## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

		lentification Information						
For calendar plan year	ar 2017 or fisca	al plan year beginning 01/01/2	2017 and ending 1	12/31/20	017			
A This return/report is for:    a single-employer plan								
<b>D</b> = 1		a one-participant plan						
B This return/report is	s	the first return/report	the final return/report					
	an amended return/report a short plan year return/report (less than 12 months)							
C Check box if filing	under:	Form 5558	automatic extension	DF	VC program			
		special extension (enter descri						
Part II Basic	Plan Inforr	<b>nation</b> —enter all requested in	formation					
<b>1a</b> Name of plan APOLLO VIDEO TECH	INOLOGY 40°	1(K) PLAN			Three-digit plan number			
					(PN) <b>▶</b>	001		
				1c	Effective date of	•		
2a Plan anancaria na	omo (omployo	r if for a single employer plan)		2h		/2006		
		r, if for a single-employer plan) apt., suite no. and street, or P.C	D. Box)		<b>2b</b> Employer Identification Number (EIN) 56-2447018			
City or town, state	e or province,	country, and ZIP or foreign post	al code (if foreign, see instructions)		· /			
APOLLO VIDEO TECHNOLOGY, LLC				<b>2c</b> Sponsor's telephone number 425-483-7100				
				2d Business code (see instructions)				
24000 35TH AVE, SE				334310				
BOTHELL, WA 98021								
3a Plan administrator's name and address X Same as Plan Sponsor.				3h	Administrator's E	=INI		
Oame as Fian oponsor.								
				<b>3c</b> Administrator's telephone number				
		as changed since the last return/report filed for and the plan number from the last return/report.	4b EIN					
<b>a</b> Sponsor's name	ne pian spons	or s name, Lin, the plan hame a	and the plan humber from the last return/report.	4d PN				
C Plan Name								
<b>5a</b> Total number of	participants at	the beginning of the plan year		5a	a	96		
<b>b</b> Total number of	participants at	the end of the plan year		5l	b	131		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					С	108		
d(1) Total number	of active partic	cipants at the beginning of the pl	lan year	5d(	(1)	84		
d(2) Total number	of active parti	cipants at the end of the plan ye	ar	5d(	(2)	103		
e Number of partic	cipants who te	erminated employment during the	e plan year with accrued benefits that were less	56	е	15		
			n/report will be assessed unless reasonable ca					
			ctions, I declare that I have examined this return/re			able, a Schedule		
SB or Schedule MB c	ompleted and	signed by an enrolled actuary, a	as well as the electronic version of this return/repo					
belief, it is true, correct	ા, and comple	ete.						

10/15/2018

10/15/2018

Date

Date

**CARY MORGAN** 

**CARY MORGAN** 

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Filed with authorized/valid electronic signature.

Filed with authorized/valid electronic signature.

Signature of plan administrator

Signature of employer/plan sponsor

**SIGN** HERE

SIGN

**HERE** 

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	<ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li> <li>If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.</li> </ul>								
	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year								
Pa	rt III Financial Information	1							
7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) Er	d of Year	
a	Total plan assets	. 7a	170	07494				2790742	
<u>b</u>	Total plan liabilities	7b		0		0			
C	Net plan assets (subtract line 7b from line 7a)	7c	170	07494		2790742			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b)	Total	
а	Contributions received or receivable from:								
	(1) Employers	8a(1)		82863					
	(2) Participants	8a(2)		25709					
	(3) Others (including rollovers)	8a(3)		04360					
	Other income (loss)	8b	3	17128					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1230060	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	14	142626					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e							
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g		4186					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						146812	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						1083248	
j	Transfers to (from) the plan (see instructions)	8j							
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plant	an Cha	racteri	stic Co	odes in the ir	structions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in the ins	tructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	X			279074	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X			
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g	X			12839	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	nedule S	SB Yes X 1			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	Of Yes X No			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an granting the waiver			of the lette Year _	r ruling	
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [	Yes	No	N/A	
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)	) to				
1	<b>3c(1)</b> Name of plan(s): 13c(2	) EIN(s)		13c(3	<b>)</b> PN(s)	

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Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

► Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

For calendar plan year 2017 or fi	iscal plan year beginning		12/21/201	7			
Pol caleridal platt year 2017 of h			12/31/201	*			
A This return/report is for:							
P. This action forward to	a one-participant plan	a foreign plan					
B This return/report is:	the first return/report	the final return/report					
	an amended return/report	a short plan year return/report (less than 12 n	nonths)				
C Check box if fifing under:	x Form 5558	automatic extension	DFVC p	rogram			
	special extension (enter desc	ription)					
Part II Basic Plan Inf	ormation enter all requested	information					
1a Name of plan			1b Three-digit				
Apollo Video Techn	ology 401(k) Plan		plan numb (PN) ▶	001			
			1c Effective d				
Mailing Address (include ro	loyer, if for a single-employer plan) nom, apt., suite no. and street, or P. nce, country, and ZIP or foreion nos	O. Box) tal code (if foreign, see instructions)	2b Employer I	2b Employer Identification Number (EIN) 56-2447018			
Apollo Video Techn		( 10.0 g. 11 000 110 110 110 110 110 110 110 110		2c Sponsor's telephone number (425) 483-7100			
24000 35th Ave, SE	<b>3</b>		2d Business of 334310	ode (see instructions)			
US Bothell WA 98021							
3a Plan administrator's name	3b Administra	tor's EIN					
			3c Administra	tor's telephone number			
		as changed since the last return/report filed for and the plan number from the last return/report.	4b EIN				
a Sponsor's name	wisor's name, city, the plan hame a	and the plan number from the tast returniepoit.	4d PN				
C Plan Name			4u PN				
o Flantidanie							
5a Total number of participants	s at the beginning of the plan year	***************************************	5a	96			
b Total number of participant	s at the end of the plan year		5b	131			
		the plan year (only defined contribution plans	5c	108			
d(1) Total number of active pa	articipants at the beginning of the pl	an year	5d(1)	84			
747	articipants at the end of the plan year		5d(2)	103			
e Number of participants who less than 100% vested .	terminated employment during the	plan year with accrued benefits that were	5e	15			
Caution: A penalty for the late	e or incomplete filing of this retu	rn/report will be assessed unless reasonable ca	use is establishe	d.			
SB or Schedule MB completed belief, it is true, correct, and cor	and signed by an enrolled actuary.	actions, I declare that I have examined this return/re as well as the electronic version of this return/repor					
SIGN (Cary)	non	10/15/2018 ('Qry V	Morgan	<u> </u>			
HERE Signature of plan ad	ministrator	Date Enter name of individu	al signing as plan	administrator			
SIGN Cayon	1001		longar				
HERE Signature of employ	er/plan sponsor	Date Enter name of Individu		over or plan sponsor			

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							Х	Yes No		
b	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							x	Yes No		
	If you answered "No" to either line 6a or line 6b, the plan cannot										
С	If the plan is a defined benefit plan, is it covered under the PBGC ins								Not determined		
	If "Yes" is checked, enter the My PAA confirmation number from the	PBGC pre	emium filing for this year					(See i	nstructions.)		
Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of	Yea	r			(b) End of Ye	ar		
а	Total plan assets				94			2,	790,742		
b	Total plan liabilities	7b			0	0					
С	Net plan assets (subtract line 7b from line 7a)	7c	1,70	7,4	94	2,'			790,742		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount					(b) Total			
а	Contributions received or receivable from:	8a(1)	28	2,8	63						
	(1) Employers	8a(2)		25,7							
	(3) Others (including rollovers)	8a(3)		4,3							
b	Other income (loss)	8b		7,1							
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1,230,060			
d	Benefits paid (including direct rollovers and insurance premiums								250,000		
	to provide benefits)	8d	14	2,6	26						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e									
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f									
<u>g</u>	Other expenses	8g		4,1	86				146.010		
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						146,812			
÷	Net income (loss) (subtract line 8h from line 8c)	8i						1,	083,248		
	Transfers to (from) the plan (see instructions)	8j									
	rt IV Plan Characteristics										
уа	If the plan provides pension benefits, enter the applicable pension fe 2A 2E 2F 2G 2J 2K 2T 3D	ature code	es from the List of Plan Ch	aract	eristic	Code	s in the	instructions:			
$\exists$											
b	If the plan provides welfare benefits, enter the applicable welfare fea	ture code:	s from the List of Plan Cha	racte	ristic	Codes	in the i	nstructions:			
Dr	ert V Compliance Questions										
10					Yes	No	N/A	Amo	nt		
a	During the plan year:  Was there a failure to transmit to the plan any participant contributi	ione withir	the time period		res	No	N/A	Amo	unt		
u	described in 29 CFR 2510.3-102? (See instructions and DOL's Vol										
	Program)	-	-	10a		x					
b											
	reported on line 10a.)			10b		x					
				10c	Х				279,074		
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		x					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					x					
f	Has the plan failed to provide any benefit when due under the plan	1?	••••••	10f		х					
	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				х				12,839		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		x					
i	If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101	e required	notice or one of the	10i							

Part	VI Pension Funding Compliance				_				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and (Form 5500 and line 11a below)		nedule S	SB	□ Y	es 🗓	No		
11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 11a									
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see i	nstructions, an	d enter	the date	of the le	tter rulin	ıg		
	granting the waiver	Month	_ Da	y	Year	·	_		
If y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.							
b	Enter the minimum required contribution for this plan year.	••••••	12b						
С	c Enter the amount contributed by the employer to the plan for the plan year								
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)									
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes No N/A					
Part	VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?	***************************************	Ę	Yes	х	No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	••••••	13a						
<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?					Yes 2	₹ No			
С	<b>C</b> If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
13	13c(1) Name of plan(s): 13c(2) EII				13c(	( <b>3)</b> PN(s	()		
		-							

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