Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Report	t Identification Information							
For calend	ar plan year 2017 or f	iscal plan year beginning 01/01/2	2017	and ending 12	2/31/2017				
A This re	turn/report is for:	X a single-employer plan		plan (not multiemployer) (employer information in ac					
		a one-participant plan	a foreign plan	, ,		,			
B This reti	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	onths)				
C Check	box if filing under:	X Form 5558	automatic extension		DFVC progra	m			
		special extension (enter descr	. ,						
Part II	Basic Plan Info	ormation—enter all requested in	formation						
1a Name COLLINS CO	•	UCTION, LLC 401(K) PLAN			1b Three-digi plan numb (PN) ▶				
					1c Effective of	date of plan 01/01/2016			
	2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					Identification Number			
		ce, country, and ZIP or foreign post		structions)	(EIN)	81-2310485			
COLLINS CO	ONCRETE CONSTRU	JCTION, LLC	, -			telephone number 06-954-6182			
					2d Business	code (see instructions)			
20005 208Th RENTON, W						238100			
relation, w	77 00000								
3a Plan a	dministrator's name a	and address X Same as Plan Spor	nsor.		3b Administra	itor's EIN			
					3c Administra	stor'o tolonhono numbor			
					3C Administra	ator's telephone number			
		ne plan sponsor or the plan name ha onsor's name, EIN, the plan name a			4b EIN				
•	or's name	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	and the plan name of hear	are race return, opens	4d PN				
C Plan N	lame								
5a Total	number of participants	s at the beginning of the plan year			5a	7			
_		s at the end of the plan years			5b	10			
C Numb	er of participants with	account balances as of the end of	the plan year (only define	ed contribution plans	5c	4			
	ŕ	auticipants at the haringing of the pl			5d(1)	7			
		articipants at the beginning of the pl	-		F.1(0)				
		articipants at the end of the plan yea o terminated employment during the				10			
than	100% vested				5e	0			
		or incomplete filing of this return							
SB or Sche		ther penalties set forth in the instruc- and signed by an enrolled actuary, a							
SIGN		d/valid electronic signature.	09/20/2018	LARRY COLLINS	ARRY COLLINS				
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as pla	an administrator			
SIGN									
HERE	Signature of emplo	over/plan sponsor	Date	Enter name of individ	lual signing as em	nplover or plan sponsor			

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.								
	If the plan is a defined benefit plan, is it covered under the PBGC ir If "Yes" is checked, enter the My PAA confirmation number from the	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes No	Not determined (See instructions.)	
Pa	t III Financial Information	1							
7	Plan Assets and Liabilities		(a) Beginning ((b) En	d of Year	
<u>a</u>	Total plan assets	7a		53920				120877	
<u>b</u>	Total plan liabilities	7b							
<u>C</u>	Net plan assets (subtract line 7b from line 7a)	7c		53920				120877	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b)	Total	
а	Contributions received or receivable from:	90(1)		8959					
	(1) Employers	8a(1)							
	(2) Participants	8a(2)		51484					
	(3) Others (including rollovers)	8a(3)		0750	\dashv				
	Other income (loss)	8b		8756					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						69199	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d							
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f		2242					
q	Other expenses	8g							
<u>-</u> _	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						2242	
- "	· · · · · · · · · · · · · · · · · · ·	8i						66957	
	Net income (loss) (subtract line 8h from line 8c)				-			00937	
		8j							
	t IV Plan Characteristics	f4	alaa fuana tha Liat of Di	Ch-		-+:- O-		-tt	
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2J 2K 2F 2G 3D	reature co	ides from the List of Pi	an Cna	racteri	Stic Co	odes in the in	istructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Coc	des in the ins	tructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a	X			47046	
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	X			12000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused			10d		X		12000	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e		X			
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X			
g	71 1 1	-		10g		X			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance								
11	1 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40								
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of			es X No				
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year								
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
b	Enter the minimum required contribution for this plan year	12b							
С	Enter the amount contributed by the employer to the plan for this plan year	12c							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A				
Part '	VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No)				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a							
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?					No				
C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)				

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

> Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Parti	Annual Report	Identification Information	on					
For calenda	r plan year 2017 or fi	iscal plan year beginning	01/01/2017	and ending	12/31/201	.7		
A This retu	um/report is for:	X a single-employer plan		lan (not multiemployer) (Filer nployer information in accord	107			
	,	a one-participant plan	a foreign plan					
B This retu	m/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 month	s)			
C Check b	oox if filing under:	X Form 5558	automatic extension	П	OFVC program			
		special extension (enter de		ь				
Part II	Basic Plan Info	ormation—enter all requested	I information					
1a Name				1t	Three-digit			
		nstruction, LLC 401((k) Plan		plan number (PN)	001		
				10	Effective date			
22 Dia-		la l	1	21				
		loyer, if for a single-employer pla om, apt., suite no. and street, or		21.	(EIN) 81-23	ntification Number 310485		
City or	town, state or province	ice, country, and ZIP or foreign p		ructions) 20	_ ' _ '	ephone number		
COLLINS	s concrete co	onstruction, LLC			206-954-6			
20005 2	208th Ave. SE			20		e (see instructions)		
					238100			
Renton		WA 98058						
3a Plan a	dministrator's name a	and address 🛛 Same as Plan S	Sponsor.	3b	Administrator	s EIN		
				30	Administrator	s telephone number		
		he plan sponsor or the plan nam consor's name, EIN, the plan nam	-	-	4b EIN			
	or's name	, , , , , , ,	,		I PN			
c Plan N	lame							
5a Total	number of participant	ts at the beginning of the plan ye	ar		5a	7		
b Total	number of participant	ts at the end of the plan year	.,,		5b	10		
C Numb	er of participants with	h account balances as of the end	d of the plan year (only defined	d contribution plans	5c	4		
		participants at the beginning of th			d(1)	7		
		participants at the end of the plan			d(2)	10		
		no terminated employment during		enefits that were less	5e			
Caution: A	100% vested	e or incomplete filing of this re	turn/report will be assessed	l unless reasonable cause	is established.	0		
Under pena SB or Sche	alties of perjury and o	other penalties set forth in the ins and signed by an enrolled actua	structions, I declare that I have	e examined this return/report	including, if ap	plicable, a Schedule my knowledge and		
SIGN	true, correct, and con	inpiete.	- 9/20/18	Larry Collins				
HERE	Signature of plan	administrator	Date	Enter name of individual	signing as plan	administrator		
RIGH	Orginature or pian	·		THE TAILS OF HIGHWOOD	againg as pidit	Additional designation of the second		
SIGN	Signature of empl	loyer/plan sponsor	Date	Enter name of individual	signing as ample	over or plan sponsor		
For Papary		tice see the instructions for Form		1 Enter Hame of marvidual :	againg as carpa	Form 5500-SF (2017)		

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6a	Were all of the plan's assets during the plan year invested in eligible	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						
	Are you claiming a waiver of the annual examination and report of a							Yes No
	under 29 CFR 2520 104-46? (See instructions on waiver eligibility a							Yes No
	If you answered "No" to either line 6a or line 6b, the plan cannot the plan is a defined benefit plan, is it covered under the PBGC in							Not determined
C	If "Yes" is checked, enter the My PAA confirmation number from the							
	if ites is checked, enter the My FAA Committation notice from the	e 1 000 p	resiliant timig for the pr	an year				(occ mod occors.)
Pa	t III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning (_		(b) End	
а	Total plan assets	7a		53,	920			120,877
b	Total plan liabilities	7b			_			
_ c	Net plan assets (subtract line 7b from line 7a)	7c		53,	920			120,877
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t	_		(b) T	otal
a	Contributions received or receivable from: (1) Employers	8a(1)			959			
	(2) Participants	8a(2)		51,	184			
	(3) Others (including rollovers)	8a(3)						
_b	Other income (loss)	8b		8,	756			
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c			_			69,199
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d						
е	Certain deemed and/or corrective distributions (see instructions)	8e		4				
f	Administrative service providers (salaries, fees, commissions)	8f		2,2	242			
g	Other expenses	8g						
_h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						2,242
į	Net income (loss) (subtract line 8h from line 8c)	8i						66,957
j	Transfers to (from) the plan (see instructions)	8j						
Pa	rt IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2J 2K 2F 2G 3D	feature co	des from the List of Pla	an Char	acteri	stic Code	s in the instr	ructions:
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan	n Chara	cterist	ic Codes	in the instru	actions:
Pa	t V Compliance Questions						-	
10	During the plan year:				Yes	No	A	Amount
- 2	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	iduciary Correction	10a	Х			47,046
ŀ	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		х		
				10c	Х			12,000
	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused	10d		х		
•	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х		
f	Has the plan failed to provide any benefit when due under the pla	in?		10f		Х		
- 6				10g		Х		
ł	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		Х		
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i				

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art \	VI Pension Funding Compliance						
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sche (Form 5500) and line 11a below)	edule S	В		Yes [No	
1a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a					
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	1 302 of			Yes 🛭	No	
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	l enter t Day		he lette Year	er ruling	l 	
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes [No	N/A	4	
art '	rt VII Plan Terminations and Transfers of Assets						
3a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	N N	10		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes 2	No No		

control of the PBGC?

C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to

which assets or liabilities were transferred. (See instructions.)

13c(1) Name of plan(s):

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13c(3) PN(s)

13c(2) EIN(s)