Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

► Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		t identification information	<u>1 </u>								
For calenda	ar plan year 2017 or f	iscal plan year beginning 01/01/2	2017		and ending 12	2/31/201	7				
A This ret	urn/report is for: X a single-employer plan					-					
		a one-participant plan	a fo	preign plan	•			,			
B This return/report is the first return/report the final return/report											
	an amended return/report a short plan year return/report (less than 12 months)										
C Check b	oox if filing under:	X Form 5558	auto	omatic extension	DFVC program						
		special extension (enter descr	ription)								
Part II	Basic Plan Info	ormation—enter all requested inf	nformation	n							
1a Name		·				1b ⊤	hree-digit				
ECHELON HOME PRODUCTS RETIREMENT PLAN						pl	an number PN) ▶	001			
						1c Effective date of plan 01/01/1998					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)						2b Employer Identification Number (EIN) 98-0370744					
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) ECHELON HOME PRODUCTS					uctions)	2c Sponsor's telephone number 206-394-9595					
						2d Business code (see instructions)					
1051 ANDO\ TUKWILA, W	/ER PARK EAST					442299					
TORVVILA, VV	7A 90 100										
3a Plan a	dministrator's name a	and address X Same as Plan Spor	onsor.			3b Administrator's EIN					
						3c Ad	dministrator's	telephone number			
								·			
4 If the r	name and/or EIN of th	ne plan sponsor or the plan name ha	as chang	ed since the last re	turn/report filed for	4b E	IN				
this pl	an, enter the plan spo	onsor's name, EIN, the plan name a				4d PN					
a Sponsor's namec Plan Name						40 PN					
	amo										
5a Total number of participants at the beginning of the plan year					5a		6				
b Total number of participants at the end of the plan year						5b		7			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			·	5c		6					
d(1) Total number of active participants at the beginning of the plan year				5d(1)		6					
d(2) Total number of active participants at the end of the plan year			5d(2))	6						
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e		0						
		or incomplete filing of this return									
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.											
SIGN	Filed with authorized	d/valid electronic signature.	,	10/12/2018	DOUGLAS MCROBBIE						
HERE	Signature of plan	administrator		Date	Enter name of individ	ual signii	ng as plan adı	ministrator			
SIGN											
HERE	Signature of emplo	oyer/plan sponsor		Date	Enter name of individ	dual signing as employer or plan sponsor					

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6a b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes No				
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes No		
c	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No							Not determined		
·	If "Yes" is checked, enter the My PAA confirmation number from the		-					. (See instructions.)		
	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (d of Year		
<u>а</u> b	Total plan liabilities	7a	847346			1025476				
	Total plan liabilities	7b	8/	847346			1025476			
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c								
a a	Contributions received or receivable from:		(a) Amount			(b) Total				
	(1) Employers	8a(1)	,	14131						
	(2) Participants	8a(2)	2	29487						
	(3) Others (including rollovers)									
b	Other income (loss)		15	150908						
<u>C</u>	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)						19452			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	denefits paid (including direct rollovers and insurance premiums of provide benefits)		16396						
е										
f	Administrative service providers (salaries, fees, commissions)	8f								
g	g Other expenses									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					16396			
i	Net income (loss) (subtract line 8h from line 8c)	8i						178130		
j	j Transfers to (from) the plan (see instructions)									
Pa	Part IV Plan Characteristics									
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2A 2E 2F 2G 2J 3D									
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction			10a		X				
b	Program) Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
	C Was the plan covered by a fidelity bond?			10c	X			100000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X			3663		
f	f Has the plan failed to provide any benefit when due under the plan?					Χ				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)				Χ			8643		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		Χ				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No		
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No		
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year	12b					
С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A		
Part '	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No				
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to					
13c(1) Name of plan(s): 13c(2)) EIN(s)		13c(3) PN(s)		