## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to **Public Inspection** 

Part I	Annual Report	Identification Information						
For calenda	ar plan year 2017 or fi	scal plan year beginning 01/01/2017		and ending 12	2/31/2017			
A This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)								
<b>B</b> This return/report is		a one-participant plan	a foreign plan					
<b>B</b> This retu	urn/report is		the final return/report  a short plan year return/report (less than 12 months)					
•	_							
C Check I	box if filing under:	片	automatic extension		DFVC program			
		special extension (enter description	<i>'</i>					
Part II	Basic Plan Info	<b>ermation</b> —enter all requested information	ation		Γ -	T		
1a Name CARDIAC IN	of plan ISIGHT, INC 401(K) P	LAN			<b>1b</b> Three-digit plan number (PN) ▶	001		
		1c Effective date of plan 01/01/2016						
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					<b>2b</b> Employer Identification Number (EIN) 26-2922631			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) CARDIAC INSIGHT, INC.			ructions)	2c Sponsor's telephone number 206-596-2070				
					2d Business code (see instructions)			
3230 CARILLON POINT KIRKLAND, WA 98033				334500				
3a Plan a	dministrator's name ar	nd address X Same as Plan Sponsor.			<b>3b</b> Administrator's	FIN		
· iana					<b>3c</b> Administrator's			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN				
C Plan N	or's name Iame				4d PN			
5a Total number of participants at the beginning of the plan year					<b>5a</b> 13			
<b>b</b> Total r	number of participants	at the end of the plan year			5b	17		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			5c S					
d(1) Total number of active participants at the beginning of the plan year			5d(1) 1					
d(2) Total number of active participants at the end of the plan year			5d(2) 1					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0				
Caution: A	penalty for the late	or incomplete filing of this return/rep	ort will be assessed	unless reasonable car				
SB or Sche		her penalties set forth in the instructions nd signed by an enrolled actuary, as we plete.						
SIGN		/valid electronic signature.	10/04/2018	RW ODELL				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	vidual signing as plan administrator			
SIGN	Filed with authorized	/valid electronic signature.	10/04/2018	RW ODELL				

Date

Enter name of individual signing as employer or plan sponsor

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_	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								
							Not determined . (See instructions.)		
Pa	rt III   Financial Information	1							
_7_	Plan Assets and Liabilities		(a) Beginning o	of Year	f Year (b) E			d of Year	
<u>a</u>	Total plan assets	7a		4051			117105		
<u>b</u>	Total plan liabilities	7b		0					
	Net plan assets (subtract line 7b from line 7a)	7c		4051		11		117105	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t		(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)							
	(2) Participants	8a(2)	10	03521	_				
	(3) Others (including rollovers)	8a(3)			_				
b	Other income (loss)	er income (loss)							
<u> </u>	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						113054	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)			0					
f	Administrative service providers (salaries, fees, commissions)			0					
g	Other expenses								
<u>h</u>	h Total expenses (add lines 8d, 8e, 8f, and 8g)							0	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					113054		
<u>j</u>	Transfers to (from) the plan (see instructions)	ee instructions)8j							
Pai	Part IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 3D 2G 2J 2K 2F 2T	feature co	odes from the List of Pla	an Cha	racteri	stic Co	des in the in	structions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Plan	n Chara	acteris	tic Cod	es in the inst	tructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10b		X			
С	C Was the plan covered by a fidelity bond?			10c	X			1000000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ			
h	<b>h</b> If this is an individual account plan, was there a blackout period? (See instructio 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [	Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	) to			
1	<b>3c(1)</b> Name of plan(s): 13c(2	) EIN(s)		13c(3	<b>)</b> PN(s)