Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Report	dentification information	1								
For calenda	ar plan year 2017 or fi	iscal plan year beginning 01/01/2	2017		and ending 12	2/31/2	017				
A This return/report is for: a single-employer plan					(Filers checking this box must attach a						
	·	a one-participant plan	a foreign plan								
B This retu	urn/report is	the first return/report	the	final return/report							
		an amended return/report	a sh	ort plan year return/report (less than 12 months)							
C Check I	box if filing under:	X Form 5558	ш	omatic extension	DFVC program						
		special extension (enter descr	ription)								
Part II	Basic Plan Info	ormation—enter all requested inf	nformation	n							
1a Name SEBSNY, LL	of plan _C 401(K) PLAN					1b	Three-digit plan number (PN)	001			
						1c Effective date of plan 01/01/2015					
Mailing	g address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C				2b Employer Identification Number (EIN) 47-1112274					
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SEBSNY, LLC				uctions)	2c Sponsor's telephone number 518-690-7921						
1201 TROY SCHENECTADY ROAD SUITE 120						2d Business code (see instructions) 523900					
LATHAM, NY	Y 12110										
3a Plan a	dministrator's name a	ind address X Same as Plan Spor	nsor.			3b Administrator's EIN					
						3с	Administrator's	telephone number			
		ne plan sponsor or the plan name ha onsor's name, EIN, the plan name a				4b	EIN				
	or's name	nisor s name, Lin, the plan hame a	and the p	nan number nom ur	e last retum/report.	4d PN					
C Plan N	C Plan Name										
5a Total number of participants at the beginning of the plan year					5	а	3				
		s at the end of the plan year				5	b	3			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5	С	3					
d(1) Total number of active participants at the beginning of the plan year					5d		3				
d(2) Total number of active participants at the end of the plan year			5d	(2)	3						
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5		0					
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.											
SIGN	Filed with authorized	d/valid electronic signature.	(09/27/2018	CAROL RAPPAPORT	-					
HERE	Signature of plan a	administrator		Date	Enter name of individe	ividual signing as plan administrator					
SIGN											
HERE	Signature of emplo	oyer/plan sponsor		Date	Enter name of individe	dual signing as employer or plan sponsor					

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)					X Yes [No No			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						A 163	INO		
С	If the plan is a defined benefit plan, is it covered under the PBGC in					_		☐ Not detern	nined	
	If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year						(See instructi			
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	of Year		
а	Total plan assets	7a	18	187625			221078			
b	otal plan liabilities									
С	Net plan assets (subtract line 7b from line 7a)	7с	18	187625			221078			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
<u>а</u>	Contributions received or receivable from: (1) Employers	8a(1)		3747						
	(2) Participants	8a(2)		5631						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	:	25198						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					34570			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		1123						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)									
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						1123		
<u></u>	Net income (loss) (subtract line 8h from line 8c)	8i						33453		
j	Transfers to (from) the plan (see instructions)	8j								
Pai	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D									
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	les in the instr	uctions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	iduciary Correction	10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c	Χ			30000)	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	• Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х				
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)				X			45576	6	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		Χ				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b Enter the minimum required contribution for this plan year					
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)