## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

Annual Report Identification Information For calendar plan year 2017 or fiscal plan year beginning and ending a multiple-employer plan (not multiemployer) (Filers checking this box must attach a a single-employer plan list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan B This return/report is the first return/report the final return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan **1b** Three-digit plan number NU-SOUND TELECOMMUNICATIONS. INC. RETIREMENT PLAN AND TRUST (PN) • 001 1c Effective date of plan 01/01/1997 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) 06-1170517 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) **2c** Sponsor's telephone number NU-SOUND TELECOMMUNICATIONS, INC. 914-682-7200 2d Business code (see instructions) 225 CENTRAL AVENUE 517000 WHITE PLAINS, NY 10606 **3a** Plan administrator's name and address Same as Plan Sponsor. 3b Administrator's EIN 06-1170517 NU-SOUND TELECOMMUNICATIONS. INC. 225 CENTRAL AVENUE WHITE PLAINS, NY 10606 3c Administrator's telephone number 914-682-7200 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for 4b EIN this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. **4d** PN Sponsor's name C Plan Name 5a 5a Total number of participants at the beginning of the plan year ...... 5<sub>b</sub> **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 0 5c complete this item)..... 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) n d(2) Total number of active participants at the end of the plan year..... Number of participants who terminated employment during the plan year with accrued benefits that were less O Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete

10/15/2018

Date

Date

GEORGE BRINDLEY

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

**HERE** Signature of employer/plan sponsor For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF.

Filed with authorized/valid electronic signature

Signature of plan administrator

SIGN **HERE** 

**SIGN** 

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes	No	
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							X Yes	No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
С	f the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determin									
	If "Yes" is checked, enter the My PAA confirmation number from the	ne PBGC p	remium filing for this p	lan yea	r			(See instru	ctions.)	
Pa	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) End	of Year		
а	Total plan assets	. 7a		7552			0			
b	Total plan liabilities	. 7b		0			0			
С	Net plan assets (subtract line 7b from line 7a)	. 7c		7552			0			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	a) Amount			(b) Total			
а	Contributions received or receivable from: (1) Employers	. 8a(1)	0							
	(2) Participants	8a(2)	0							
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)			1						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						1		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		7553						
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0						
f	Administrative service providers (salaries, fees, commissions)	. 8f		0						
g	Other expenses	. 8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h					7553			
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i					-7552			
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0						
Par	Part IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:  2A 2E									
b	If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		Х				
С				10c	X			1000	000	
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		1000	<del>, , , , , , , , , , , , , , , , , , , </del>	
e	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part '	/I Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	edule S	В	Ye	s No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?  (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	f 	Ye	s X No		
<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A	
Part \	/II Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?			s No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			(	
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			. X Yes No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)	) to				
1	<b>3c(1)</b> Name of plan(s): 13c(2)	) EIN(s)		13c(3) F	PN(s)	