Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Parti	Annuai Repor	t identification information								
For calenda	ar plan year 2017 or	fiscal plan year beginning 01/01/2	2017	and ending 12/	/31/2017					
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer plan list of participating employer information in										
		a one-participant plan	a foreign plan	a foreign plan						
B This retu	ırn/report is	the first return/report								
		an amended return/report	t a short plan year return/report (less than 12 months)							
C Check b	oox if filing under:	X Form 5558	automatic extension		DFVC program	m				
		special extension (enter desc	ription)							
Part II	Basic Plan Inf	ormation—enter all requested in	formation							
1a Name	•				1b Three-digit					
EASTSIDE PEDIATRIC DENTAL GROUP 401(K) PLAN					plan numb (PN) ▶	er 001				
					1c Effective d					
					01/01/2011					
		loyer, if for a single-employer plan)	2. D)			dentification Number				
		om, apt., suite no. and street, or P.0 nce, country, and ZIP or foreign pos		tructions)	(EIN) 91-1885646					
-	PEDIATRIC DENTAL		(0 /	,	2c Sponsor's telephone number 425-392-4048					
					2d Business code (see instructions)					
185 NE GILM					621210					
ISSAQUAH,	WA 98027									
3a Plan a	dministrator's name	and address X Same as Plan Spo	nsor		3b Administra	tor's FIN				
Ja mama		and address A came as rian ope	11001.		7 tarrimotra					
					3c Administra	tor's telephone number				
4 If the r	name and/or FIN of t	he plan sponsor or the plan name h	as changed since the last	return/report filed for	4b EIN					
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				the last return/report.						
a Sponsor's name					4d PN					
C Plan N	ame									
5a Total r	number of participan	ts at the beginning of the plan year.			5a	23				
b Total number of participants at the end of the plan year					5b	23				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				· ·	5c	23				
d(1) Total number of active participants at the beginning of the plan year				5d(1)	18					
d(2) Total number of active participants at the end of the plan year				5d(2)	18					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0				
Caution: A	penalty for the late	e or incomplete filing of this retur	n/report will be assessed	d unless reasonable cau						
SB or Sche	dule MB completed	other penalties set forth in the instru and signed by an enrolled actuary,	•		, , , , , , , , , , , , , , , , , , , ,					
SIGN	rue, correct, and cor	ed/valid electronic signature.	10/08/2018	KAREN FISHER						
HERE	Signature of plan		Date							
CION	orginature or plan	aummisuatu	Date	Linter name or murridu	Enter name of individual signing as plan adminis					
SIGN HERE	<u> </u>	. ,,								
	Signature of emp	loyer/plan sponsor	Date	Enter name of individu	al signing as em	ployer or plan sponsor				

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. X Ye	s No		
D	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						. X Ye	s No		
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.						_	_		
С	c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?								ermined	
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	lan yea	r			(See instr	uctions.)	
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) En	End of Year		
а				16427		784355				
b	· · · · · · · · · · · · · · · · · · ·									
С	Net plan assets (subtract line 7b from line 7a)	7c	61	616427			784355			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)	;	31680						
	(2) Participants	8a(2)		61173						
	(3) Others (including rollovers)	8a(3)		01173						
b	Other income (loss)	8b	8	88146						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				180999				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	,	13071						
e	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						13071		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						167928		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a 	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2T 3D									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions			10b		X				
С	C Was the plan covered by a fidelity bond?			10c	X			75	000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X			3	031	
f	f Has the plan failed to provide any benefit when due under the plan?					X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			40	255	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		Χ				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)					
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) PN(s)		