Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Benefit Plan This form is required to be filed under sections 104 and 4065 of the Employee Retirement

Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Short Form Annual Return/Report of Small Employee

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Repor	<u>t Identification Information</u>							
For calend	lar plan year 2017 or	fiscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017				
A This re	turn/report is for:	x a single-employer plan		plan (not multiemployer) (employer information in ac	-				
_		a one-participant plan	a foreign plan						
B This ret	urn/report is	the first return/report	the final return/repor	t					
		an amended return/report	a short plan year ret	urn/report (less than 12 m	onths)				
C Check	box if filing under:	X Form 5558	automatic extension	1	DFVC progra	m			
		special extension (enter description	ription)						
Part II	Basic Plan Inf	ormation—enter all requested in	formation						
1a Name	•	E. KUSNETZ PC PROFIT SHARING	G PLAN		1b Three-digi plan numb (PN) ▶				
					1c Effective of	date of plan 01/01/2016			
		loyer, if for a single-employer plan) om, apt., suite no. and street, or P.C). Box)			Identification Number 32-0339103			
City o		nce, country, and ZIP or foreign post		structions)	(EIN) 2c Sponsor's	telephone number			
THE EXW C	1110E 01 W/WC0// E	I. NOONETZT O			_	24-864-2114 code (see instructions)			
	HESTER AVENUE 6	08-S			Zu Dusiliess (541110			
RYE BROO	K, NY 10573								
3a Plan a	administrator's name a	and address X Same as Plan Spor	nsor.		3b Administra	ator's EIN			
					3c Administra	ator's telephone number			
						•			
		he plan sponsor or the plan name ha			4b EIN				
	olan, enter the plan sp sor's name	onsor's name, EIN, the plan name a	and the plan number from	the last return/report.	4d PN				
C Plan	Name								
5a Total	number of participant	ts at the beginning of the plan year			5a	1			
		ts at the end of the plan year			5b	1			
C Numb	per of participants with	n account balances as of the end of	the plan year (only define	ed contribution plans	5c	1			
	,	articipants at the beginning of the pl			5d(1)	1			
` '	•	participants at the end of the plan ye			5d(2)	1			
		o terminated employment during the			5e	0			
Caution:	A penalty for the late	or incomplete filing of this return	n/report will be assesse	d unless reasonable ca					
SB or Sch		other penalties set forth in the instruction and signed by an enrolled actuary, a supplete.							
SIGN		d/valid electronic signature.	09/19/2018	DANIEL RICHARDS					
HERE	Signature of plan	administrator	Date	Enter name of individ	ual signing as pla	an administrator			
SIGN									
HERE	Signature of empl	lover/plan sponsor	Date	Enter name of individ	name of individual signing as employer or plan sponso				

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	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) 									
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year									
Pa	rt III Financial Information	1			1					
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) Er	nd of Year		
a	Total plan assets	7a	;	31200				54891		
<u>b</u>	Total plan liabilities	7b		0		0				
C	Net plan assets (subtract line 7b from line 7a)	7c	;	31200			54891			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b) Total		
а	Contributions received or receivable from:	0-(4)	,	24000						
	(1) Employers	8a(1)	2	24000						
	(2) Participants	8a(2)			-+					
_	(3) Others (including rollovers)	8a(3)		200						
	Other income (loss)	8b		-309						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						23691		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						0		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						23691		
j	Transfers to (from) the plan (see instructions)	8j								
Pai	rt IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2F 2G 2J 3D 2E	feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in the ir	nstructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	les in the ins	tructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c		Χ				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	nedule S	B	Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2	!) EIN(s)		13c(3) PN(s)	

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to **Public Inspection**

	t Identification Information						
For calendar plan year 2017 or	fiscal plan year beginning	01/01/2017	and ending	12/31/2017			
A This return/report is for:	(Filers checking this box must attach a ccordance with the form instructions.)						
B =	a one-participant plan	a foreign plan					
B This return/report is	the first return/report	the final return/report					
	an amended return/report	a short plan year retur	n/report (less than 12 m	nonths)			
C Check box if filing under:	X Form 5558	automatic extension		DFVC program			
	special extension (enter des						
Part II Basic Plan In	formation—enter all requested is	nformation					
1a Name of plan The Law Office of	Marcia E. Kusnetz PC	Profit		1b Three-digit plan number			
Sharing Plan				(PN) 002			
				01/01/2016			
Mailing address (include re	oloyer, if for a single-employer plan) oom, apt., suite no. and street, or P.	O. Box)		2b Employer Identification Number (EIN)32-0339103			
City or town, state or provi	nce, country, and ZIP or foreign pos	stal code (if foreign, see inst	ructions)	2c Sponsor's telephone number			
PC	MatCla B. Madice			(914) 864-2114 2d Business code (see instructions)			
800 Westchester Av	enue 608-S			Zu Dualitess code (see instituctions)			
Rye Brook		NY	10573	541110			
3a Plan administrator's name	and address X Same as Plan Sp	onsor.		3b Administrator's EIN			
4 If the name and/or EIN of this plan, enter the plan s	the plan sponsor or the plan name ponsor's name, EIN, the plan паme	has changed since the last i	return/report filed for the last return/report.	4b EIN			
a Sponsor's name	, , ,			4d PN			
C Plan Name							
5a Total number of participal	nts at the beginning of the plan year						
b Total number of participal	nts at the end of the plan year	**************************************		. 5b			
C Number of participants wi complete this item)	th account balances as of the end o	of the plan year (only defined	a contribution plans	5c			
	participants at the beginning of the			. 5d(1)			
d(2) Total number of active	participants at the end of the plan y ho terminated employment during t	he plan year with accrued b	anofite that ujora loce	. 5d(2)			
than 100% vector				. 5e			
I Indesembles of serium and	te or incomplete filing of this retu	ructions. I declare that I have	e examined this return/r	eport, including, if applicable, a Schedule			
SB or Schedule MB completed belief, it is true, correct, and co	I and signed by/an enrolled actuary	, as well as the electronic ve	ersion of this return/repo	ort, and to the best of my knowledge and			
SIGN X///Au	- G. Kusu /	X9/18/18	Marcia E. Kus	netz ·			
HERE Signature of pla		Date /	Entername of Indivi Marcia E. Kus	dual signing as plan administrator			
SIGN ////	E. Keesay	X5/18/18					
Signature of em	ployer/plan sponsor / ptice, see the Instructions for Form 88	Date /	T ⊏urer name or indivi	dual signing as employer or plan sponsor Form 5500-SF (2017) v.170203			

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b	Were all of the plan's assets during the plan year invested in eligit Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan can of the plan is a defined benefit plan, is it covered under the PBGC is	an indepe and condi not use Fo	ndent qualified public a tions.) orm 5500-SF and mus	t instea	ant (IC	PA) Form	5500.	X Yes X Yes Not det	<u></u>
	If "Yes" is checked, enter the My PAA confirmation number from the							(See instr	uctions.)
Pa	t III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year	. [(b) End	of Year	
	Total plan assets	. 7a		31,					54,891
	Total plan liabilities	7b			0				0
С	Net plan assets (subtract line 7b from line 7a)	. 7c		31,	200				54 , 891
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b) 1	otal	
а	Contributions received or receivable from:	0-(4)		24,	000				
	(1) Employers	. 8a(1) . 8a(2)		24,	000				
	(2) Participants				1				
	Other income (loss)	1		_	309				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c	,						23,691
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d							
е	Certain deemed and/or corrective distributions (see instructions)	. 8e							
f	Administrative service providers (salaries, fees, commissions)	. 8f							
g	Other expenses	. 8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h							0
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<u>j</u>	Transfers to (from) the plan (see instructions)	· 8j					·		
Pa	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2F 2G 2J 3D 2E	n feature c	odes from the List of Pl	an Cha	racteri	stic Co	des in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare	feature co	des from the List of Pla	n Char	acteris	tic Cod	les in the instr	uctions:	
Pai	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary I	Fiduciary Correction	10a		Х			
b	Were there any nonexempt transactions with any party-in-interes reported on line 10a.)	t? (Do not	include transactions	10b		Х			
	Was the plan covered by a fidelity bond?			10c		Х			
C	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	s fidelity bo	ond, that was caused	10d		Х			
e	Were any fees or commissions paid to any brokers, agents, or of carrier, insurance service, or other organization that provides sor the plan? (See instructions.)	ther person me or all o	ns by an insurance f the benefits under	10e		Х			
f	Has the plan failed to provide any benefit when due under the plan	an?		10f		Х			

Χ

Χ

10g

g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)

h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR

If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.....

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Form 5500-SF 2017

Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	edule S	В	∏ Y€	s 🛚 No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	1 302 o	f 	∏ Y€	s 🛭 No
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	i enter Da	he date o	of the letter Year	ruling
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No L	N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes 🛚	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to			
•	13c(1) Name of plan(s): 13c(2)	(2) EIN(s)		13c(3)	PN(s)