Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		t identification information								
For caler	ndar plan year 2017 or	fiscal plan year beginning 01/01/20	017		and ending 12	2/31/2017				
A This	This return/report is for: a multiple-employer plan (not multiemployer) (Filers checking this box must attack list of participating employer information in accordance with the form instructions									
5		a one-participant plan	a fo	oreign plan						
B This re	eturn/report is	the first return/report	the	final return/report						
		an amended return/report	a sh	nort plan year return	report (less than 12 m	2 months)				
C Chec	k box if filing under:	X Form 5558	aut	omatic extension		DFVC program				
		special extension (enter descrip	ption)			_				
Part II	Basic Plan Inf	ormation—enter all requested info	ormatio	n						
1a Nam	ne of plan					1b Three-digit				
FIRETEAN	M SECURITY, INC. 401	I(K) PLAN				plan number				
						(PN) •	001			
						1c Effective date of plan 01/01/2016				
Mail	ing address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.O.				2b Employer Identification Number (EIN) 45-2465316				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) FIRETEAM SECURITY, INC.				uctions)	2c Sponsor's telephone number 970-683-8853					
						2d Business code (see instructions)				
2331 E RC						811210				
GRAND JI	JNCTION, CO 81507					011	210			
3a Plan	administrator's name	and address X Same as Plan Spons	sor.			3b Administrator's EIN				
						3c Administrator's telephone number				
		he plan sponsor or the plan name has onsor's name, EIN, the plan name an				4b EIN				
a Sponsor's name			·	4d PN						
C Plan	C Plan Name									
52 Tate	al acceptor of portion and	to at the hearinging of the plan year				5a	28			
_		ts at the beginning of the plan year				5b 28				
b Total number of participants at the end of the plan year C Number of participants with account balances as of the end of the plan year (only defined contribution plans				5c 1						
complete this item)										
d(1) Total number of active participants at the beginning of the plan year					5d(1) 5d(2)	26 23				
d(2) Total number of active participants at the end of the plan year										
than 100% vested			5e 0							
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.										
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
SIGN		d/valid electronic signature.		10/11/2018	DENISE HOPSON					
HERE	Signature of plan	administrator		Date	Enter name of individ	ual signing as plan ac	Iministrator			

10/11/2018

Date

DENISE HOBSON

Filed with authorized/valid electronic signature.

Signature of employer/plan sponsor

SIGN

HERE

Enter name of individual signing as employer or plan sponsor

Form 5500-SF 2017 Page **2**

If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determine	_	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					_	Yes No			
7 Plan Assets and Liabilities		If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determine									
a Total plan assets	Pa	rt III Financial Information	1	_							
D Total plan liabilities	7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End of Year		
C Net plan assets (subtract line 7b from line 7a)	<u>a</u>	Total plan assets	7a		8016				20	980	
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers (2) Participants. (3) Others (including rollovers). (3) Others (including rollovers). (4) Employers (5) Dither income (loss). (8) Dither expenses. (8) Dither expenses. (8) Dither expenses. (9) Dither expenses. (1) Dither expenses (ladd lines Bd, Be, 8f, and 8g). (1) Not income (loss) (subtract line 8h from line 8c). (8) Dither income (loss) (subtract line 8h from line 8c). (9) Dither provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: (1) Dither plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: (1) Dither plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: (1) Ditring the plan year: (2) Ditring the plan year: (3) Ditring the plan year: (4) Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-1027 (See instructions and DOL's Voluntary Fiduciary Correction Program). (9) Were there any nonexempt transactions with any party-in-interest? (Do not include transactions period on line 10a). (1) During the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by Fraud or dishonesty, or other organization that provides some or all of the benefits under the plan'? (See instructions). (1) Ditring the plan failed to provide any benefit when due under the plan'? (9) Did the plan have a loss, whether or not reimbursed by the	<u>b</u>	Total plan liabilities	7b								
a Contributions received or receivable from: (1) Employers (2) Participants. (3) Others (including rollovers). (3) Others (including rollovers). (4) Employers (5) Other income (loss). (6) Other income (loss). (7) Other income (loss). (8) Others (including rollovers). (8) Bb 2101 (8) C Total income (loss). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (8) C C Entain deemed and/or corrective distributions (see instructions). (9) C Entain deemed and/or corrective distributions (see instructions). (10) C C Entain deemed and/or corrective distributions (see instructions). (10) C C Entain deemed and/or corrective distributions (see instructions). (10) C C Entain deemed and/or corrective distributions (see instructions). (10) C C Entain deemed and/or corrective distributions (see instructions). (10)	С	Net plan assets (subtract line 7b from line 7a)	7c		8016			20980			
(1) Employers	8	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total				
(3) Others (including rollovers)	a		8a(1)		0						
b Other income (loss)		(2) Participants	8a(2)		13023						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)		1920						
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	<u>b</u>	Other income (loss)	8b		2101						
e Certain deemed and/or corrective distributions (see instructions)	С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				17044			7044	
f Administrative service providers (salaries, fees, commissions)	d 		. 8d		3229						
g Other expenses	e	Certain deemed and/or corrective distributions (see instructions)									
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f		851						
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g								
Transfers to (from) the plan (see instructions)	<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					4080			
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 3D 2E 2J 2G 2F 2T b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) 10b X C Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) 10c X g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) I 10g X I 10h X I 10h X	<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					12964			
Part V Compliance Questions	<u>j</u> _	Transfers to (from) the plan (see instructions)									
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	Pai	t IV Plan Characteristics									
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	9a										
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions									
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)						Yes	No		Amour	nt	
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction					X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? • Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) • Has the plan failed to provide any benefit when due under the plan? • Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) • If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) • If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions				X					
by fraud or dishonesty?	С	C Was the plan covered by a fidelity bond?					X				
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused					X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under			10e		Х				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	f Has the plan failed to provide any benefit when due under the plan?					X				
2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the	g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
	h _	·			10h		X				
	i				10i						

Form 5500-SF 2017	Page 3- 1		
-------------------	------------------	--	--

Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No		
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A		
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No				
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to					
13c(1) Name of plan(s): 13c(2)				13c(3) PN(s)		