Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

		dentification information										
For calendar p	olan year 2018 or fisc	cal plan year beginning 01/01/2	2018		and ending 12	2/31/20)18					
A This return	/report is for:	a single-employer plan			tiple-employer plan (not multiemployer) (Filers checking this box must attach a f participating employer information in accordance with the form instructions.)							
		a one-participant plan	_	foreign plan	, ,,,							
B This return	report is	the first return/report	X the	e final return/report								
		an amended return/report	a s	short plan year return	/report (less than 12 m	onths)						
C Check box	if filing under:	Form 5558	au	itomatic extension		DF	VC program					
		special extension (enter descri	ription)									
Part II E	Basic Plan Infor	mation—enter all requested in	formatio	on								
1a Name of							Three-digit plan number (PN)	001				
						1c Effective date of plan 01/01/2001						
		er, if for a single-employer plan) n, apt., suite no. and street, or P.C	O. Box)					fication Number				
City or to	vn, state or province	, country, and ZIP or foreign post		(if foreign, see instru	uctions)		Sponsor's telep					
PACIFIC IMAGI	NG, PLLC						425-827					
0000 000711 07	DEET OW OUTE 40	20				2d	Business code ((see instructions)				
	REET SW SUITE 10 ERRACE, WA 9804						6211	11				
3a Plan adm	inistrator's name and	d address 🗌 Same as Plan Spor	nsor.			3b Administrator's EIN						
PACIFIC IMAGING, PLLC 6808 220TH STREET SW SUITE 100				91-2005609								
MOUNTLAKE TERRACE, WA 98043				3c Administrator's telephone number 425-827-3041								
							420 021	7-3041				
		plan sponsor or the plan name hasor's name, EIN, the plan name a				4b	EIN					
a Sponsor's		soi s name, Liiv, me pian name a	and the	pian number nom un	e last return/report.	4d PN						
C Plan Nam												
5a Total nun	nber of participants a	at the beginning of the plan year				58		2				
		at the end of the plan year				5k)	0				
		ccount balances as of the end of				50		0				
d(1) Total n	umber of active part	icipants at the beginning of the pl	lan year	٢		5d(2				
d(2) Total number of active participants at the end of the plan year					5d(2)	0					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested						5€		0				
		r incomplete filing of this retur										
SB or Schedu		er penalties set forth in the instru d signed by an enrolled actuary, a lete.										
0.0	led with authorized/v	valid electronic signature.		01/07/2019	ALAN SCHWARTZ, N	1.D.						
HERE S	ignature of plan ad	ministrator		Date	Enter name of individ	ual sig	ning as plan adr	plan administrator				
SIGN												
HERE	ignature of employ	er/plan sponsor		Date	Enter name of individ	ual sig	ning as employe	er or plan sponsor				

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	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							No No	
	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from th	nsurance p	orogram (see ERISA se	ection 4	021)?	[Yes No Not determin		
Pa	rt III Financial Information	1	T						
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of Year		
<u>a</u>	Total plan assets	7a	129	90589			0		
b	Total plan liabilities	7b							
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c	129	90589		0			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b) Total		
a	Contributions received or receivable from: (1) Employers	8a(1)		0					
	(2) Participants	8a(2)		0					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b		6942					
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					6942		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	12	97531					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					1297531	1297531	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					-1290589		
j	Transfers to (from) the plan (see instructions)	8j							
Pai	rt IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2R 3B 3D	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acteris	tic Cod	des in the instructions:		
Par	t V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		X			
С	Was the plan covered by a fidelity bond?			10c	X		129059		
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused	10d		X	12000		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		Х			
f	f Has the plan failed to provide any benefit when due under the plan?					X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	•		10i					

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Part	VI Pension Funding Compliance								
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a						
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Cod ERISA?	e or section	n 302 of		. Y	es X No			
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instrugranting the waiver		d enter t Day		of the letter Year _	ruling			
lf :	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13								
b	Enter the minimum required contribution for this plan year		12b						
С	Enter the amount contributed by the employer to the plan for this plan year		12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left negative amount)	of a	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No	N/A			
Part '	VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?			X Yes	. No)			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a			(
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?						No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify which assets or liabilities were transferred. (See instructions.)	the plan(s)) to						
1	3c(1) Name of plan(s):	13c(2)	EIN(s)		13c(3)	PN(s)			

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Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2018

This Form is Open to **Public Inspection**

Part I Annual Repor	t Identification Information							
For calendar plan year 2018 or	fiscal plan year beginning	01/01/2018	and ending	12/31/2				
A This return/report is for:	X a single-employer plan		lan (not multiemployer) (nployer information in ac					
-	a one-participant plan	a foreign plan						
B This return/report is	the first return/report	X the final return/report						
	an amended return/report	a short plan year retur	n/report (less than 12 m	ionths)				
C Check box if filing under:	□			☐ DFVC progra	m			
O Check box if filling drider.	Form 5558	automatic extension		☐ DEVC progra	III			
Double Dools Dlaw last	special extension (enter desc							
	ormation—enter all requested in	ntormation		1h There die				
1a Name of plan	PLLC Profit Sharing	Dlan	2	1b Three-dig plan numl				
racific imaging,	FILE FIGITE SHATTING	rian		(PN) ▶	001			
				1c Effective of 01/01/				
	oyer, if for a single-employer plan)	000 000 W		2b Employer	Identification Number			
	om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		ructions)	(EIN) 91-	-2005609			
Pacific Imaging,		tal code (il loreign, see inst	ructions)	2c Sponsor's telephone number 425–827–3041				
,					code (see instructions)			
6808 220th Stree	t SW Suite 100			Zu Busiliess	code (see instructions)			
Mountlake Terrac	e WA 980	43	ě	621111				
3a Plan administrator's name a	and address Same as Plan Spo	nsor.		3b Administrator's EIN				
Pacific Imaging, PLLC				91-200				
					3c Administrator's telephone number			
6808 220th Stree	t SW Suite 100							
Mountlake Terrac	e WA 98043			425-82	7-3041			
4 If the name and/or EIN of the	ne plan sponsor or the plan name h	as changed since the last r	eturn/report filed for	4b EIN				
	onsor's name, EIN, the plan name a	and the plan number from t	he last return/report.	Ad DN				
a Sponsor's name				4d PN				
C Plan Name								
5a Total number of participant	s at the beginning of the plan year.			5a	2			
•	s at the end of the plan year			5b	0			
Number of participants with complete this item)	account balances as of the end of	the plan year (only defined	d contribution plans	5c	0			
NATIONAL OF THE PROPERTY OF TH	articipants at the beginning of the p			5d(1)	2			
3 161	articipants at the end of the plan ye			5d(2)	0			
	o terminated employment during th			5e	0			
than 100% vested					<u>0</u>			
Under penalties of periury and o	other penalties set forth in the instru	ictions. I declare that I have	e examined this return/re	port, including, if	applicable, a Schedule			
SB or Schedule MB completed belief, it is true, correct, and cor	and signed by an enrolled actuary,	as well as the electronic ve	rsion of this return/repor	t, and to the bes	t of my knowledge and			
SIGN	1 M	7 117/19	Alan Schwartz	, M.D.				
HERE Signature of plan	administrator	Date	Enter name of individ	ual signing as pl	an administrator			
SIGN								
HEDE	loyer/plan sponsor	Date	Enter name of individ	ual signing as er	nployer or plan sponsor			
	ice, see the Instructions for Form 550				Form 5500-SF (2018)			

Da	_	_	
ra	О	е	- 4

6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. 🛭 🗓 Y	es No	
_	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						_	_	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							🛛 ХІ	es 🗌 No
_	If you answered "No" to either line 6a or line 6b, the plan cann					_		П м	
C	If the plan is a defined benefit plan, is it covered under the PBGC in		- ·			-	. –	_	etermined
	If "Yes" is checked, enter the My PAA confirmation number from the	ie PBGC p	remium filing for this p	ian yea	r			(See ins	itructions.)
Pa	rt III Financial Information	_							
7_	Plan Assets and Liabilities		(a) Beginning	of Year			(b) En	d of Year	
a	Total plan assets	7a	1,	290,	589				C
<u>b</u>	Total plan liabilities	7b							
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c	1,	290,	589	_			(
88	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	it			(b)	Total	
а	Contributions received or receivable from: (1) Employers	8a(1)			0				
	(2) Participants	8a(2)			0				
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b		6,	942	,			
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							6,942
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1,	297,	531				
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g		_					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						1,	297,531
i	Net income (loss) (subtract line 8h from line 8c)	8i						-1,	290,589
j	Transfers to (from) the plan (see instructions)	8i							
Par	rt IV Plan Characteristics	1							
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2R 3B 3D	feature co	odes from the List of Pla	an Cha	racteri	stic Co	des in the in	structions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature coo	les from the List of Pla	n Chara	cteris	tic Cod	des in the ins	tructions:	
Par	t V Compliance Questions								-
10	During the plan year:			-	Yes	No		Amount	· · · · · · · · · · · · · · · · · · ·
	Was there a failure to transmit to the plan any participant contribu	itions withi	n the time period		. —				
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	•	•	10a_		х			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		х			
С	Was the plan covered by a fidelity bond?			10c	х				129,059
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d_		х			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides some								
	the plan? (See instructions.)			10e		X			
f	Has the plan failed to provide any benefit when due under the plan?			10f	<u></u>	х			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Х			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		х			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					