Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Report	: Identification Information							
For calend	ar plan year 2017 or fi	iscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017				
A This ret	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
D		a one-participant plan	a foreign plan						
B This retu	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	urn/report (less than 12 m	ionths)				
C Check	box if filing under:	X Form 5558	automatic extension		DFVC prog	ram			
	· · · · ·	special extension (enter desc	• /						
Part II	Basic Plan Info	ormation—enter all requested in	formation		1 -				
	1a Name of plan EMPLOYEE BENEFIT PLAN OF FAMILY COUNSELING SERVICE OF NORTHERN NEW YORK INC 1b Three-digit plan number (PN) ▶								
						e date of plan 06/01/1976			
2a Plan s	ponsor's name (emplo	oyer, if for a single-employer plan)			2b Employe	er Identification Number			
		om, apt., suite no. and street, or P.0		etructions)	(EIN) 15-0597601				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) FAMILY COUNSELING SERVICE OF NORTHE RN NEW YORK INC					2c Sponsor's telephone number 315-782-4483				
					2d Busines	s code (see instructions)			
	NGTON ST STE 5 /N, NY 13601-3330				621112				
WATERTON	/N, NT 13001-3330								
3a Plan a	dministrator's name a	nd address X Same as Plan Spo	nsor.		3b Adminis	trator's EIN			
		ь .							
					3c Adminis	trator's telephone number			
		e plan sponsor or the plan name h			4b EIN				
•		onsor's name, EIN, the plan name	and the plan number from	the last return/report.	4d DN				
a Sponsor's namec Plan Name						4d PN			
• Harri	iamo								
5a Total	number of participants	s at the beginning of the plan year.			5a				
		s at the end of the plan year			5b				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			•	5c	8				
d(1) Total number of active participants at the beginning of the plan year			5d(1)	5					
d(2) Total number of active participants at the end of the plan year			5d(2)	2					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e 1						
		or incomplete filing of this retur							
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN		d/valid electronic signature.	09/26/2018	PHILLIP KNEELAND)				
HERE	Signature of plan a	administrator	Date	Enter name of individ	lual signing as	plan administrator			
SIGN	Filed with authorized	d/valid electronic signature.	09/26/2018	PHILLIP KNEELAND					
HERE	Signature of emplo	oyer/plan sponsor	Date	Enter name of individ	ndividual signing as employer or plan spons				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes	No			
D	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					X Yes	No			
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
С	c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined							rmined		
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See							(See instru	ctions.)	
Pai	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) End	l of Year		
а	Total plan assets	. 7a	Ę	59128		64194				
b	Total plan liabilities	. 7b		0		0		0		
С	Net plan assets (subtract line 7b from line 7a)	. 7c	ţ	59128		64194				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from:	90(1)		2622						
	(1) Employers	8a(1)		0						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	. 8a(3) . 8b								
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		6306				8928		
	Benefits paid (including direct rollovers and insurance premiums	. 60						0020		
	to provide benefits)	. 8d		3782						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e		0						
f	Administrative service providers (salaries, fees, commissions)	. 8f								
g	Other expenses	. 8g		80						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	otal expenses (add lines 8d, 8e, 8f, and 8g)				3862				
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	†						5066		
j	Transfers to (from) the plan (see instructions)	- 8j		0						
	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2F 3D									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu									
	described in 29 CFR 2510.3-102? (See instructions and DOL's V	-	•	10a		X				
b	Program)			100		~				
	reported on line 10a.)			10b		X				
C	C Was the plan covered by a fidelity bond?			10c	X			100	00	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under									
	the plan? (See instructions.)			10e	X				32	
f	f Has the plan failed to provide any benefit when due under the plan?			10f		Χ				
<u>_</u> _	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
					-	-				

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling	
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b Enter the minimum required contribution for this plan year						
C Enter the amount contributed by the employer to the plan for this plan year						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A	
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		. Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to				
13c(1) Name of plan(s): 13c(2)			2) EIN(s)		13c(3) PN(s)	