Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2015

This Form is Open to Public Inspection

ř	arti	Annual Report	i identification information								
For	calenda	ır plan year 2015 or f	iscal plan year beginning 01/01/2	015	and ending 12/	31/2015					
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box multiple-employer) (Filers checking this box m											
Вт	This retu	rn/report is	the first return/report	☐ a foreign plan							
	TIIO TOTA	invicport is	an amended return/report	H	rn/report (less than 12 mo	on than 12 months)					
_				_ a short plan your rota	mireport (1000 than 12 mo	monus)					
С	Check b	ox if filing under:	Form 5558 special extension (enter descr	automatic extension	n DFVC program						
Dr	art II	Racio Blan Infe	ormation—enter all requested inf								
			Diffiation—enter all requested in	ormation		1b Three	digit				
	A Name of plan EVOLVE, INC RETIREMENT PLAN					plan n	umber	001			
							Effective date of plan				
22	Dlan an	anaar'a nama (amal		01/01/2008							
Za	Mailing	onsor's name (emplo address (include root town, state or provin	tructions)	2b Employer Identification Number (EIN) 20-4351541							
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) -EVOLVE INC							2c Sponsor's telephone number 716-505-8324				
						2d Business code (see instructions)					
		MES AUDUBON PK IY 14228-1143		N JAMES AUDUBON PK ST, NY 14228-1143	WY STE 201	544540					
-XIVII IL	_IXO1, IX	11 14220-1143	AWITERC	71,111 14220-1143		541519					
3a	Plan ac	Iministrator's name a	nd address XSame as Plan Spons	or.		3b Administrator's EIN					
			_								
						3c Administrator's telephone number					
4			ne plan sponsor has changed since in the last return/report.	the last return/report filed	for this plan, enter the	4b EIN					
а		or's name	4c PN								
5a	Total n	umber of participants		5a 36							
b				5b		0					
С	Numbe	er of participants with	F	5c							
dí	'	,		5d(1)							
_				5d(2)							
	Numb		enefits that were less	5e							
Cau	ıtion: A	penalty for the late	or incomplete filing of this return	/report will be assessed	l unless reasonable caus	se is establ	lished.				
SB	or Sche		ther penalties set forth in the instruction and signed by an enrolled actuary, and lete.								
SIG		Filed with authorized	I/valid electronic signature.	10/22/2018 HEIDI FISCHER							
	KE	Signature of plan	administrator	Date	Enter name of individual signing as plan administrator						
SIG											
HERE	RE	Signature of empl	oyer/plan sponsor	Date	Enter name of individu	ndividual signing as employer or plan sponsor					

Preparer's name (including firm name, if applicable) and address (include room or suite number)

HEIDI A FISCHER

AMHERST, NY 14228

501 JOHN JAMES AUDUBON

I-EVOLVE INC

SUITE 201

Preparer's telephone number

716-505-8324

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 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public ac under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					accountant (IQPA)				Yes
c If the plan is a defined benefit plan, is it covered under the PBGC in	surance pr	ogram (see ERISA se	ection 4	021)? .		Yes	No	Not de	etermined
Part III Financial Information	1				-				
7 Plan Assets and Liabilities		(a) Beginning	•			(b) End of Year			
a Total plan assets	7a			879					0
b Total plan liabilities	7b		359966			0			
C Net plan assets (subtract line 7b from line 7a)	7c		-59087			0			
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amou	ınt				(b)	Total	
(1) Employers	8a(1)		11021						
(2) Participants	8a(2)		67029						
(3) Others (including rollovers)	8a(3)			0					
b Other income (loss)	8b		-10	032					
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c								68018
Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		6	268					
Certain deemed and/or corrective distributions (see instructions)	8e		0200						
f Administrative service providers (salaries, fees, commissions)	8f		2663						
g Other expenses	8g								
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								8931
i Net income (loss) (subtract line 8h from line 8c)	8i								59087
j Transfers to (from) the plan (see instructions)	8j			0					
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature cod	des from the List of Pla	an Cha	racteris	stic Co	des in th	he instru	ctions:	
B If the plan provides welfare benefits, enter the applicable welfare fe	eature code	es from the List of Pla	n Chara	acterist	ic Coc	les in the	e instruc	tions:	
— In the plant provides we have believed, other the applicable we have	odiaio oodi	50 Hom the List of Flat	T Onarc	20101101			o mondo		
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amou	ınt
Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary Fi	duciary Correction	10a		X				
b Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
C Was the plan covered by a fidelity bond?			10c	Х					2500
d Did the plan have a loss, whether or not reimbursed by the plan's					X				2000
Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides some					X				
f Has the plan failed to provide any benefit when due under the plan			10f		Х				
					X				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X				
,	2520.101-3.)				^				
exceptions to providing the notice applied under 29 CFR 2520.101-3									
			10j	<u> </u>					
Part VI Pension Funding Compliance		(a. II a. a. i a. i a. i a.			0.4	CD :	/ - · · · · ·	т—	
11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)				········				`	Yes X N
11a Enter the unpaid minimum required contribution for all years from						11a		т —	. —
12 Is this a defined contribution plan subject to the minimum funding	requireme	nts of section 412 of t	he Cod	e or se	ction 3	302 of E	RISA?	<u>. </u> _ `	Yes X N

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	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as	applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being a granting the waiver.			nter the Day _	date of th	ne letter rul Year	ling			
If	f you completed line 12a, complete lines 3, 9, and 10 of Schedule M	IB (Form 5500), and skip to line	13.							
b	Enter the minimum required contribution for this plan year			12b						
С	Enter the amount contributed by the employer to the plan for this plan	year		12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the negative amount)			12d						
е	Will the minimum funding amount reported on line 12d be met by the	funding deadline?			Yes No N/A					
Part	Part VII Plan Terminations and Transfers of Assets									
13a	Has a resolution to terminate the plan been adopted in any plan year?		. X Yes No							
	If "Yes," enter the amount of any plan assets that reverted to the emp	loyer this year		13a			C			
b	Were all the plan assets distributed to participants or beneficiaries, tra			ntrol	X Yes No					
С	If during this plan year, any assets or liabilities were transferred from which assets or liabilities were transferred. (See instructions.)	this plan to another plan(s), identi	fy the plan(s) to							
	13c(1) Name of plan(s):		13c(2)	EIN(s)	(s) 13c(3) PN(s)					
ESC	C 401K		16-1479373		003					
Part	t VIII Trust Information									
	Name of trust			14b Trust's EIN						
14c	C Name of trustee or custodian			14d	d Trustee's or custodian's					
		1	telephone number							
Par	rt IX IRS Compliance Questions									
	·			Yes	<u> </u>	No				
- IJa	a Is the plan a 401(k) plan?			Design-						
15b	If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirmatching contributions (as applicable) under sections 401(k)(3) and 4		based safe ADP/ACP							
15c	If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP test testing method" for nonhighly compensated employees (Treas. Reg s	urrent year	Yes	3	No					
	2(a)(2)(ii))?									
16a	a Check the box to indicate the method used by the plan to satisfy the c	on 410(b):	□ ре	Ratio percentage Average test Average benefit test						
16b	Does the plan satisfy the coverage and nondiscrimination tests of sectific plan with any other plans under the permissive aggregation rules?		Yes	5	No					
17a Has the plan been timely amended for all required tax law changes?						No	N/A			
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See ins for tax law changes and codes).										
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter/										
17d	17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18	Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election made), American Samoa, Guam, the Commonwealth of the Northern	Yes	Yes No							
19	Were in-service distributions made during the plan year?					Yes No				
	If "Yes," enter amount									
20	Were required minimum distributions made to 5% owners who have a retired), as required under section 401(a)(9)?		Yes	5	No	N/A				