| Form 5500-SF Short Form Annual Return/Report of Small Emp Benefit Plan | | | | | Employee | OMB Nos. 1210-0110 1210-0089 | | | |
|---|--|---|--|-------------------------|---|--|--|--|--|
| | rnal Revenue Service | | This form is required to be filed under sections 104 and 4065 of the Employee Re | | | 2017 | | | |
| Department of Labor Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of t Employee Benefits Security Administration Revenue Code (the Code). | | | | | | This Form is Open to | | | |
| Pension B | Public Inspection | | | | | | | | |
| Part I | | Identification Information | | | | | | | |
| For calend | lar plan year 2017 or fis | scal plan year beginning 01/01/20 | | and endi | | the state is a second of the state of | | | |
| A This re | turn/report is for: | X a single-employer plan | | | king this box must attach a vith the form instructions.) | | | | |
| R This rot | urn/report is | a one-participant plan | a foreign plan | | | | | | |
| | | the first return/report | the first return/report the final return/report | | | | | | |
| | | an amended return/report | a short plan year | eturn/report (less th | an 12 months) | | | | |
| C Check | box if filing under: | X Form 5558 | automatic extens | ion | DFVC p | rogram | | | |
| | | special extension (enter descri | iption) | | | | | | |
| Part II | Basic Plan Info | rmation—enter all requested info | ormation | | | | | | |
| 1a Name | | | | | 1b Thre | 5 | | | |
| SYNERGY | CONSTRUCTION, INC | : 401(K) SALARY REDUCTION PL | LAN | | pian (PN) | number 001 | | | |
| | | | | | | tive date of plan | | | |
| 0 | | | | | | 01/01/1994 | | | |
| | | yer, if for a single-employer plan) m, apt., suite no. and street, or P.O. | . Box) | | 2b Empl (EIN) | oyer Identification Number 91-1481802 | | | |
| City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SYNERGY CONSTRUCTION, INC. | | | | | , | nsor's telephone number 425-488-4500 | | | |
| | | | | | 2d Busir | ness code (see instructions) | | | |
| 14040 NE 18 | | | | | | 237210 | | | |
| WOODINVIL | LE, WA 98072 | | | | | | | | |
| 3a Plan a | administrator's name ar | nd address X Same as Plan Spon | sor. | | 3b Admi | nistrator's EIN | | | |
| | | | | | 3c Admi | nistrator's telephone number | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| 1 If the | nome and/or FIN of the | a plan anonaar ar tha plan name ha | a changed since the l | ant ratura/rapart filos | d for 4b EIN | | | | |
| 4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. | | | | | | | | | |
| • | sor's name | | | | 4d PN | | | | |
| C Plan N | Name | | | | | | | | |
| 5a Total | number of participants | at the beginning of the plan year | | | 5a | 74 | | | |
| 5a Total number of participants at the beginning of the plan yearb Total number of participants at the end of the plan year | | | | | 76 | | | | |
| C Numb | per of participants with a | account balances as of the end of t | he plan year (only def | ined contribution pla | ^{ans} 5c | 75 | | | |
| complete this item) d(1) Total number of active participants at the beginning of the plan year | | | | | | 48 | | | |
| d(2) Total number of active participants at the end of the plan year | | | | | | 42 | | | |
| e Number of participants who terminated employment during the plan year with accrued benefits that were less | | | | | less 5e | 3 | | | |
| than Caution: A | A penalty for the late of | or incomplete filing of this return | /report will be asses | sed unless reason | | blished. | | | |
| Under pen | alties of perjury and oth | her penalties set forth in the instruc | tions, I declare that I h | have examined this i | eturn/report, includi | ng, if applicable, a Schedule | | | |
| | edule MB completed ar true, correct, and comp | nd signed by an enrolled actuary, as plete. | s well as the electroni | c version of this retu | invreport, and to the | e best of my knowledge and | | | |
| SIGN | Filed with authorized/ | valid electronic signature. | 10/05/2018 | PAMELA STE | WART | | | | |
| HERE | Signature of plan a | dministrator | Date | Enter name o | of individual signing | as plan administrator | | | |
| SIGN | | | | | | | | | |
| HERE | Signature of emplo | yer/plan sponsor | Date | Enter name of | of individual signing | as employer or plan sponsor | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF.

Form 5500-SF (2017) v.170203 h Total expenses (add lines 8d, 8e, 8f, and 8g).....

Part IV Plan Characteristics

2F 2G 2J 2K 2S 3D

Net income (loss) (subtract line 8h from line 8c).....

Transfers to (from) the plan (see instructions)

i i

j

9a

2E

427487

293971

| 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes Not deter | | | | | | | | |
|---|--|----------------------------------|--|-----------|--|--|--|--|
| Pa | If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year (See instructions.) Part III Financial Information | | | | | | | |
| 7 | 7 Plan Assets and Liabilities (a) Beginning of Year (b) End of Year | | | | | | | |
| а | Total plan assets | 7a | 3174927 | 3468718 | | | | |
| b | Total plan liabilities | 7b | 180 | 0 | | | | |
| С | C Net plan assets (subtract line 7b from line 7a) | | 3174747 | 3468718 | | | | |
| | | | | | | | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | (b) Total | | | | |
| <u>8</u> a | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (1) Employers | 8a(1) | (a) Amount 59286 | (b) Total | | | | |
| | Contributions received or receivable from: | 8a(1) 8a(2) | | (b) Total | | | | |
| | Contributions received or receivable from: (1) Employers | | 59286 | (b) Total | | | | |
| | Contributions received or receivable from: (1) Employers | 8a(2) | 59286 232337 | (b) Total | | | | |
| a | Contributions received or receivable from: (1) Employers | 8a(2) 8a(3) | 59286 232337 0 | (b) Total | | | | |
| a | Contributions received or receivable from: (1) Employers | 8a(2) 8a(3) 8b | 59286 232337 0 | | | | | |
| a b c | Contributions received or receivable from: (1) Employers | 8a(2) 8a(3) 8b 8c | 59286 232337 0 429835 | | | | | |
| a b c d | Contributions received or receivable from: (1) Employers | 8a(2) 8a(3) 8b 8c 8d | 59286 232337 0 429835 426787 | | | | | |

8h

8i

8j

If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

0

| Part | V Compliance Questions | | | | |
|------|--|-----|---|----|---------|
| 10 | During the plan year: | | | No | Amount |
| а | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | 10a | | x | |
| b | | | | x | |
| С | Was the plan covered by a fidelity bond? 1 | 0c | Х | | 1000000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | 0d | | x | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | 0e | X | | 12258 |
| f | Has the plan failed to provide any benefit when due under the plan? 1 | 10f | | Х | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) 1 | 0g | Х | | 81500 |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | 0h | | x | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | |

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| Part | VI | Pension Funding Compliance | | | | | | |
|---|--|--|---------|------------|--------------------|---------------------|---------|--|
| 11 | 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below) | | | | | Yes | es 🗙 No | |
| 11a | Ent | er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | . 11a | | | | | |
| 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sectio ERISA? | | | | | | Yes | s 🗙 No | |
| a | | waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an nting the waiver | | r the date | e of the le Yea | | uling | |
| lf y | you d | completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | |
| b | Ente | r the minimum required contribution for this plan year | 12b | | | | | |
| С | Ente | r the amount contributed by the employer to the plan for this plan year | 12c | | | | | |
| d | | tract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a ative amount) | 12d | | | | | |
| е | Will | the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No | | N/A | |
| Part ' | VII | Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has | a resolution to terminate the plan been adopted in any plan year? | | Ye | es X | No | | |
| | lf "Y | es," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | | Yes 🗙 No | | | | |
| С | | luring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s ch assets or liabilities were transferred. (See instructions.) |) to | | | | | |
| 1 | 3c(1 |) Name of plan(s): 13c(2 |) EIN(s | 5) | 130 | 13c(3) PN(s) | | |
| | | | | | | | | |