Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Part I	Annual Report	Identification Information							
For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016									
A This ret	urn/report is for:	a single-employer plan	Filers checking this box must attach a cordance with the form instructions.)						
	·	a one-participant plan	a foreign plan						
B This return/report is ☐ the first return/report ☐ the first return/report									
		an amended return/report	a short plan year return	n/report (less than 12 m	nonths)				
C Check b	oox if filing under:	Form 5558	automatic extension DFVC program						
Dort II	Racio Blan Info	special extension (enter description)	. ,						
Part II		ermation—enter all requested inf	ormation		1b Three-digit				
1a Name of plan THE LIBERTY BLUE GROUP 401(K) PLAN					plan numbe	r 001			
					1c Effective date of plan 01/01/2014				
		yer, if for a single-employer plan) m, apt., suite no. and street, or P.O	. Box)		2b Employer Identification Number (EIN) 27-3551860				
	town, state or province Y BLUE GROUP	e, country, and ZIP or foreign posta	al code (if foreign, see instr	uctions)	2c Sponsor's telephone number 212-494-0003				
1270 PPOAR	DWAY, SUITE 1003				2d Business code (see instructions)				
NEW YORK,					531110				
3a Plan administrator's name and address ∑ Same as Plan Sponsor.					3b Administrator's EIN				
					3c Administrate	or's telephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b EIN				
a Sponso	or's name				4c PN				
5a Total r	number of participants	at the beginning of the plan year			5a	9			
b Total r	number of participants	at the end of the plan year			5b	С			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	C			
d(1) Total number of active participants at the beginning of the plan year					5d(1)	5			
d(2) Total number of active participants at the end of the plan year					5d(2)	(
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	C			
		or incomplete filing of this return							
SB or Sche		her penalties set forth in the instruc nd signed by an enrolled actuary, a plete.							
SIGN	Filed with authorized/	valid electronic signature.	10/25/2018	MICHAEL HYMAN	ICHAEL HYMAN				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	idual signing as plan administrator				
SIGN HERE									
		ature of employer/plan sponsor Date Enter name of indiving including firm name, if applicable) and address (include room or suite number)			lividual signing as employer or plan sponsor				
Preparer's	name (including firm r	name, ir applicable) and address (in	clude room or suite numbe	r)	Preparer's teleph	one number			

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6a	Were all of the plan's assets during the plan year invested in eligib	ole assets?	(See instructions.)						X Yes	S No		
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public a under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								X Yes	s 🗌 No		
	If you answered "No" to either line 6a or line 6b, the plan cann					_	-					
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	Not det	ermined		
Pa	rt III Financial Information		·									
7	Plan Assets and Liabilities		(a) Beginning	of Year		(b) End of Year						
<u>a</u>	Total plan assets	7a		69605			0					
<u>b</u>	Total plan liabilities	7b	0			0						
С	Net plan assets (subtract line 7b from line 7a)	7c	69605			0						
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total						
а	Contributions received or receivable from:	90(4)		12752								
	(1) Employers	8a(1)	3190									
	(2) Participants	8a(2)		0100								
	(3) Others (including rollovers)	8a(3)		2542								
	Other income (loss)	8b							1848	4		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) Benefits paid (including direct rollovers and insurance premiums	8c							10-10-	<u> </u>		
u	to provide benefits)	8d										
е	Certain deemed and/or corrective distributions (see instructions).	8e										
f	Administrative service providers (salaries, fees, commissions)	8f										
g	Other expenses	8g										
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								0		
i	Net income (loss) (subtract line 8h from line 8c)	8i					18484					
j	Transfers to (from) the plan (see instructions)	8i		-88089								
Pai	Part IV Plan Characteristics											
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2J 2K 2F 2G 3D 3H	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the instr	uctions:			
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in t	he instru	ctions:			
Par	t V Compliance Questions											
10	During the plan year:				Yes	No	N/A		Amount			
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction					X						
	Program)			10a								
	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X						
С	C Was the plan covered by a fidelity bond?				X					5000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X						
е	• Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					Х						
f	f Has the plan failed to provide any benefit when due under the plan?					X						
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X						
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X						
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i								

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Part	VI	Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							Yes	No		
11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40					11a						
12		nis a defined contribution plan subject to the minimum funding requirements of section 412 of the C				f		Yes	X No		
	(If "	SA? Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а		vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see insting the waiver.		ns, and	d enter t Day		of the le		ng 		
lf	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.			1					
b	Enter	the minimum required contribution for this plan year			12b						
С	Enter	the amount contributed by the employer to the plan for this plan year			12c						
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the ative amount)			12d						
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	1	I/A		
Part '	VII	Plan Terminations and Transfers of Assets									
13a	Has	a resolution to terminate the plan been adopted in any plan year?				X Yes	3	No			
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				0		
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought of the PBGC?					X Yes	No)		
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), ident the assets or liabilities were transferred. (See instructions.)	tify the p	olan(s)	to						
		Name of plan(s):		13c(2)	EIN(s)		130	13c(3) PN(s)			
EMPIR	E CO	RE GROUP LLC 401K PLAN	47-17	81534			l				
Part	VIII	Trust Information									
14a Name of trust					14b ⁻	14b Trust's EIN					
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number							
Part	: IX	IRS Compliance Questions		'							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes	☐ No						
			safe h	sign-based "Prior year" de harbor test				ADP			
				ADP t			N/A				
year? Check all that apply:			Ratio perce test	entage	age Average N/A						
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes		No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number											
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/											
Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No					
19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?					Ye	s	No				