspection**

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I Annual Report Identification Information									
For calend	ar plan year 2017 or	fiscal plan year beginning 01/01/201	7	and ending 12	2/31/2017				
A This re	is return/report is for: a single-employer plan a multiple-employer plan (not multiemployer plan list of participating employer information in				· ·				
B This return/report is		a one-participant plan	a foreign plan						
D This ret	urn/report is	the first return/report	the final return/report						
C Chock	box if filing under:		_	n/report (less than 12 m	(less than 12 months)				
Crieck	box ii iiiiig uildei.	X Form 5558 special extension (enter description)	automatic extension on)		DFVC program				
Part II	Basic Plan Inf	ormation—enter all requested inform	mation						
1a Name		Cimation cinci an requested infor	nation		1b Three-digit				
	401K PS PLAN				plan number				
					(PN) •	001			
			1c Effective date of plan 01/01/2014						
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O. B	Sox)		2b Employer Identification Number (EIN) 04-3668918				
	r town, state or provin	ice, country, and ZIP or foreign postal o		uctions)	2c Sponsor's telephone number				
STONETOX	LLO					212-473-7900 2d Business code (see instructions)			
611 BROAD	WAY STE 525				541310				
NEW YORK, NY 10012					34	1310			
3a Plan administrator's name and address X Same as Plan Sponsor.				3b Administrator's EIN					
				3c Administrator's telephone number					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for					4b EIN				
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name					4d PN				
C Plan Name									
5a Total number of participants at the beginning of the plan year					5a 7				
b Total number of participants at the end of the plan year					5b	9			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	5c 8				
d(1) Total number of active participants at the beginning of the plan year					5d(1)				
d(2) Total number of active participants at the end of the plan year					5d(2)	5d(2) 8			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0				
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.									
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN		d/valid electronic signature.	09/18/2018	BRIAN FISHER					
HERE	Signature of plan	administrator	Date	Enter name of individ	dministrator				
SIGN									

Date

Enter name of individual signing as employer or plan sponsor

Form 5500-SF 2017 Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						_			
•	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
C	If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.)									
	The second content the My PAA confirmation number from the	е РВСС р	remium ming for this p	іап ува	I			(See inst	ructions.)	
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) En	(b) End of Year		
а	Total plan assets	. 7a	1:	37389		241587			7	
b	otal plan liabilities									
С	Net plan assets (subtract line 7b from line 7a)	7c	137389			241587			7	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount		(b) Total				
а	Contributions received or receivable from:									
	(1) Employers	8a(1)		50000						
	(2) Participants	8a(2)	2	20977						
	(3) Others (including rollovers)	8a(3)								
<u>b</u>	Other income (loss)	8b	;	33221						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						104198	3	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
q	Other expenses	8g			\dashv					
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h)	
-	Net income (loss) (subtract line 8h from line 8c)					10419				
÷	Transfers to (from) the plan (see instructions)							104130	,	
Da		8j								
	 Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 									
Эа	2A 2E 2F 2G 2J 2K 2S 2T 3D	leature cc	des nom the List of the	an Ona	iacien	Sile O	odes in the in	istructions.		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in the ins	tructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction					V				
h	Program) Were there any nonexempt transactions with any party-in-interest			10a	-	X				
	reported on line 10a.)	,		10b		Χ				
С	C Was the plan covered by a fidelity bond?			10c	X			1	4000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under					,				
				10e		Х				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

Form 5500-SF 2017	Page 3- 1
-------------------	------------------

Part	VI Pension Funding Compliance					
11						
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12						
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) PN(s)		