#### Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

### Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

➤ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Report Id	entification Information				
For calenda	ar plan year 2017 or fisc	al plan year beginning 04/01/2017	and ending 03/31/2018			
A This retu	urn/report is for:	a multiemployer plan	a multiple-employer plan (Filers checking this participating employer information in accordal			ns.)
		x a single-employer plan	a DFE (specify)			
<b>B</b> This retu	urn/report is:	x the first return/report	the final return/report			
		an amended return/report	a short plan year return/report (less than 12 m	onths)	)	
C If the pla	an is a collectively-barga	nined plan, check here			<b>•</b> [	
<b>D</b> Check b	ox if filing under:	X Form 5558	automatic extension	the	e DFVC program	
		special extension (enter description	on)			
Part II	Basic Plan Inforn	nation—enter all requested information	tion			
1a Name o	of plan	UP, LLC RETIREMENT PLAN AND T		1b	Three-digit plan number (PN) ▶	001
				1c	Effective date of pla 04/01/2017	an
Mailing City or	oonsor's name (employe address (include room, town, state or province,	2b	2b Employer Identification Number (EIN) 27-1859139			
	CONSULTING GROU	,		2c	Plan Sponsor's tele	phone
	MAN CONSULTING CO				212-629-8940	
PO BOX 846 PLANDOME, NY 11030 PO BOX 8 PLANDOM			846 ME, NY 11030	2d	Business code (see instructions) 812990	•

#### Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.  Signature of plan administrator	01/02/2019 Date	MATTHEW SORRENTINO  Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.  Signature of employer/plan sponsor	01/02/2019 Date	MATTHEW SORRENTINO  Enter name of individual signing as employer or plan sponsor
SIGN HERE	Signature of DFE	Date	Enter name of individual signing as DFE

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2017) v. 170203

	Form 5500 (2017)	Page	2			
3a	Plan administrator's name and address X Same as Plan Sponsor		<b>3b</b> Adr	ministrator's EIN		
	Game as Fian oponsor					ministrator's telephone mber
	If the name and/or EIN of the plan sponsor or the plan name has changed sine enter the plan sponsor's name, EIN, the plan name and the plan number from Sponsor's name Plan Name				4b EIN 4d PN	
5	Total number of participants at the beginning of the plan year				5	0
<b>)</b>	Number of participants as of the end of the plan year unless otherwise stated <b>6a(2), 6b, 6c,</b> and <b>6d</b> ).	d (welfare plans o	com	nplete only lines 6a(1),		
a(	1) Total number of active participants at the beginning of the plan year				6a(1)	0
a(	2) Total number of active participants at the end of the plan year				. 6a(2)	2
b	Retired or separated participants receiving benefits				6b	0
С	Other retired or separated participants entitled to future benefits				6с	0
d	Subtotal. Add lines <b>6a(2)</b> , <b>6b</b> , and <b>6c</b>				6d	2
					_	0
е	Deceased participants whose beneficiaries are receiving or are entitled to rec	ceive benefits			6e	0
f	Total. Add lines 6d and 6e.				<b>6f</b>	2
g	Number of participants with account balances as of the end of the plan year (complete this item)		6g	0		
h	Number of participants who terminated employment during the plan year with less than 100% vested				<b>6h</b>	0
7	Enter the total number of employers obligated to contribute to the plan (only	multiemployer pl	ans	s complete this item)	. 7	
	If the plan provides pension benefits, enter the applicable pension feature con the state of the plan provides welfare benefits, enter the applicable welfare feature code.					
а	Plan funding arrangement (check all that apply)		efit a	arrangement (check all th	at apply)	
	(1) Insurance	(1)	H	Insurance	inau	o contracto
	(2) Code section 412(e)(3) insurance contracts	(2)	y	Code section 412(e)(3)	insurance	e contracts
	(3) X Trust (4) General assets of the sponsor	(3) (4)	^	Trust  General assets of the s	nonsor	
10	(4) General assets of the sponsor  Check all applicable boxes in 10a and 10b to indicate which schedules are at	L.				ned (See instructions)
	Pansion Schedules	h General		·	DOI GILGOI	ica. (Occ instructions)

(1)

(2)

(3)

(4)

(5)

(6)

**H** (Financial Information)

A (Insurance Information)

C (Service Provider Information)

I (Financial Information – Small Plan)

**D** (DFE/Participating Plan Information)

**G** (Financial Transaction Schedules)

R (Retirement Plan Information)

actuary

MB (Multiemployer Defined Benefit Plan and Certain Money

Purchase Plan Actuarial Information) - signed by the plan

SB (Single-Employer Defined Benefit Plan Actuarial

Information) - signed by the plan actuary

(1)

(2)

(3)

Part III	Form M-1 Compliance Information (to be completed by welfare benefit plans)					
11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.)						
If "Ye	es" is checked, complete lines 11b and 11c.					
<b>11b</b> Is the	e plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.)					
Rece	the Receipt Confirmation Code for the 2017 Form M-1 annual report. If the plan was not required to file the 2017 Form M-1 annual report, enter the ipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid ipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)					
Rece	eipt Confirmation Code					

Form 5500 (2017)

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## **SCHEDULE SB** (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Single-Employer Defined Benefit Plan **Actuarial Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

File as an attachment to Form 5500 or 5500-SF.

OMB No. 1210-0110

2017

This Form is Open to Public Inspection

Fo	r calendar plan year 2017 or fiscal plan year beginning 04/01/2017	and endin	g 03/3	31/2018	
	Round off amounts to nearest dollar.				
<u> </u>	Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable ca	use is establishe	d.		
	Name of plan	<b>B</b> Three-di	git		
	MS AND AS CONSULTING GROUP, LLC RETIREMENT PLAN AND TRUST	plan nun	nber (PN	) <b>•</b>	001
		·	,	,	
С	Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF	<b>D</b> Employer	Identific	ation Number (E	EIN)
	MS AND AS CONSULTING GROUP, LLC		27-18	59139	,
E	Type of plan: Single Multiple-A Multiple-B F Prior year plan size:	X 100 or fewer	101-	500 More th	an 500
	Part I Basic Information				
1	Enter the valuation date: Month 03 Day 31 Year 2018				
2	Assets:		. 2a		179731
	a Market value		2b		
3	<b>b</b> Actuarial value	Number of		sted Funding	179731 (3) Total Funding
3	p	articipants		Target	Target
	For retired participants and beneficiaries receiving payment	0		0	0
	<b>b</b> For terminated vested participants	0		0	0
	C For active participants	1		183602	183602
	d Total	1		183602	183602
4	If the plan is in at-risk status, check the box and complete lines (a) and (b)				
	a Funding target disregarding prescribed at-risk assumptions		4a		
	<b>b</b> Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that at-risk status for fewer than five consecutive years and disregarding loading factor		4b		
5	Effective interest rate		5		4.46 %
6	Target normal cost		6		176748
Sta	tement by Enrolled Actuary				
	To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachm accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the ecombination, offer my best estimate of anticipated experience under the plan.				
	SIGN				
	HERE			10/29/201	8
	Signature of actuary			Date	
	YRIL J. COLEMAN	<u> </u>		17-02302	2
	Type or print name of actuary		Most	recent enrollmei	nt number
	AME	_		212-629-89	
F	O BOX 846	Τe	elephone	number (includ	ing area code)
	LANDOME, NY 11030				
	Address of the firm	_			
16.41		dan data 1 1 1 1	1 .	dia harri	
	e actuary has not fully reflected any regulation or ruling promulgated under the statute in comple	ting this schedul	e, cneck	the box and see	

Page 2	? - ·
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Schedule SB (Form 5500) 2017

Pa	art II	Begin	ning of Year	Carryov	er and Prefunding B	alanc	es						_	
								(a) Carryover balance (b)			(b) F	(b) Prefunding balance		
7	7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)						0				0			
8	8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)								0					
9	Amount i	remaining	g (line 7 minus line	8)						0			0	
10	Interest of	on line 9 ເ	using prior year's	actual retui	n of <u>0.00</u> %					0			0	
11	Prior yea	r's exces	s contributions to	be added t	o prefunding balance:									
	<b>a</b> Preser	nt value o	f excess contribut	ions (line 3	8a from prior year)								0	
					over line 38b from prior ye interest rate of0.00								0	
	<b>b(2)</b> Inte	erest on I	ine 38b from prior	year Sche	dule SB, using prior year's	actual								
					ır to add to prefunding baland								0	
													0	
	<b>u</b> Portion	1 Of (C) to	be added to prefi	unding baia	ance									
					or deemed elections					0			0	
13	Balance				ine 10 + line 11d – line 12)					0			0	
Р	art III	Fun	ding Percenta	ages										
14	Funding	target att	ainment percenta	ge								14	97.89%	
												15	102.26%	
16					of determining whether carr					to reduce	e current	16	100.00%	
17	If the cur	rent value	e of the assets of	the plan is	less than 70 percent of the	funding	g target,	enter suc	h percentage			17	%	
Р	art IV	Con	tributions an	d Liquid	ity Shortfalls									
18					ar by employer(s) and emp	loyees:								
(1)	(a) Date //M-DD-Y		(b) Amount p employer		(c) Amount paid by employees	(M	(a) Date IM-DD-Y	(b) Amount paid DD-YYYY) employer(s)			oy (c) Amount paid by employees			
0	2/02/2018	3		101135										
0	8/14/2018	}		78596	0									
						Tota	ls ▶	18(b)		17973	18(c)		0	
19	Discount	ed emplo	yer contributions	- see instr	uctions for small plan with a	a valuat	ion date	after the	beginning of the	e year:				
	<b>a</b> Contril	butions a	llocated toward ur	npaid minin	num required contributions	from pr	rior years			19a				
<b>b</b> Contributions made to avoid restrictions adjusted to valuation date														
	C Contributions allocated toward minimum required contribution for current year adjusted to valuation date													
20	Quarterly	contribu	tions and liquidity	shortfalls:										
	<b>a</b> Did the	e plan ha	ve a "funding sho	rtfall" for th	e prior year?								Yes X No	
	<b>b</b> If line	20a is "Y	es," were required	l quarterly i	installments for the current	year m	ade in a	timely ma	anner?				Yes No	
	C If line	20a is "Y	es," see instruction	ns and con	nplete the following table as	applic	able:							
		(4)			Liquidity shortfall as of en	d of qu	arter of t					(4)		
		(1) 1st	Ţ		(2) 2nd	-		(3)	3rd			(4) 4th		

P											
21	Discount	rate:			_						
	<b>a</b> Segme	ent rates:	1st segment: 4.16%	2nd segment: 5.72 %	3rd segment: 6.48 %		N/A, full yield curve used				
	<b>b</b> Applica	able month (er	nter code)		21b						
22	Weighted	l average retire	22	62							
23	23 Mortality table(s) (see instructions)										
Pa	art VI	Miscellane	ous Items								
24	4 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment										
25	Has a me	thod change b	been made for the current plan	n year? If "Yes," see instructions	regarding required attach	ment	Yes X No				
26	Is the pla	n required to p	provide a Schedule of Active F	Participants? If "Yes," see instruct	ions regarding required a	attachmen	tYes X No				
27				r applicable code and see instruct		27					
Pa	art VII	Reconcilia	ation of Unpaid Minim	um Required Contribution	ns For Prior Years						
28	Unpaid m	inimum requir	ed contributions for all prior ye	ears		28	0				
29				unpaid minimum required contribu		29					
30	Remainin	g amount of u	inpaid minimum required conti	ributions (line 28 minus line 29)		30	0				
Pa	art VIII	Minimum	Required Contribution	For Current Year							
31	Target no	ormal cost and	d excess assets (see instruction	ons):							
	<b>a</b> Target r	normal cost (lii	ne 6)			31a	176748				
	<b>b</b> Excess	assets, if app	licable, but not greater than lir	ne 31a		31b	0				
32	Amortizat	tion installmen	its:		Outstanding Bala	nce	Installment				
	a Net sho	ortfall amortiza	tion installment			0	0				
	<b>b</b> Waiver	amortization i	installment			0	0				
33	If a waive (Month _	r has been ap	proved for this plan year, ente ay Year	er the date of the ruling letter grant) and the waived amount	ting the approval	33					
34	Total fund	ding requireme	ent before reflecting carryover.	/prefunding balances (lines 31a -	31b + 32a + 32b - 33)	34	176748				
				Carryover balance	Prefunding balar	nce	Total balance				
35			se to offset funding				0				
36	Additiona	l cash require	ment (line 34 minus line 35)			36	176748				
37	Contribut	ions allocated	toward minimum required cor	ntribution for current year adjusted	to valuation date (line	37	179731				
38											
	38 Present value of excess contributions for current year (see instructions)  a Total (excess, if any, of line 37 over line 36)										
	b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances										
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)							0				
40											
Pa	rt IX			Pension Relief Act of 201		5)					
41	41 If an election was made to use PRA 2010 funding relief for this plan:										
	<b>a</b> Schedule elected										
	<b>b</b> Eligible	plan year(s) f	for which the election in line 4°	Ia was made		20	<del>'                                    </del>				
42						42	0				
	Excess installment acceleration amount to be carried over to future plan years										

## **SCHEDULE I** (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

#### Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2017

This Form is Open to Public Inspection

For calendar plan year 2017 or fiscal plan year beginning 04/01/2017	and ending 03/31/2018				
A Name of plan MS AND AS CONSULTING GROUP, LLC RETIREMENT PLAN AND TRUST	B Three-digit plan number (PN) 001				
C Plan sponsor's name as shown on line 2a of Form 5500 MS AND AS CONSULTING GROUP, LLC	D Employer Identification Number (EIN) 27-1859139				

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

#### **Small Plan Financial Information**

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	1a	0	179731
b	Total plan liabilities	1b	0	0
С	Net plan assets (subtract line 1b from line 1a)	1c	0	179731
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	<b>(b)</b> Total
а	Contributions received or receivable:			
	(1) Employers	2a(1)	179731	
	(2) Participants	2a(2)	0	
	(3) Others (including rollovers)	2a(3)	0	
b	Noncash contributions	2b	0	
С	Other income	2c	0	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	2d		179731
е	Benefits paid (including direct rollovers)	2e	0	
f	Corrective distributions (see instructions)	2f	0	
g	Certain deemed distributions of participant loans (see instructions)	2g	0	
h	Administrative service providers (salaries, fees, and commissions)	2h	0	
i	Other expenses	2i	0	
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	2j		0
k	Net income (loss) (subtract line 2j from line 2d)	2k		179731
	Transfers to (from) the plan (see instructions)	<b>2</b> l		0

Specific Assets: If the plan held assets at any time during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		Χ	
b	Employer real property	3b		Χ	
С	Real estate (other than employer real property)	3с		Χ	
d	Employer securities	3d		X	
е	Participant loans	3e		X	
f	Loans (other than to participants)	3f		Χ	
g	Tangible personal property	3g		X	

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Pa	art II Compliance Questions						
4	During the plan year:		Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until	40		X			
b	fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a 4b		X			
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X			
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X			
е	Was the plan covered by a fidelity bond?	4e		X			
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X			
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		Х			
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X			
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X			
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j		X			
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X				
I	Has the plan failed to provide any benefit when due under the plan?	41		X			
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X			
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan yea If "Yes," enter the amount of any plan assets that reverted to the employer this year	r?	. Ye	s X N	D		
	If, during this plan year, any assets or liabilities were transferred from this plan to another plant transferred. (See instructions.)	(s), ide	ntify the	e plan(s	) to w	hich assets or liabilitie	es were
	5b(1) Name of plan(s)	<b>5b(2)</b> EIN(s)	<b>5b(3)</b> PN(s)				
	f the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERIS "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for th			21.)?	[		determined. ee instructions.)

## SCHEDULE R (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

### **Retirement Plan Information**

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2017

This Form is Open to Public Inspection.

	Pension Ber	lefit Guaranty Corporation				-			
For calendar plan year 2017 or fiscal plan year beginning 04/01/2017 and ending 03/31/2018									
	Name of pl AND AS (	an CONSULTING GROUP, LLC RETIREMENT PLAN AND TRUST	В	Three-digit plan numbe (PN)	er •	001			
		or's name as shown on line 2a of Form 5500 CONSULTING GROUP, LLC		D Employer Identification Number (EIN) 27-1859139					
F	Part I	Distributions							
		s to distributions relate only to payments of benefits during the plan year.							
1		ue of distributions paid in property other than in cash or the forms of property specified in the		1			0		
2		e EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries duri tho paid the greatest dollar amounts of benefits):	ing the	year (if mor	e than t	two, enter EINs	of the two		
	EIN(s):								
	Profit-sl	naring plans, ESOPs, and stock bonus plans, skip line 3.							
3		of participants (living or deceased) whose benefits were distributed in a single sum, during the		3			0		
F	Part II	<b>Funding Information</b> (If the plan is not subject to the minimum funding requirements ERISA section 302, skip this Part.)	of sec	tion 412 of t	he Intei	rnal Revenue Co	ode or		
4	Is the pla	n administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?			Yes	× No	N/A		
	If the pla	an is a defined benefit plan, go to line 8.							
5	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver.  Date: Month Day Year								
	If you co	ompleted line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the ren	maind	er of this sc	hedule	<b>).</b>			
6	<b>a</b> Ente	r the minimum required contribution for this plan year (include any prior year accumulated fund	ding	6a					
	defic	siency not waived)							
	<b>b</b> Ente	r the amount contributed by the employer to the plan for this plan year		6b					
		ract the amount in line 6b from the amount in line 6a. Enter the result er a minus sign to the left of a negative amount)		6c					
	If you co	ompleted line 6c, skip lines 8 and 9.							
7	Will the m	ninimum funding amount reported on line 6c be met by the funding deadline?			Yes	No	N/A		
8	authority	ge in actuarial cost method was made for this plan year pursuant to a revenue procedure or or providing automatic approval for the change or a class ruling letter, does the plan sponsor or rator agree with the change?	plan		Yes	☐ No	× N/A		
Р	art III	Amendments							
9	If this is	a defined benefit pension plan, were any amendments adopted during this plan							
	box. If no	increased or decreased the value of benefits? If yes, check the appropriate o, check the "No" box.		Decre		Both	× No		
P	art IV	ESOPs (see instructions). If this is not a plan described under section 409(a) or 4975(e)(7)	7) of th	ne Internal R	evenue	Code, skip this	Part.		
10	Were u	nallocated employer securities or proceeds from the sale of unallocated securities used to repa	ay any	exempt loar	า?	Yes	No		
11	1 a Does the ESOP hold any preferred stock?								
		ne ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "be instructions for definition of "back-to-back" loan.)				Yes	☐ No		
12	Does the	ESOP hold any stock that is not readily tradable on an established securities market?				Yes	No		

Part V		Additional Information for Multiemployer Defined Benefit Pension Plans							
_		er the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in lars). See instructions. Complete as many entries as needed to report all applicable employers.							
a		Name of contributing employer							
k	_	EIN C Dollar amount contributed by employer							
		Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
		Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
a	1	Name of contributing employer							
k	)	EIN C Dollar amount contributed by employer							
		Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
•									
a	•	Name of contributing employer							
	_								
		Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year Year							
€		Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)  (1) Contribution rate (in dollars and cents)  (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
<u>a</u>		Name of contributing employer							
		EIN C Dollar amount contributed by employer							
		Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
		Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
a	1	Name of contributing employer							
k		EIN C Dollar amount contributed by employer							
C		Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
•		Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):							
	1	Name of contributing employer							
k	)	EIN C Dollar amount contributed by employer							
C		Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year							
•	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):								

		<del></del>							
14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer								
	of the participant for:  a The current year	14a							
	b The plan year immediately preceding the current plan year	14b							
		14c							
	C The second preceding plan year	140							
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to mal employer contribution during the current plan year to:	ke an							
	a The corresponding number for the plan year immediately preceding the current plan year	15a							
	<b>b</b> The corresponding number for the second preceding plan year	15b							
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:								
	a Enter the number of employers who withdrew during the preceding plan year	16a							
	<b>b</b> If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b							
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, ch supplemental information to be included as an attachment.	~ <del>~</del>							
P	art VI Additional Information for Single-Employer and Multiemployer Defined Benefi	t Pension Plans							
18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment								
19	If the total number of participants is 1,000 or more, complete lines (a) through (c)  a Enter the percentage of plan assets held as:								

Stock: \_\_\_\_\_% Investment-Grade Debt: \_\_\_\_\_% High-Yield Debt: \_\_\_\_\_% Real Estate: \_\_\_\_\_% Other: \_\_\_\_\_%

0-3 years 3-6 years 9-12 years 12-15 years 15-18 years 18-21 years 21 years or more

Provide the average duration of the combined investment-grade and high-yield debt:

Effective duration Macaulay duration Modified duration Other (specify):

**C** What duration measure was used to calculate line 19(b)?

Page 3

Schedule R (Form 5500) 2017

#### **SUMMARY** – Schedule SB Attachment

This Actuarial Valuation Report covers the plan year mentioned herein..

An Actuarial valuation of the above plan has been completed based on the assumption that financial and employee census data provided by the plan administrator are complete and accurate. It is based on the actuarial funding method, assumptions and other data set forth in this report.

This report contains exhibits of descriptive material concerning plan provisions, funding methods and assumptions, and other pertinent factors underlying the determination of contribution levels and plan liabilities as well as exhibits of the various actuarial computations made in support of the determination of the contributions. An actual copy of IRS Form 5500 Schedule SB is included in this report.

The funding method used for preparation of Schedule SB is the modified unit credit method required by the Pension Protection Act (PPA). Under this funding method, the Target Normal Cost is the present value of all benefits which are expected to accrue or to be earned under the plan during the plan year. Any benefit attributable to services performed in a preceding plan year that is increased by reason of any increase in compensation during the current plan year shall be treated as having accrued during the current plan year for purposes of determining the Target Normal Cost.

If the plan assets are inadequate to cover the present value of the benefits that were already accrued as of the beginning of the plan year (Funding Target) an additional charge called the Shortfall Installment may also be due. The Funding Target includes an allowance for ancillary death benefits provided under life insurance contracts if any such contracts are owned by the plan.

The recommended contribution may be higher than the minimum required under the PPA mandated funding method. The Individual Aggregate Funding Method is used for comparison purposes to generate a more level funding pattern where applicable.

The Enrolled Actuaries for Coleman-Pension.Com meets the Qualification Standards set forth by the Joint Board for the Enrollment of Actuaries c/o Department of Treasury and Labor pursuant to the Employee Retirement Income Security Act, (ERISA). They are qualified to render the actuarial opinion contained herein.

#### ASSUMPTIONS - Schedule SB Attachment

#### FUNDING ASSUMPTIONS -

Cost Method : Unit Credit

Pre Retirement : Interest @ 5 % per annum

Salary Scale - None assumed Withdrawal Rates - None assumed

Mortality Table : Male : None assumed

Female: None assumed

Post Retirement : Interest @ 5 % per annum

Cost of Living Adjustment - None assumed

Loading For Expenses - None assumed

Mortality Table : Male : 83 IAM

Female: 83 IAM

#### PV OF AB ASSUMPTIONS

Pre Retirement : Interest @ 5 % per annum

Withdrawal Rates - None assumed

Mortality Table : Male : None assumed

Female: None assumed

Post Retirement : Interest @ 5 % per annum

Loading For Expenses - None assumed

Mortality Table : Male : 83 IAM

Female: 83 IAM

## SCHEDULE SB (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code)

File as an attachment to Form 5500 or 5500-SF.

OMB No. 1210-0110

2017

This Form is Open to Publinspection

F	or calendar	plan year 201	7 or fiscal plan ye		4.01/2017	and end	ding		-	
1	Round of	f amounts to	nearest dollar.		f this report unless reasona	ble cause is establish	ned			
A Name of plan B Three-					digit umber (PN)	•				
С			shown on line 2a	of Form 5500 or 550	00-SF	D Employ	er Identificatio		EIN	
E	Type of plan	n: X Single	Multiple-A	Multiple-B	F Prior year plan	size: 100 or fewe	r 101-500	More t	han 500	
ı	Part I	Basic Info	rmation							
1		valuation da	ite: N	Month 03 0	Day Year	В				
2	Assets:						2a			
							2b			
3	TO THE STATE OF TH		pant count break			(1) Number of participants	(2) Veste	(2) Vested Funding Target		
	a For ret	ired participa	ints and beneficia	ries receiving payme	ent		0			
	<b>b</b> For ter	minated vest	ted participants				8			
	C For act	ive participar	nts					104652		
	d Total.									
4	If the pla	n is in at-risk								
	a Funding target disregarding prescribed at-risk assumptions									
	<b>b</b> Fundir at-risk	ng target refle status for fev	cting at-risk assu wer than five cons	mptions, but disrega secutive years and di	rding transition rule for plan sregarding loading factor	is that have been in	4b			
5							5			
6							6	-		
St	To the best of	oth annivenie law		opinion each other assum	panying schedules, statements and ption is reasonable (taking into acco	rattachments. If any is com- out the expenence of the pu	plete and occurant in and tellisoriable	Each prescribe expediation (1)	13 49 - 17 m m m	
	SIGN HERE	+	SIGNA	WE ON FI	VE			15,160	1	
Signature of actuary							Date			
-	CYRIL J C	OLEMAN	Type or p	rint name of actuary			Most rec	ent enrollme	ent number	
SAME					-	212/05/100				
	PO BOX 8/ PLANDOM	16 E NY 11030		Firm name			Telephone nu	imber (inclu	ding area code	
_			Add	dress of the firm						
1	tt	ne not fully n	eflected any requ	ation or ruling promu	ilgated under the statute in	completing this sched	dule, check the	e box and se	e	
	he actuary r structions	ias not runy n							CR /Form 5500	

## 5558 (Rev. August 2012)

Department of the Treasury Internal Revenue Service

Part I Identification

# Application for Extension of Time To File Certain Employee Plan Returns

► For Privacy Act and Paperwork Reduction Act Notice, see instructions.

► Information about Form 5558 and its instructions is at www.irs.gov/form5558

OMB No. 15-10-02

File With IRS O

Nar	me of filer, plan administrator, or plan sponsor (see instructions)	B	Filer	s identif	ying number (s	see instruction	5)				
	AND AS CONSULTING GROUP, LLC					ir EN Said					
1200	mber, street, and room or suite no. If a P.O. box. seminstructions)		27-1859139								
PC	BOX 846		Socia	security	number (SSN)	19 digit XX I	X 20.00				
Cit	y or town, state, and ZIP code										
PL	ANDOME NY 11030										
	Plan name	Plan			Plan	year ending	7-				
_	rian nome	number		er	MM	YYYY					
M	IS AND AS CONSULTING GROUP, LLC PENSION PLAN	0	0	1	03	31	2018				
art I	Extension of Time To File Form 5500 Series, and/or Form 8	8955-5	SSA								
1	Check this box if you are requesting an extension of time on line 2 to file to in Part 1, C above.	he first	Form:	5500 se	nes return/rs	port for the p	olan listo				
2	I request an extension of time until 0 1 / 1 5 / 2 0 1 9 to file Form	renn			***********						
	Note. A signature IS NOT required if you are requesting an extension to file For	orm 550	senes 30 sen	(see ins	structions						
3	Trequest an extension of time until		004								
-	The state of the s				uctions						
	Note, A signature IS NOT required if you are requesting an extension to file Form 8955-SSA.										
	The application is automatically approved to the date shown on line 2 and/of the normal due date of Form 5500 series, and/or Form 8955-SSA for which and/or line 3 (above) is not later than the 15th day of the third month after the	A THIS IS	vtensio	in in rou	the Form 55 quested, and	558 is filed or f (b) the date	or before				
4	I request an extension of time until	n 5330. ne norm	al due	date of	Form 5330						
а	Enter the Code section(s) imposing the tax		a								
b	Enter the payment amount attached					b					
1921					200	U					
5	For excise taxes under section 4980 or 4980F of the Code, enter the reversion State in detail why you need the extension:	vamend	ment (	iate		C					
	DATA NOT RECEIVED FROM FUNDING AGENT										
				*******							
				-							
	***************************************										
	The state of the s	2251111	*******								
110			-								
to pre-	penalties of perjury, I declare that to the best of my knowledge and belief, the statements made or pare this application.	the form	202 to		-						
Signs	ature a	The same of	are ma	a correct	and complete.	and that am ==	thanv d				
Signa	ature ▶ Date ▶				- a comprete	ard that am as	harv				