## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

Annual Report Identification Information For calendar plan year 2017 or fiscal plan year beginning and ending a multiple-employer plan (not multiemployer) (Filers checking this box must attach a a single-employer plan list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan B This return/report is the first return/report the final return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan **1b** Three-digit plan number THE PRODUCERS GROUP ADVANTAGE 401K PLAN (PN) ▶ 001 1c Effective date of plan 01/01/2008 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) 02-0622190 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) **2c** Sponsor's telephone number THE PRODUCERS GROUP ADVANTAGE 954-905-1810 2d Business code (see instructions) 12518 WEST ATLANTIC BUILDING 524210 CORAL SPRINGS, FL 33071 3b Administrator's EIN **3a** Plan administrator's name and address X Same as Plan Sponsor. 3c Administrator's telephone number If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for 4b EIN this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. **4d** PN Sponsor's name C Plan Name 5a 5a Total number of participants at the beginning of the plan year ...... 5<sub>b</sub> **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 5c complete this item)..... 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) n d(2) Total number of active participants at the end of the plan year ..... Number of participants who terminated employment during the plan year with accrued benefits that were less  $\cap$ Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete Filed with authorized/valid electronic signature 10/05/2018 JEANINE GOLDFARB SIGN

Date

Date

Signature of plan administrator

**HERE** 

**SIGN HERE**  Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							. X Yes No			
-	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)										
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.										
С	the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No										
	If "Yes" is checked, enter the My PAA confirmation number from the	ie PBGC p	remium filing for this p	lan yea	r			(See instructions.)			
Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning (	Beginning of Year			(b) End of Year				
а	Total plan assets	. 7a		19540		437					
b	Total plan liabilities	. 7b									
С	Net plan assets (subtract line 7b from line 7a)	. 7c		19540		437					
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total					
а	Contributions received or receivable from:										
	(1) Employers	. 8a(1)									
	(2) Participants	. 8a(2)									
	(3) Others (including rollovers)	. 8a(3)			_						
<u>b</u>	Other income (loss)	. 8b		3653							
<u> </u>	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						3653			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	2	22317							
e	Certain deemed and/or corrective distributions (see instructions)	. 8e		389							
f	Administrative service providers (salaries, fees, commissions)	. 8f		50							
g	Other expenses	. 8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h				22756					
i	Net income (loss) (subtract line 8h from line 8c)	. 8i						-19103			
j	Transfers to (from) the plan (see instructions)	- 8j									
Pa	rt IV Plan Characteristics		•								
9a											
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	les in the ins	tructions:			
Par	t V Compliance Questions										
10	During the plan year:				Yes	No		Amount			
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10b		X					
С	Was the plan covered by a fidelity bond?			10c	X			2000			
d				10d		X		2000			
е				10e		X					
f	Has the plan failed to provide any benefit when due under the plan?					X					
9	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	Х			0			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
			<del></del>								

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Part	VI Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)	chedule	SB	\	res No					
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a								
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or set ERISA?	ion 302	of		res X No					
<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
b	Enter the minimum required contribution for this plan year	12b								
С	Enter the amount contributed by the employer to the plan for this plan year	120	:							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d								
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A					
Part '	VII Plan Terminations and Transfers of Assets									
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes No							
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			(					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under control of the PBGC?	ne	Yes X No							
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the pla which assets or liabilities were transferred. (See instructions.)	(s) to								
13c(1) Name of plan(s): 13c(2)				13c(3	<b>13c(3)</b> PN(s)					