## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

Annual Report Identification Information For calendar plan year 2016 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit DEANSBORO CORP 401 (K) PROFIT SHARING PLAN & TRUST plan number 001 (PN) • 1c Effective date of plan 01/01/2011 2a Plan sponsor's name (employer, if for a single-employer plan) **2b** Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 16-1375864 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) Sponsor's telephone number **DEANSBORO CORP** 315-735-9261 2d Business code (see instructions) 610 EAGLE STREET 541990 UTICA, NY 13501-4055 **3a** Plan administrator's name and address X Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 6 5a Total number of participants at the beginning of the plan year ...... 5b 0 **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 0 5c complete this item)..... 6 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) 0 d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested .....

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

<u>beliet, it is t</u>	rue, correct, and complete.						
SIGN HERE	Filed with authorized/valid electronic signature.	11/08/2018	CHARLIE FISHER				
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN							
HERE	Signature of employer/plan sponsor	Date	Enter name of individu	ual signing as employer or plan sponsor			
Preparer's name (including firm name, if applicable) and address (include room or suite number)				Preparer's telephone number			

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6a	Were all of the plan's assets during the plan year invested in eligib	ole assets?	(See instructions.)						X	es No
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					es $\square$ No				
	If you answered "No" to either line 6a or line 6b, the plan cann		,							
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?	[	Yes	No	Not d	etermined
Pai	t III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	d of Year	
а	Total plan assets	7a		408136	)	0				
b	Total plan liabilities	7b		0				0		
С	Net plan assets (subtract line 7b from line 7a)	7c		408136 0			0			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt				(b) Total		
а	Contributions received or receivable from:	90/4)								
	(1) Employers	8a(1)								
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3) 8b		25391						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							253	
	Benefits paid (including direct rollovers and insurance premiums	00								
	to provide benefits)	8d		432495						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		1032						
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							4335	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							-4081	36
j	j Transfers to (from) the plan (see instructions)									
Par	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the ins	structions:	
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acteris	tic Coc	des in t	he inst	ructions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amou	nt
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Normal Program)	oluntary F	iduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	•		10b		X				
С	Was the plan covered by a fidelity bond?			10c		Χ				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that we by fraud or dishonesty?			10d		X				
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)										
f	f Has the plan failed to provide any benefit when due under the plan? 10f				X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	as of year-	end.)	10g		X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i		X				

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Part	VI	Pension Funding Compliance							
11		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c m 5500) and line 11a below)						Yes	X No
	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				0
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co				f		Yes	X No
	(If "	SA? Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see insting the waiver.		ns, and	d enter t Day		of the let		ing ——
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.						
b	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
	Subt	ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the lative amount)	eft of a		12d				
е	Will 1	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No		V/A
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				X Yes	3	No	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				0
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug rol of the PBGC?					X Yes	N	0
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi h assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	) to				
1	3c(1)	Name of plan(s):	•	13c(2)	EIN(s)		13c	( <b>3)</b> PN	l(s)
Part	VIII	Trust Information		1	1				
14a	Name	of trust			14b <sup>-</sup>	Trust's E	ΞIN		
14c	Name	e of trustee or custodian					s or custone number		3
Part	: IX	IRS Compliance Questions		u					
15a	Is the	plan a 401(k) plan? If "No," skip b	🛘	Yes			No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section )(3) for the plan year? Check all that apply:	IШ	safe r	n-based narbor	Ĺ	] "Prior test	year"	ADP
	`			"Curre	ent year test	,"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:					N/A				
16b		ne plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) e plan year by combining this plan with any other plan under the permissive aggregation rules?		Yes			No		
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b	If the letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, en	nter the	date	of the m	nost rec	ent deteri	minatio	on
	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepace?		from	Ye	s [	No		
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!2}$ during the prior plan year?			Ye	s	No		

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Department of the Treasury Internal Revenue Service

Department of Labor Employes Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Part Annual Report Identification Inform								
For calendar plan year 2016 or fiscal plan year beginning	01/01/201	.6	and en	nding 08/08/20	16			
A This return/report is for:				oloyer) (Filers checking this box n accordance with the form instru				
B This return/report is a one-participant the first return/rep	· =	n plan return/report						
an amended return/report								
Part II Basic Plan Information - enter all rec	uested information							
1a Name of plan	1		1b	Three-digit				
DEANSBORO CORP				plan number (PN)	001			
401 (K) PROFIT SHARING PLAN &	TRUST		1c	Effective date of plan 01/01/2011				
2a Plan sponsor's name (employer, if for a single-employ Mailing address (include room, apt., suite no. and str	eet, or P.O. Box)		2b	Employer Identification Num 16-1375864	iber (EIN)			
City or town, state or province, country, and ZIP or for DEANSBORO CORP	oreign postal code (it to	reign, see instr.)	2c (31	Sponsor's telephone numbe	or			
UTICA NY 13	501-4055		2d	Business code (see instructi 541990	ons)			
The state of the s	as Plan Sponsor.		3b	Administrator's EIN				
	, ,		3c	Administrator's telephone number				
·								
4 If the name and/or EIN of the plan sponsor has change	ed since the last return	report filed for this	4b	EIN				
plan, enter the name, EIN, and the plan number from t								
a Sponsor's name			4c	PN				
5a Total number of participants at the beginning of the plan year					6			
b Total number of participants at the end of the plan year					0			
C Number of participants with account balances as of the end of the plan year (only defined								
contribution plans complete this item)					0			
			5d(1)		6			
d (2) Total number of active participants at the end of the plan year 5d(2)				0				
<ul> <li>Number of participants that terminated employment</li> </ul>	t during the plan year w	ith accrued	l _		_			
			5e		0_			
Caution: A penalty for the late or incomplete filing of Under penalties of perjury and other penalties set forth in Schedule SB or Schedule MB completed and signed by a my knowledge and belief, it is true, correct, and complete	the instructions. I decl	are that I have exami	ined th	is return/report, including, if a	applicable, a			
SIGN ULL LL	11/08/2018		•					
Signature of plan administrator	Date		idual s	signing as plan administrator				
Win Da								
SIGN HERE	11/08/2018	CHARLIE FI	SHE	iR .				
Signature of employer/plan sponsor  Date  Enter name of individual signing as employer or plan sponsor								
Preparer's name (including firm name, if applicable) and	address (include room	or suite number)		Preparer's telephone numb	er			
					193			

## **5500 EFILE AUTHORIZATION**

Deansboro Corp 401(k) Profit Sharing Plan & Trust						
001						
8/8/2016						
Deansboro Corp						
Charlie Fisher						
On behalf of the above named plan sponsor/plan administrator, the undersigned herby grants permission to Bonadio & Co., LLP to electronically file the plan sponsor's/plan administrator's Form 5500, but only upon Bonadio & Co., LLP receipt of a copy of the manually signed page one of Form 5500.						
The sponsor has been notified that the image of the plan administrator's/plan sponsor's manual signature will be included with the rest of the return/report posted by the Department of Labor on the internet for public disclosure.						
The employer, on behalf of the plan sponsor/plan administrator, may revoke or change this authorization any time by notification in writing to Bonadio & Co., LLP						
The plan sponsor/plan administrator understand that by authorizing Bonadio & Co., LLP to submit its Form 5500 electronically to DOL the following agencies may communicate directly with Bonadio & Co., LLP with respect to plan sponsor's/plan administrator's Form 5500: DOL, EFAST2, IRS and/or PBGC.						
DR) / DATE						
///8/18 STRATOR) DATE						