## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

## **Short Form Annual Return/Report of Small Employee Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

Part I	Annual Report	<b>Identification Information</b>	on				
For calend	ar plan year 2017 or fi	scal plan year beginning 03/0	1/2017		and ending	12/31/2017	
A This re	turn/report is for:	a single-employer plan			an (not multiemployer) nployer information in a	•	
_		a one-participant plan	af	oreign plan			
<b>B</b> This retu	urn/report is	X the first return/report	the	final return/report			
		an amended return/report	X a s	hort plan year retur	n/report (less than 12 r	months)	
C Check	box if filing under:	Form 5558	au	tomatic extension		X DFVC program	m
	<u> </u>	special extension (enter de	. ,				
Part II		ormation—enter all requested	information	on		Τ	
1a Name	of plan GE INVESTMENTS 40	01K				<b>1b</b> Three-diginal plan numb	
GOLDEN A	GE INVESTIMENTS 40	) IK				(PN) ▶	001
						1c Effective d	ate of plan 03/01/2017
		yer, if for a single-employer plar m, apt., suite no. and street, or F				2b Employer I	dentification Number 80-0760914
-	town, state or provinc	ce, country, and ZIP or foreign po	ostal code	(if foreign, see inst	ructions)	<b>2c</b> Sponsor's	telephone number
							1-806-0533
ART GRACH #260	HOFF	#260				20 Business of	code (see instructions)
2021 N ATL	ANTIC AVE ACH, FL 32931-3312	2021 N	I ATLANTI A BEACH,	C AVE FL 32931-3312			519100
3a Plan a	dministrator's name a	nd address X Same as Plan S	ponsor.			<b>3b</b> Administra	tor's EIN
						3c Administra	tor's telephone number
		e plan sponsor or the plan name		-		4b EIN	
•	ian, enter the pian spo sor's name	nsor's name, EIN, the plan nam	e and the	pian number from ti	ne last return/report.	4d PN	
C Plan N							
<b>5a</b> Total	number of participants	at the beginning of the plan year	ar			5a	1
<b>b</b> Total	number of participants	at the end of the plan year				5b	1
		account balances as of the end				5c	1
<b>d(1)</b> Tot	al number of active pa	rticipants at the beginning of the	plan year				1
<b>d(2)</b> Tot	tal number of active pa	articipants at the end of the plan	year			5d(2)	1
		terminated employment during				5e	0
Caution: A	A penalty for the late	or incomplete filing of this ret	urn/repor	t will be assessed	unless reasonable ca		
SB or Sche		her penalties set forth in the inst nd signed by an enrolled actuary plete.					
SIGN		/valid electronic signature.		12/10/2018	ART GRACHOFF		
HERE	Signature of plan a	administrator		Date	Enter name of indivi	dual signing as pla	ın administrator

Date 12/10/2018

Date

ART GRACHOFF

Filed with authorized/valid electronic signature.

Signature of employer/plan sponsor

SIGN

**HERE** 

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a	an indepei	ndent qualified public a	account	ant (IQ	PA)			No No
С	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the	nsurance p	rogram (see ERISA se	ection 4	021)?		Yes No	Not determine  . (See instructions	
Pa	t III Financial Information	1			T				
7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) End	l of Year	
а	Total plan assets	7a		0				694789	
<u>b</u>	Total plan liabilities	7b		0				0	
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c		0				694789	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b)	Total	
<u>а</u>	Contributions received or receivable from:  (1) Employers	8a(1)	:	25813					
	(2) Participants	8a(2)	,	18000					
	(3) Others (including rollovers)	8a(3)	58	88996					
<u>b</u>	Other income (loss)	8b	(	61980					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						694789	
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		0					
g	Other expenses	8g		0					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						0	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						694789	
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0					
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2G 2J	feature co	des from the List of Plant	an Cha	racteris	stic Co	des in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	les in the inst	ructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributes described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	iduciary Correction	10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not	include transactions	10b		X			
С	Was the plan covered by a fidelity bond?			10c		Χ			
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused	10d		Χ			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X			
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X			
g				10g		Χ			
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)			10h		Χ			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	nedule S	B	Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [	Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)	) to			
1	<b>3c(1)</b> Name of plan(s): 13c(2	) EIN(s)		13c(3	<b>)</b> PN(s)

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to **Public Inspection** 

Part			itification information			and andless of	104104	047	
For calenda	r plan year 2017 or f	iscal p	lan year beginning 03/01/				2/31/20		
A This retu	urn/report is for:		a single-employer plan	list of	participating emp	(not multiemployer) (loyer information in ac	corda	checking this box nce with the form	must attach a instructions.)
		Г	a one-participant plan	a forei	gn plan				
B This retu	rn/report is	X t	he first return/report	the fina	I return/report				
		Па	an amended return/report	X a short	plan year return/	report (less than 12 m	onths)		
C Check h	oox if filing under:		Form 5558	☐ autom	atic extension		X DF	VC program	
O CHECK D	ox ir illing drider.		special extension (enter desc		alic exterision		A 0.	vo program	
D-411	Desis Dien Infe		tion—enter all requested in				- 5		
Part II  1a Name of		orma	ition—enter all requested in	mormation			1b	Three-digit	
	GE INVESTMENTS 4	01K						plan number	
							4-	(PN) •	001
							10	Effective date of	plan /2017
2a Plan er	onsor's name (empl	over i	f for a single-employer plan)				2b	Employer Identif	
Mailing	address (include roo	om. ap	t., suite no, and street, or P.	.O. Box)					60914
Charles and the same of the same of	town, state or provin A GROUP LLC	ce, co	untry, and ZIP or foreign pos	stal code (if t	oreign, see instru	ctions)	2c	Sponsor's teleph	
							24	321-806	
ART GRACH	IOFF		#260				20	Business code (	
#260 2021 N ATLA			2021 N /	ATLANTIC A				5191	00
	CH, FL 32931-3312				32931-3312		-		
3a Plan ad	dministrator's name a	and ad	Idress X Same as Plan Spo	onsor.			3b	Administrator's E	EIN
									elephone number
4 If the r	name and/or EIN of the	he pla	n sponsor or the plan name 's name, EIN, the plan name	has changed	since the last re	turn/report filed for	4b	EIN	
The second second second	an, enter the plan sp or's name	onsor	s name, Em, the plan name	and the pla	Triditiber from the	c last return report.	4d	PN	
C Plan N									
5a Total	number of participant	ts at th	ne beginning of the plan year	r			. 5	ia	1
			ne end of the plan year				. 5	ib	1
c Numb	er of participants with	h acco	ount balances as of the end o	of the plan ye	ear (only defined	contribution plans	5	ic	1
			ants at the beginning of the				. 5d	l(1)	1_
			pants at the end of the plan y					1(2)	1
e Numb	per of participants wh	no tern	ninated employment during t	the plan year	with accrued ber	nefits that were less	5	ie	0
than	100% vested		complete filing of this retu	urn/report w	ill he assessed i	inless reasonable ca			
Under pen SB or Sche	alties of perjury and edule MB completed	other pand si	penalties set forth in the instrigned by an enrolled actuary	ructions. I de	clare that I have	examined this return/re	eport,	including, if applic	cable, a Schedule y knowledge and
sign	true, correct, and cor	11 1			2/10/2013	ART GR	ACH	HOFF	
HERE	Signature of plan	V	nistrator		ate	Enter name of individ			ministrator
SIGN	AA SVAS		L	17	/ /			HOFF	
SIGN	11	- 1	Inlan enoneor		ate	Enter name of individ			er or plan sponsor
Burney Comment	Signature of emp	noyer/	plan sponsor	E00.8E	ale	Litter ridine of indivi-	audi Si		Form 5500-SF (2017)

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М	а	u	E	_

b A un If	Were all of the plan's assets during the plan year invested in eligible re you claiming a waiver of the annual examination and report of an order 29 CFR 2520.104-46? (See instructions on waiver eligibility and you answered "No" to either line 6a or line 6b, the plan cannot the plan is a defined benefit plan, is it covered under the PBGC in "Yes" is checked, enter the My PAA confirmation number from the	an independ and conditio ot use Forn surance pro	lent qualified public ac ns.) n 5500-SF and must ogram (see ERISA sec	instead	nt (IQF d use 1 021)?	PA) Form 550 Yes	Yes No  No Not determined
Part							
	lan Assets and Liabilities		(a) Beginning of	f Year			(b) End of Year
	otal plan assets	- 7a	(1)	0			694789
	otal plan liabilities	7b		0			0
	et plan assets (subtract line 7b from line 7a)	7c		0			694789
	ncome, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total
a c	ontributions received or receivable from:	8a(1)	2	5813			
(2	2) Participants	8a(2)	1	8000			
	3) Others (including rollovers)	8a(3)	58	8996			
	Other income (loss)	8b	6	1980			
	otal income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					694789
d E	enefits paid (including direct rollovers and insurance premiums provide benefits)	8d		0			
<b>e</b> 0	certain deemed and/or corrective distributions (see instructions)	. 8e		0			
f A	dministrative service providers (salaries, fees, commissions)	. 8f		. 0			
_	Other expenses	. 8g		0			0
	otal expenses (add lines 8d, 8e, 8f, and 8g)	. 8h					
	let income (loss) (subtract line 8h from line 8c)	. 8i					694789
jī	ransfers to (from) the plan (see instructions)	8j		0			
	If the plan provides pension benefits, enter the applicable pension 2G 2J  If the plan provides welfare benefits, enter the applicable welfare to the compliance Questions				W. S.		
10	During the plan year:				Yes	No	Amount
	Was there a failure to transmit to the plan any participant contributescribed in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary Fi	duciary Correction	10a		x	
b	Were there any nonexempt transactions with any party-in-interes reported on line 10a.)			10b		Х	
C	Was the plan covered by a fidelity bond?			10c		X	
	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		x	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					×	
f	Has the plan failed to provide any benefit when due under the plan	an?		10f		X	
	Did the plan have any participant loans? (If "Yes," enter amount	as of year-e	end.)	10g		X	
a							
_	If this is an individual account plan, was there a blackout period? 2520.101-3.)	(See instru	ictions and 29 CFR	10h		X	

_				
P	2		0	2
	ч	м	-	•

Form 5500-SF 2017

Part '	VI Pension Funding Compliance			,	
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sche (Form 5500) and line 11a below)	edule S	3	_ Y	es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?  (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	302 of		Y	es X No
	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiverMonth	enter t	he date o	of the lette Year	r ruling
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		[	Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to			
	13c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3	) PN(s)