## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

Annual Report Identification Information For calendar plan year 2017 or fiscal plan year beginning and ending a multiple-employer plan (not multiemployer) (Filers checking this box must attach a a single-employer plan list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan B This return/report is the first return/report the final return/report an amended return/report a short plan year return/report (less than 12 months) **C** Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan **1b** Three-digit plan number EDWARD KATIME, MD PC PROFIT SHARING PLAN (PN) ▶ 002 1c Effective date of plan 01/01/2011 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) 11-2821739 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) **2c** Sponsor's telephone number EDWARD KATIME, MD PC 516-222-1616 2d Business code (see instructions) 877 STEWART AVENUE, SUITE 8 621111 **GARDEN CITY, NY 11538** 3b Administrator's EIN **3a** Plan administrator's name and address X Same as Plan Sponsor. 3c Administrator's telephone number If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for 4b EIN this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. **4d** PN Sponsor's name C Plan Name 5a 5a Total number of participants at the beginning of the plan year ...... 5<sub>b</sub> 10 **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 10 5c complete this item)..... 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) d(2) Total number of active participants at the end of the plan year..... 6 Number of participants who terminated employment during the plan year with accrued benefits that were less O

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

belief, it is true, correct, and complete

| 0.0.0 | Filed with authorized/valid electronic signature. | 10/01/2018 | EDWARD KATIME  |
|-------|---|------------|--|
| HERE  | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |
| SIGN  | Filed with authorized/valid electronic signature. | 10/01/2018 | EDWARD KATIME  |
| HERE  | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |

Form 5500-SF 2017 Page **2** 

| If you answered "No" to either line 6 aor line 6b, the plan cannot use Form 5500-5F and must instead use Form 5500.  If I're ye is a defined benefit plan, is It covered under the PBGC premium filing for this plan year (See instructions If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions In Intelligent Int |           | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) |            |                         |            |         | _       |                |            |      |  |
|--|-----------|---|------------|-------------------------|------------|---------|---------|----------------|------------|------|--|
| 7   Plan Assets and Liabilities  |           |   |            |                         |            |         |         |                |            |      |  |
| a Total plan assets  | Pa        | rt III Financial Information  | •          |                         |            |         |         |                |            |      |  |
| b Total plan liabilities   | 7         | Plan Assets and Liabilities   |            | (a) Beginning (         | of Year    |         |         | (b) En         | d of Year  |      |  |
| C Net plan assets (subtract line 7b from line 7a)  | a         | Total plan assets   | . 7a       | 220                     | 01744      |         | 2179434 |                |            |      |  |
| 8 income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers  | b         | Total plan liabilities  | . 7b       |                         | 0          |         |         |                |            | )    |  |
| a Contributions received or receivable from: (1) Employers (2) Participants. (3) Others (including rollovers). (3) Others (including rollovers). (4) Employers (5) Other income (lost). (6) Other income (lost). (7) Employers (8) Sa(3) 0 (8) Others (including rollovers). (8) Sa(3) 0 (9) Other income (lost). (8) Sa(2), 8a(3), and 8b). (8) Sa(6) Sa(799) (9) Other income (lost). (8) Earnitis paid (including direct rollovers and insurance premiums to provide benefits). (9) Other expenses of the pain deemed and/or corrective distributions (see instructions). (9) Other expenses. (9) Other expenses. (9) Other expenses. (10) Other expenses. ( | <u> </u>  | Net plan assets (subtract line 7b from line 7a)   | . 7c       | 220                     | 01744      |         | 2179434 |                |            |      |  |
| (1) Employers 8a(1) 20000 (2) Participants 8a(2) 0 (3) Others (including rollovers). 8a(3) 0 (5) Others (including rollovers). 8a(3) 0 (6) Other income (loss). 8b 286793 (7) Otal income (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c 306799 (8) Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 307640 (9) Other expense (add lines 8a(1), 8a(2), 8a(3), and 8b). 8c 0 (1) Other expense (add lines 8a(1), 8a(2), 8a(3), and 8b). 8d 307640 (1) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8d 307640 (1) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (1) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (1) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (1) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (1) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (1) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (1) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (2) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(1), 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (3) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (4) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (5) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (6) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (6) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (6) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 21469 (6) Other expenses (add lines 8a(2), 8a(3), and 8b). 8f 2 | 8         | Income, Expenses, and Transfers for this Plan Year  |            | (a) Amoun               | (a) Amount |         |         | (b)            | (b) Total  |      |  |
| (3) Other s(including rollovers)   | _а<br>    |   | . 8a(1)    | :                       | 20000      |         |         |                |            |      |  |
| b Other income (loss)  |           | (2) Participants  | 8a(2)      |                         | 0          |         |         |                |            |      |  |
| C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)   |           | (3) Others (including rollovers)  | 8a(3)      |                         | 0          |         |         |                |            |      |  |
| d Benefits paid (including direct rollovers and insurance premiums to provide benefits)  | b         | Other income (loss)   | . 8b       | 28                      | 286799     |         |         |                |            |      |  |
| to provide benefits)   |           |   | 8c         |                         |            |         | 3       |                |            |      |  |
| f Administrative service providers (salaries, fees, commissions)   | d<br>     |   | . 8d       | 30                      | 307640     |         |         |                |            |      |  |
| g Other expenses   | е         | Certain deemed and/or corrective distributions (see instructions)   | . 8e       |                         | 0          |         |         |                |            |      |  |
| h Total expenses (add lines 8d, 8e, 8f, and 8g)  | f         | Administrative service providers (salaries, fees, commissions)  | . 8f       | 2                       | 21469      |         |         |                |            |      |  |
| i Net income (loss) (subtract line 8h from line 8c)  | g         | Other expenses  | . 8g       |                         | 0          |         |         |                |            |      |  |
| Transfers to (from) the plan (see instructions)  | <u>h</u>  | Total expenses (add lines 8d, 8e, 8f, and 8g)   | . 8h       |                         |            |         | 329109  |                |            | )    |  |
| Part IV Plan Characteristics  9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 3D  b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  | <u> </u>  |   | . 8i       |                         |            |         |         |                | -22310     | )    |  |
| Part V   Compliance Questions  | j         |   | · 8j       |                         | 0          |         |         |                |            |      |  |
| b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:  Part V Compliance Questions  10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)   | Pai       |   |            |                         |            |         |         |                |            |      |  |
| Part V Compliance Questions  10 During the plan year: Yes No Amount  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a X  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) 10b X  c Was the plan covered by a fidelity bond? 10c X 200000  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? 10d X  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) 10e X  f Has the plan failed to provide any benefit when due under the plan? 10f X  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 10g X 10616  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10h X   | 9a        |   |            |                         |            |         |         |                |            |      |  |
| During the plan year:  Amount  Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  | b         | If the plan provides welfare benefits, enter the applicable welfare fe  | eature cod | es from the List of Pla | n Chara    | acteris | tic Cod | des in the ins | tructions: |      |  |
| 10 During the plan year:  a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  C Was the plan covered by a fidelity bond?  d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  f Has the plan failed to provide any benefit when due under the plan?  g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the required notice or one of the  | Par       | t V Compliance Questions  |            |                         |            |         |         |                |            |      |  |
| described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)  | 10        |   |            |                         |            | Yes     | No      |                | Amount     |      |  |
| b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)  | а         | described in 29 CFR 2510.3-102? (See instructions and DOL's V   | oluntary F | iduciary Correction     | 10a        |         | X       |                |            |      |  |
| d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  • Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  • Has the plan failed to provide any benefit when due under the plan?  • Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  • If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  • If 10h was answered "Yes," check the box if you either provided the required notice or one of the   | b         | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions  |            |                         |            |         |         |                |            |      |  |
| d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?   | С         | Was the plan covered by a fidelity bond?  |            |                         | 10c        | X       |         |                | 200        | 0000 |  |
| Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)   | d         | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused  |            |                         |            |         | X       |                | 200        |      |  |
| g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  | е         | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under  |            |                         | 10e        |         | X       |                |            |      |  |
| h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)  | f         | f Has the plan failed to provide any benefit when due under the plan?   |            |                         | 10f        |         | X       |                |            |      |  |
| 2520.101-3.)   | <u> —</u> | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)   |            |                         | 10g        | X       |         |                | 10         | 0616 |  |
|  | h         | · · · · · · · · · · · · · · · · · · ·   |            | 10h                     |            | X       |         |                |            |      |  |
| •  | i         |   |            |                         | 10i        |         |         |                |            |      |  |

| Form 5500-SF 2017 | Page <b>3-</b> 1 |  |  |
|-------------------|------------------|--|--|
|-------------------|------------------|--|--|

| Part  | VI Pension Funding Compliance   |          |           |                        |                     |  |
|---|---|----------|-----------|------------------------|---------------------|--|
| 11  | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci<br>(Form 5500) and line 11a below)   | nedule S | B         | [] Y                   | ′es X No            |  |
| 11a   | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40  | . 11a    |           |                        |                     |  |
| 12  | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?  | n 302 o  | f<br>     | Y                      | ′es X No            |  |
| а   | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver   |          |           | of the lette<br>Year _ | r ruling            |  |
| lf y  | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.  |          |           |                        |                     |  |
| b   | <b>b</b> Enter the minimum required contribution for this plan year   |          |           |                        |                     |  |
| C Enter the amount contributed by the employer to the plan for this plan year   |   |          |           |                        |                     |  |
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) |   |          |           |                        |                     |  |
| е   | Will the minimum funding amount reported on line 12d be met by the funding deadline?  | . [      | Yes       | No                     | N/A                 |  |
| Part '  | VII Plan Terminations and Transfers of Assets   |          |           |                        |                     |  |
| 13a   | 3a Has a resolution to terminate the plan been adopted in any plan year?  |          |           | s X N                  | 0                   |  |
|   | If "Yes," enter the amount of any plan assets that reverted to the employer this year   | 13a      |           |                        |                     |  |
| b   | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?   |          |           | Yes X No               |                     |  |
| С   | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.) | ) to     |           |                        |                     |  |
| 13c(1) Name of plan(s): 13c(2)  |   |          | !) EIN(s) |                        | <b>13c(3)</b> PN(s) |  |
|   |   |          |           |                        |                     |  |