Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Annual Report Identification Information

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

| For calendar plan year 2017 o | r fisca | l plan year beginning 01/01/2 | 2017 | | and ending 12 | 2/31/2017 | | | | | | |
|---|---|---|---|---|---------------|--|--|--|--|--|--|--|
| A This return/report is for: | X | a single-employer plan | a multiple-employer plan (not multiemployer) (Filers checking this box must list of participating employer information in accordance with the form instru | | | | | | | | | |
| | | a one-participant plan | a | foreign plan | | | | | | | | |
| B This return/report is | This return/report is the first return/report the final return/report | | | | | | | | | | | |
| | | an amended return/report | /report (less than 12 m | months) | | | | | | | | |
| C Check box if filing under: | X | Form 5558 | au | tomatic extension | | DFVC program | | | | | | |
| | | special extension (enter desc | ription) | | | | | | | | | |
| Part II Basic Plan Ir | nform | nation—enter all requested in | formation | on | | | | | | | | |
| 1a Name of plan BROOKLYN WOMEN'S HEALTH CARE, MD'S, P.C. | | | | | | | e-digit number 001 | | | | | |
| | | | | | | 1c Effec | tive date of plan 01/01/2017 | | | | | |
| | oom, a | apt., suite no. and street, or P.0 | | | | 2b Employer Identification Number (EIN) 11-2235754 | | | | | | |
| City or town, state or prov BROOKLYN WOMENS HEALT | | country, and ZIP or foreign pos EE, MDS, P.C. | tal code | (if foreign, see instru | uctions) | 2c Spor | nsor's telephone number 718-852-5810 | | | | | |
| | | | | | | 2d Business code (see instructions) | | | | | | |
| 110 4TH AVENUE BROOKLYN, NY 11217 | | | | | | 621111 | | | | | | |
| | | | | | | | nistrator's EIN | | | | | |
| 3a Plan administrator's name and address ⊠ Same as Plan Sponsor. | | | | | | 3D Admi | | | | | | |
| | | | | | | 3c Admi | 3c Administrator's telephone number | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | an sponsor or the plan name h r's name, EIN, the plan name : | | | | 4b EIN | | | | | | |
| this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. a Sponsor's name | | | | 4d PN | | | | | | | | |
| C Plan Name | | | | | | | | | | | | |
| 5a Total number of participa | nts at | the beginning of the plan year. | | | | . 5a 1 | | | | | | |
| · | | the end of the plan year | | | | 5b | 1 | | | | | |
| | | ount balances as of the end of | | | | 5c 1 | | | | | | |
| d(1) Total number of active participants at the beginning of the plan year | | | | | | 5d(1) | | | | | | |
| d(2) Total number of active participants at the end of the plan year | | | | | | 5d(2) | (2) | | | | | |
| Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | | | 5e | 0 | | | | | |
| | | ncomplete filing of this retur | | | | | | | | | | |
| | d and s | penalties set forth in the instru signed by an enrolled actuary, e. | | | | | | | | | | |
| SIGN Filed with authorized/valid electronic signature. 10/15/2018 JOHN MAHER M.D. | | | | | | | | | | | | |
| HERE Signature of pla | n adm | inistrator | | Date Enter name of individual signing as plan administrator | | | | | | | | |

Date

SIGN **HERE**

Enter name of individual signing as employer or plan sponsor

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| b | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | | | | | | | | |
|----------|--|------------|-----------------------------|---------|----------|---------|---------------------------|--|--|
| С | C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year | | | | | | | | |
| Pai | Part III Financial Information | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning (| of Year | | | (b) End of Year | | |
| a | Total plan assets | . 7a | | 0 | | | 24000 | | |
| b | Total plan liabilities | . 7b | | | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | . 7c | | 0 | | 24000 | | | |
| | Income, Expenses, and Transfers for this Plan Year | | (a) Amoun | ıt | | | (b) Total | | |
| | Contributions received or receivable from: (1) Employers | . 8a(1) | | | | | | | |
| | (2) Participants | . 8a(2) | 2 | 24000 | | | | | |
| | (3) Others (including rollovers) | . 8a(3) | | | | | | | |
| <u>b</u> | Other income (loss) | . 8b | | | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | . 8c | | | | | 24000 | | |
| | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | . 8d | | | | | | | |
| | Certain deemed and/or corrective distributions (see instructions) | . 8e | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | . 8f | | | | | | | |
| g | Other expenses | . 8g | | | | | | | |
| h | h Total expenses (add lines 8d, 8e, 8f, and 8g) | | | | | | 0 | | |
| i | i Net income (loss) (subtract line 8h from line 8c) | | | | | | 24000 | | |
| j | Transfers to (from) the plan (see instructions) | | | | | | | | |
| Par | t IV Plan Characteristics | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2A 2E 2J 2K 2F 2G 3D | feature co | odes from the List of Plant | an Chai | racteris | stic Co | odes in the instructions: | | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | les from the List of Pla | n Chara | acterist | ic Cod | les in the instructions: | | |
| Par | t V Compliance Questions | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | Amount | | |
| а | Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V | oluntary F | iduciary Correction | 100 | | X | | | |
| b | Program) Were there any nonexempt transactions with any party-in-interest | t? (Do not | include transactions | 10a | | | | | |
| | reported on line 10a.) | | | 10b | | X | | | |
| <u>c</u> | Was the plan covered by a fidelity bond? | | | 10c | | X | | | |
| | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | | | X | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | | | X | | | |
| f | Has the plan failed to provide any benefit when due under the pla | ın? | | 10f | | Χ | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount a | s of year- | end.) | 10g | | Χ | | | |
| h | If this is an individual account plan, was there a blackout period? 2520.101-3.) | | | 10h | | X | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | he require | d notice or one of the | 10i | | | | | |

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| Part | VI Pension Funding Compliance | | | | | | | | | |
|---|---|-----------|-----|-----------------------|--------|--|--|--|--|--|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) | | | | | | | | | |
| 11a | 11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | | | | | | | | | |
| 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | | | |
| а | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver | d enter t | | of the letter Year | ruling | | | | | |
| lf y | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | | | | |
| b | Enter the minimum required contribution for this plan year | 12b | | | | | | | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No | N/A | | | | | |
| Part ' | VII Plan Terminations and Transfers of Assets | | | | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes | X No |) | | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | | Yes X | No | | | | | |
| С | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.) |) to | | | | | | | | |
| 1 | 3c(1) Name of plan(s): 13c(2) | EIN(s) | | 13c(3) | PN(s) | | | | | |
| | | | | | | | | | | |

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Part I Annual Report Identification Information

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2017 This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

| For calend | ar plan year 2017 or | fiscal plan year beginning | 01/01/2017 | and ending | 12/31/201 | 7 | | | | | |
|--|--|---|---|-----------------------------|--|--------------------------------------|--|--|--|--|--|
| A This ref | turn/report is for: | X a single-employer plan | | | oyer) (Filers checking this box must attach a n in accordance with the form instructions.) | | | | | | |
| | - | a one-participant plan | a foreign plan | | | | | | | | |
| B This retu | urn/report is | x the first return/report | the final return/report | | | | | | | | |
| | | an amended return/report | a short plan year retur | onths) | | | | | | | |
| C Check | box if filing under: | X Form 5558 | automatic extension | [| DFVC program | | | | | | |
| | | | | | | | | | | | |
| Part II | Basic Plan Info | ormation—enter all requested in | formation | | | | | | | | |
| 1a Name | of plan | | | | 1b Three-digit | 0.01 | | | | | |
| Brookly | n Women's Hea | lth Care, MD's, P.C. | | | plan number (PN) ▶ | 001 | | | | | |
| _ | | | | - | 1c Effective date | of plan | | | | | |
| | | | | | 01/01/2017 | | | | | | |
| | | oyer, if for a single-employer plan) |). David | | 2b Employer Iden | | | | | | |
| | | om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post | | ructions) | (EIN) 11-2235754 | | | | | | |
| , | | lth Care, MDs, P.C. | 3 | , | 2c Sponsor's tele 718-852-58 | ■PARTONICA AND SOMEOUN CONTRACTORS | | | | | |
| | | | | - | 2d Business code | | | | | | |
| 110 4th | n Avenue | | | | 621111 | (dec mondonorio) | | | | | |
| | | | | | | | | | | | |
| Brookly | | NY 11217 | | | | | | | | | |
| 3a Plan administrator's name and address 🗓 Same as Plan Sponsor. | | | | | 3b Administrator's EIN | | | | | | |
| | | | 3c Administrator's | telephone number | | | | | | | |
| | | e plan sponsor or the plan name honsor's name, EIN, the plan name a | | | | | | | | | |
| a Sponsor's name | | | 4d PN | | | | | | | | |
| c Plan N | lame | | | | | | | | | | |
| 5a Total r | number of participants | s at the beginning of the plan year. | | | 5a | 1 | | | | | |
| | | s at the end of the plan year | | | 5b | 1 | | | | | |
| | | account balances as of the end of | | F | 5c | - | | | | | |
| compl | ete this item) | | | | | 1 | | | | | |
| | | articipants at the beginning of the pl | | | 5d(1) | | | | | | |
| | | articipants at the end of the plan ye | | | 5d(2) | 1 | | | | | |
| than ' | 100% vested | terminated employment during the | | | 5e | 0 | | | | | |
| Caution: A | penalty for the late | or incomplete filing of this return | n/report will be assessed | unless reasonable cau | se is established. | | | | | | |
| SB or Sche | alties of perjury and o edule MB completed a true correct and corr | ther penalties set forth in the instruction of the set | ctions, I declare that I have as well as the electronic ve | examined this return/report | , and to the best of m | icable, a Schedule iy knowledge and | | | | | |
| SIGN | 1 | | 10/10/2018 | John Maher M.D | • | | | | | | |
| HERE | Signature of plan | administrator | Date | Enter name of individu | ual signing as plan ac | Iministrator | | | | | |
| SIGN | // | | | | <u> </u> | | | | | | |
| HERE | Signature of emplo | over/plan sponsor | Date | Enter name of individu | ual signing as employ | er or plan sponsor | | | | | |

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| | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) | | | | | | | | Yes | No |
|----------|--|------------|----------------------------|---------|---------|----------|---------------|------------|---------|----------|
| | under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | | | | Yes | No |
| | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | | | | | | | | | |
| С | c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No | | | | | | | | | mined |
| | If "Yes" is checked, enter the My PAA confirmation number from the | ie PBGC p | oremium filing for this pl | lan yea | ır | | | (See | instruc | ctions.) |
| Pa | rt III Financial Information | | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning (| of Year | | | (b) Er | nd of Ye | ar | |
| а | Total plan assets | 7a | | | 0 | | | | 2 | 4,000 |
| b | Total plan liabilities | 7b | | | | | | | | |
| С | Net plan assets (subtract line 7b from line 7a) | 7c | | | 0 | 24,00 | | | | 4,000 |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amoun | ıt | | | (b |) Total | | |
| а | Contributions received or receivable from: | | | | | | | | | |
| | (1) Employers | 8a(1) | | 2.4 | 000 | | | | | |
| | (2) Participants | 8a(2) | | 24, | 000 | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | _ | | | | | |
| | Other income (loss) | 8b | | | _ | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | 2 | 4,000 |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | | Ц | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) \dots | 8e | | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | | | | | | | |
| g | Other expenses | 8g | | | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | | | C |
| <u>i</u> | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | | 2 | 4,000 |
| j | Transfers to (from) the plan (see instructions) | 8j | | | | | | | | |
| Pa | rt IV Plan Characteristics | | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2A 2E 2J 2K 2F 2G 3D | feature co | odes from the List of Pla | an Cha | racteri | istic Co | des in the ir | nstructio | ns: | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | des from the List of Plan | n Chara | acteris | tic Cod | es in the ins | structions | S: | |
| Par | t V Compliance Questions | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amou | nt | |
| а | Was there a failure to transmit to the plan any participant contribu | tions with | in the time period | | | | | | | |
| | described in 29 CFR 2510.3-102? (See instructions and DOL's V Program) | | | 10a | | Х | | | | |
| b | Were there any nonexempt transactions with any party-in-interest reported on line 10a.) | • | | 10b | | Х | | | | |
| С | | | | 10c | | Х | | | | |
| d | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | | | Х | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | | | Х | | | | |
| f | Has the plan failed to provide any benefit when due under the pla | n? | | 10f | | Х | | | | |
| 9 | Did the plan have any participant loans? (If "Yes," enter amount a | s of year- | end.) | 10g | | Х | | | | |
| h | If this is an individual account plan, was there a blackout period? 2520.101-3.) | | | 10h | | Х | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | | |
| | | | | | | | | | | |

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|-------------------|---------|
| | |

| Part ' | VI Pension Funding Compliance | | | | | |
|--------|--|------------------|------------|---------------------------|---|--|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete So (Form 5500) and line 11a below) | hedule S | B | Yes N | 0 | |
| 11a | Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | 11a | | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA? | on 302 o | f | Yes 🛭 N | 0 | |
| а | If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, a granting the waiver | nd enter Day | | of the letter ruling Year | | |
| lf y | ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | |
| b | Enter the minimum required contribution for this plan year | . 12b | | | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | . 12c | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes No N/A | | | |
| Part \ | /II Plan Terminations and Transfers of Assets | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes X No | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | . 13a | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | e | Yes X No | | | |
| С | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan which assets or liabilities were transferred. (See instructions.) | s) to | | | | |
| 1 | 3c(1) Name of plan(s): 13c(| 2) EIN(s) | | 13c(3) PN(s) | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |