Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Repor	t Identification Information	1					
For calend	dar plan year 2017 or	fiscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017			
A This re	eturn/report is for:		ver) (Filers checking this box must attach a in accordance with the form instructions.)					
_		a one-participant plan	a foreign plan					
B This re	turn/report is	X the first return/report	the final return/report	t				
		an amended return/report	a short plan year return/report (less than 12 months)					
C Check	box if filing under:	X Form 5558	automatic extension	1	DFVC progra	m		
		special extension (enter desc	• •					
Part II	Basic Plan Inf	ormation—enter all requested in	formation					
1a Name VISTA CAP	e of plan PITAL, LLC 401(K) RE	TIREMENT PLAN			1b Three-digi plan numb (PN) ▶			
					1c Effective of	late of plan 01/01/2017		
Mailir	ng address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.0	,		2b Employer Identification Number (EIN) 20-8560837			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) VISTA CAPITAL, LLC				structions)	2c Sponsor's telephone number 646-430-9029			
					2d Business	code (see instructions)		
	NDWAY, SUITE 709 K, NY 10001					522300		
3a Plan	administrator's name	and address X Same as Plan Spo	nsor.		3b Administra	itor's EIN		
					3c Administra	ttor's telephone number		
		he plan sponsor or the plan name h			4b EIN			
this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name				the last return/report.	4d PN			
C Plan	Name							
5a Total number of participants at the beginning of the plan year					5a	3		
	b Total number of participants at the end of the plan year				5b	4		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	3		
d(1) Total number of active participants at the beginning of the plan year					5d(1)			
d(2) Total number of active participants at the end of the plan year			5d(2)	id(2) 4				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0				
Caution:	A penalty for the late	e or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca				
SB or Sch		other penalties set forth in the instru and signed by an enrolled actuary, a nplete.						
SIGN	Filed with authorize	d/valid electronic signature.	10/12/2018	ETHAN PARK				
HERE	Signature of plan	administrator	Date	Enter name of individ	ual signing as pla	an administrator		
SIGN								
HERE	Signature of emp	lover/plan sponsor	Date	Enter name of individ	idual signing as employer or plan sponsor			

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes No		
							Not determined . (See instructions.)		
Pa	rt III Financial Information		Γ						
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	l of Year	
a	Total plan assets	. 7a		0			12801		
<u>b</u>	Total plan liabilities	7b		0			0		
C	Net plan assets (subtract line 7b from line 7a)	7c		0		12801			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)		0					
	(2) Participants	8a(2)	12250						
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b		551					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						12801	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		0					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		0					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h			0		0		
i	Net income (loss) (subtract line 8h from line 8c)	8i				12801		12801	
j	Transfers to (from) the plan (see instructions)	8i	0						
Part IV Plan Characteristics									
9a									
b									
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X		0	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X		0		
C	C Was the plan covered by a fidelity bond?			10c		X		0	
d				10d		X		0	
е				10e		X		0	
f	f Has the plan failed to provide any benefit when due under the plan?			10f		Χ		0	
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X		0	
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		Х			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
C Enter the amount contributed by the employer to the plan for this plan year					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	13c(1) Name of plan(s): 13c(2)			13c(3) PN(s)	